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**OPINION, U.S. COURT OF APPEALS
FOR THE EIGHTH CIRCUIT
(JULY 31, 2025)**

UNITED STATES COURT OF APPEALS
FOR THE EIGHTH CIRCUIT

145 F.4th 907 (8th Cir. 2025)

NICOLE KLUM, ESTATE OF BOBBY JO KLUM;
WANDA ALBRIGHT,

Plaintiffs-Appellants,

v.

CITY OF DAVENPORT; MASON ROTH,

Defendants-Appellees.

No. 24-2165

Appeal from United States District Court
for the Southern District of Iowa

Submitted: January 14, 2025

Filed: July 31, 2025

Before: GRASZ, STRAS, and KOBES,
Circuit Judges.

GRASZ, Circuit Judge.

Officer Mason Roth shot and killed Bobby Jo Klum as he walked through a residential neighborhood with a gun to his head, evading arrest and ignoring

officer commands to drop the weapon. Klum's spouse and mother (Plaintiffs) sued Officer Roth and the City of Davenport under 42 U.S.C. § 1983 for allegedly violating Klum's Fourth Amendment right against unreasonable seizure. The district court¹ granted qualified immunity to Officer Roth and concluded the City of Davenport was not liable under *Monell v. Department of Social Services*, 436 U.S. 658 (1978). We affirm.

I. Background

On October 13, 2021, Davenport Police Officer Dustin Mooty went to Klum's house to follow up on a report that Klum had a confirmed warrant for his arrest. While parked in an alley near Klum's house, Officer Mooty observed Klum walking out of the backyard. Klum saw Officer Mooty and began to flee. Officer Mooty turned on his vehicle's emergency lights, chirped his siren, pulled into the driveway of the house, exited his vehicle, and began chasing Klum on foot. While running after Klum, Officer Mooty reported over his radio that he was in pursuit of Klum. Officer Mooty demanded that Klum stop and advised Klum he had a warrant for his arrest. Klum did not stop.

While still in pursuit, Officer Mooty observed Klum holding a gun to his head. Officer Mooty reported his observations over his radio and commanded Klum to get on the ground. Klum did not comply and began walking through the residential neighborhood while continuing to hold the gun to his head. Klum then

¹The Honorable Rebecca Goodgame Ebinger, United States District Judge for the Southern District of Iowa.

disappeared into the neighborhood. Other officers began to assist in the pursuit of Klum.

Several minutes later, Officer Lucas Rusk observed Klum walking through the neighborhood with a gun to his head and attempted to speak with Klum, asking him to “talk about this” and to put down the gun. Klum did not comply and walked in the opposite direction. Less than a minute later, Klum walked back in Officer Rusk’s direction and into a nearby alleyway. Officer Roth was positioned at the end of the alleyway when he observed Klum walking in his direction with a gun to his head. Officer Roth ordered Klum multiple times to put his hands up and drop the gun. Klum did not comply and continued to walk down the alleyway in Officer Roth’s direction. Klum then walked out of the alleyway and south onto the western sidewalk of Iowa Street away from the officers.

Officers followed Klum and yelled at bystanders to “get out of the street” and to “go inside.” Officers repeatedly commanded Klum to drop the gun. He did not comply and continued to walk south on the western sidewalk of Iowa Street past 9th Street while holding the gun to his head. Officers Robert Welch and Angela Jarrin then each fired a less lethal weapon at Klum. The rubber bullet from Officer Welch’s weapon hit Klum in the torso as he faced toward the officers. Unaffected by the rubber bullet, Klum turned and continued to walk away from the officers.

During this time, two bystanders sat in a car on the east side of the 9th Street intersection; several other bystanders watched from a front yard on the east side of Iowa Street near 8th Street, approximately one block south from the 9th Street intersection; and two other bystanders, a woman and a young girl,

walked north on the east side of Iowa Street as Klum approached the 9th Street intersection from the west side of Iowa Street. As officers fired the less lethal weapons at Klum, the woman and young girl ran south away from the 9th Street intersection and the bystanders in the front yard retreated. Officers repeatedly yelled at the bystanders to go inside. Despite the officers' commands, several bystanders remained outside.

Seconds after the officers fired the last rubber bullet, Klum began crossing the street toward the east side of Iowa Street, between 9th Street and 8th Street. Klum was ordered to drop the gun and to stop walking. He did not do so. Before Klum got to the eastern sidewalk of Iowa Street, Officer Roth, without verbal warning, shot and hit Klum. Klum fell to the ground and later died.

Plaintiffs sued Officer Roth and the City of Davenport, alleging use of excessive force under 42 U.S.C. § 1983 in violation of the Fourth Amendment to the United States Constitution and other state law claims. The parties filed cross-motions for summary judgment. The district court granted summary judgment to Officer Roth and the City of Davenport on all claims. As to their excessive force claim, the district court concluded Klum's Fourth Amendment right against unreasonable seizure was not violated; nor did Klum possess a clearly established right against seizure by deadly force under the circumstances. Plaintiffs appeal only the district court's grant of summary judgment based on qualified immunity as to their excessive force claim against Officer Roth and the City of Davenport.

II. Analysis

We review de novo a grant of summary judgment based on qualified immunity. *De Mian v. City of St. Louis*, 86 F.4th 1179, 1182 (8th Cir. 2023). “Summary judgment is proper if, after viewing the evidence and drawing all reasonable inferences in the light most favorable to the nonmovant, no genuine issue of material fact exists and the movant is entitled to judgment as a matter of law.” *Ryno v. City of Waynesville*, 58 F.4th 995, 1004 (8th Cir. 2023) (quoting *Libel v. Adventure Lands of Am., Inc.*, 482 F.3d 1028, 1033 (8th Cir. 2007)).² “[T]he mere existence of *some* alleged factual dispute between the parties will not defeat an otherwise properly supported motion for summary judgment; the requirement is that there be no *genuine* issue of *material* fact.” *Scott v. Harris*, 550 U.S. 372, 380 (2007) (alteration in original) (quoting *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 247-48 (1986)). “When opposing parties tell two different stories, one of which is blatantly contradicted by the record, so that no reasonable jury could believe it, a court should not adopt that version of the facts for purposes of ruling on a motion for summary judgment.” *Id.*

Preliminarily, Plaintiffs challenge the district court’s finding that Klum was moving toward bystanders at the time he was shot. Plaintiffs claim the video footage establishes “Klum turned to walk in

² We grant Officer Roth and the City of Davenport’s motion to strike portions of Plaintiffs’ brief. See *United States ex rel. Onnen v. Sioux Falls Indep. Sch. Dist. No. 49-5*, 688 F.3d 410, 413 (8th Cir. 2012) (considering “only evidentiary materials that were before the trial court at the time the summary judgment ruling was made” (quoting *Barry v. Barry*, 78 F.3d 375, 379 (8th Cir. 1996))).

a direction further away from the allegedly at-risk bystanders.” Though we are required to make all reasonable inferences in the non-movant’s favor while reviewing the facts, we are not required to “accept unreasonable inferences or sheer speculation as fact.” *Gilani v. Matthews*, 843 F.3d 342, 347, 349 (8th Cir. 2016) (quoting *Reed v. City of St. Charles*, 561 F.3d 788, 791 (8th Cir. 2009)).

Here, the district court found that after Klum was hit by the rubber bullet, “he turned away from the officers and began walking across Iowa Street in a ‘southeasterly direction’ toward a collection of bystanders.” The district court relied on video footage submitted by Plaintiffs in support of their motion for summary judgment. The video footage shows Klum crossing Iowa Street in a southeasterly direction; several bystanders retreating to a house on the east side of Iowa Street near 8th Street when Officer Roth shot Klum; and Klum falling to the ground near the east side of Iowa Street and 81/2 Street as several bystanders remained outside. Based on the video footage, Klum continued to walk south, diagonally toward the east side of Iowa Street in the general direction of the bystanders when Officer Roth shot him. Thus, accepting the proposed inference that “Klum turned to walk in a direction further away from the allegedly at-risk bystanders” would be unreasonable given what the video footage shows. *See Gilani*, 843 F.3d at 349. *See also Scott*, 550 U.S. at 380-81 (holding that when undisputedly reliable video footage captures the relevant events, the evidence should be viewed in “the light depicted by the videotape”).

Plaintiffs next argue the undisputed evidence establishes the district court erred by granting Officer

Roth qualified immunity. An officer is entitled to qualified immunity, shielding him from liability unless: “(1) he violated a constitutional right, and (2) that constitutional right was clearly established so that a reasonable officer would know of the right at the time of the alleged violation.” *Thurairajah v. City of Fort Smith*, 925 F.3d 979, 982 (8th Cir. 2019). If either prong is not satisfied, then the officer is entitled to qualified immunity, *id.* at 982-83, and this court may analyze either prong first, *Pearson v. Callahan*, 555 U.S. 223, 236 (2009).

We conclude that any right was not clearly established at the time and therefore Officer Roth is entitled to qualified immunity. “For a right to be ‘clearly established,’ the law must have been sufficiently clear, at the time of the official’s conduct, to put every reasonable official on notice that what he was doing violated that right.” *Cole ex rel. Est. of Richards v. Hutchins*, 959 F.3d 1127, 1134 (8th Cir. 2020) (quoting *Hamner v. Burls*, 937 F.3d 1171, 1177 (8th Cir. 2019)). “This requires ‘existing precedent’ to place the question ‘beyond debate.’” *Id.* (quoting *White v. Pauly*, 580 U.S. 73, 79 (2017)). “[W]e look to existing precedent that involves sufficiently ‘similar facts’ and that “squarely governs” the specific facts at issue’ to ensure Officer [Roth] had ‘notice that [his] specific use of force [was] unlawful.’” *Id.* (last two alterations in original) (quoting *Kisela v. Hughes*, 138 S. Ct. 1148, 1153 (2018)).

“Claims against local police for excessive force during a seizure are analyzed under the Fourth Amendment’s reasonableness standard.” *Lankford v. City of Plumerville*, 42 F.4th 918, 921 (8th Cir. 2022). “The use of deadly force to restrain a person is a seizure under the Fourth Amendment.” *Dimock ex*

rel. Dimock-Heisler v. City of Brooklyn Ctr., 124 F.4th 544, 552 (8th Cir. 2024). Objectively unreasonable uses of deadly force violate the Fourth Amendment. *See Graham v. Connor*, 490 U.S. 386, 395-97 (1989). The key question is whether an officer's actions were "objectively reasonable' in light of the facts and circumstances confronting [him], without regard to [his] underlying intent or motivation." *Nance v. Sammis*, 586 F.3d 604, 610 (8th Cir. 2009) (quoting *Craighead v. Lee*, 399 F.3d 954, 961 (8th Cir. 2005)). This court views the circumstances from the perspective of a reasonable officer on the scene, based on "those facts known to the officer at the precise moment [he] effectuate[d] the seizure." *Cole*, 959 F.3d at 1132 (alterations in original) (quoting *Schulz v. Long*, 44 F.3d 643, 648 (8th Cir. 1995)). In evaluating the totality of the circumstances, we assess "the severity of the crime at issue, whether the suspect poses an immediate threat to the safety of the officers or others, and whether he is actively resisting arrest or attempting to evade arrest by flight." *Graham*, 490 U.S. at 396.

Here, Klum had a warrant for his arrest and was actively fleeing while holding a gun to his head. However, Officer Roth knew only that Klum had been evading arrest and holding a gun to his head. Whether a reasonable officer could conclude Klum posed an immediate threat to the safety of others depends on the totality of the circumstances known at the time of the shooting. *See Cole*, 959 F.3d at 1132; *Nance*, 586 F.3d at 610. "We have consistently held deadly force is not unreasonable where an officer has 'probable cause to believe that the suspect poses a threat of serious physical harm, either to the officer or

others.” *Lankford*, 42 F.4th at 921 (quoting *Thompson v. Dill*, 930 F.3d 1008, 1013 (8th Cir. 2019)). While “it was clearly established that a person does not pose an immediate threat of serious physical harm to another when, although the person is in possession of a gun, he does not point it at another or wield it in an otherwise menacing fashion,” *Cole*, 959 F.3d at 1134, Klum’s possession of a firearm was not the only fact and circumstance confronting the officers that day, *see Nance*, 586 F.3d at 610.

Here, there were several bystanders outside near a house approximately half a block from where Klum was shot and in the general direction Klum was heading after the officers fired the rubber bullets at him. Therefore, even if Klum never moved the gun away from his head or aimed it at bystanders, the officers were confronted with an evolving set of circumstances when they observed that Klum was unaffected by the rubber bullet, changed course and began walking in the general direction of the bystanders with his gun to his head, and did not comply with the officers’ commands to stop. *See Graham*, 490 U.S. at 396-97.

Notwithstanding the circumstances, Plaintiffs argue *Partridge v. City of Benton*, 929 F.3d 562 (8th Cir. 2019), “is right on point.” *Partridge*, however, does not clearly establish that Officer Roth’s use of deadly force against Klum was objectively unreasonable in the circumstances of this case. In *Partridge*, a seventeen-year-old boy “walked into the woods with a gun.” *Partridge*, 929 F.3d at 564. The boy’s mother called 911 and reported he had “ingested cough syrup and possibly marijuana, was depressed,” and “threatened to shoot himself.” *Id.* An officer eventually

found the boy standing by a riverbank. *Id.* The officer told him to show his hands. *Id.* As the boy turned, the officer saw a gun in his hand and ordered him to drop it. *Id.* Instead, the boy raised the gun to his temple, and the officer commanded him to drop the gun. *Id.* The boy stayed silent but then began moving the gun away from his head when the officer shot and killed him. *Id.* Based on these facts, this court determined “no reasonable officer could conclude that a compliant individual posed an immediate threat” and that the boy’s “right to be free from excessive force under these circumstances was clearly established.” *Id.* at 565, 567.

In contrast to the boy in *Partridge*, Klum was non-compliant and evaded arrest while walking through a residential neighborhood with a gun to his head and in the general direction of bystanders, including a woman who was seen earlier with a young girl, when Officer Roth shot him. At the time, the woman was approximately 148 feet from Klum and another bystander was approximately 179 feet from Klum. These circumstances differentiate this case from *Partridge*, and therefore, Plaintiffs cannot rely on it to show the law was clearly established that Officer Roth could not use deadly force against Klum.

Plaintiffs also argue the district court’s reliance on *Kong ex rel. Kong v. City of Burnsville*, 960 F.3d 985 (8th Cir. 2020), to conclude Klum’s purported right against deadly force under the circumstances was not clearly established, “is misplaced.” We disagree. In *Kong*, the court concluded that though “Kong may not have threatened an officer with his knife, he posed a threat to citizens” in the parking lot and those that “passed by along on the frontage road.” *Kong*, 960 F.3d

at 993. And this court's case law, at the time of his shooting, made clear that "officers could use deadly force to stop a person armed with a bladed weapon if they reasonably believed the person could kill or seriously injure others." *Id.*

Here, Klum neither pointed the gun at the bystanders nor threatened officers, but he did walk in the bystanders' general direction like the man in *Kong*. *See id.* at 990. Nevertheless, Plaintiffs emphasize the bystanders here were farther away than the bystanders in *Kong* when Officer Roth shot Klum and argue that "the evidence in this case presents material issues of fact regarding who and to what extent anyone was in jeopardy at the time [Officer] Roth killed Klum."³ We disagree. Though Plaintiffs note that the district court "did not address the lack of accuracy involved in shooting a handgun at greater than 75 feet," they do not otherwise challenge the district court's finding "that a handgun is a lethal threat to a person within 300 feet." And it is undisputed that the bystanders were within that lethal range. Thus, at the time it was not clearly established that

³ Plaintiffs' reliance on *Ludwig v. Anderson*, 54 F.3d 465 (8th Cir. 1995), to support their argument is unavailing. In *Ludwig*, officers shot a man who was "running away from all bystanders." *Ludwig*, 54 F.3d at 469. The facts and circumstances confronting the officers in *Ludwig* in the woods differ from the present case involving Klum, who was in a residential neighborhood crossing a street in the general direction of several bystanders, and would not put a reasonable officer on notice that using deadly force under the present circumstances was objectively unreasonable. Further, the material factual issues that were present in *Ludwig* are not present here, *see id.* at 473-74, and *Ludwig* involved a man fleeing with a knife, not a gun.

shooting Klum under the totality of these circumstances⁴ was objectively unreasonable.

Plaintiffs also argue Officer Roth's failure to provide a warning before shooting Klum requires reversal. It is true we have held that *Tennessee v. Garner*, 471 U.S. 1, 11-12 (1985), "requires the officer to give a warning 'where feasible.'" *Ludwig v. Anderson*, 54 F.3d 465, 474 (8th Cir. 1995) (quoting *Garner*, 471 U.S. at 11-12); *Krueger v. Fuhr*, 991 F.2d 435, 440 (8th Cir. 1993) (same). However, a failure to warn does not automatically render the use of deadly force unreasonable. See *Dimock*, 124 F.4th at 554. More importantly, we do not view this as a failure to warn case, but rather an escalation case. The officers' repeated demands to drop the gun and the use of non-lethal rounds should have provided Klum "adequate notice that any action the officers perceived as escalation could result in the use of deadly force." *Rogers v. King*, 885 F.3d 1118, 1122 (8th Cir. 2018). *Accord Est. of Morgan v. Cook*, 686 F.3d 494, 498 (8th Cir. 2012). When Klum refused to stop walking and drop his weapon, and instead proceeded in the general direction of bystanders, Officer Roth could have perceived the action as an escalation requiring use of deadly force. At the very least, this court's case law at the time of Klum's shooting did not place the question of his right to be free from deadly force in this situation "beyond debate." *Cole*, 959 F.3d at 1134 (quoting *White*, 580 U.S. at 79). Therefore, because not every

⁴ This case, however, does not stand for the proposition that lethal force is reasonable any time a suicidal individual with a weapon is within 300 feet of a bystander or for the proposition that lethal force is reasonable based on speculation *alone* about what someone with a gun might do in the future.

reasonable officer would have understood that the use of deadly force here violated the Fourth Amendment, the district court properly granted Officer Roth qualified immunity.

Plaintiffs next challenge the district court's grant of summary judgment on their *Monell* claim against the City of Davenport. Under *Monell*, "a municipality [is held] liable for actions of its employees or agents that violate a plaintiff's constitutional rights if the violation stemmed from an official municipal policy or custom." *Waters v. Madson*, 921 F.3d 725, 743 (8th Cir. 2019) (citing *Monell*, 436 U.S. at 694-95).

The district court concluded the City of Davenport was entitled to summary judgment on Plaintiffs' *Monell* claim because Plaintiffs failed to raise a genuine issue as to whether the City of Davenport had a policy that directly caused the use of deadly force that they believed to be a constitutional violation. The district court explained the evidence cited by Plaintiffs, at most, supported a finding that the City of Davenport "had a policy of teaching officers principles about their reaction times relative to the amount of time a suspect may take to point and shoot his weapon" but did not indicate it was the City of Davenport's policy to exercise deadly force to seize armed suspects in situations like the one here. We agree with the district court that Plaintiffs failed to raise a genuine issue as to whether any alleged violations of Klum's constitutional right stemmed from the City of Davenport's policy informing officers of reaction times or whether the City of Davenport had a policy of training officers "to shoot first." Therefore, the City of Davenport is entitled to summary judgment on Plaintiffs' *Monell* claim.

III. Conclusion

For the foregoing reasons, we affirm the judgment of the district court.

**JUDGMENT, U.S. COURT OF APPEALS
FOR THE EIGHTH CIRCUIT
(JULY 31, 2025)**

UNITED STATES COURT OF APPEALS
FOR THE EIGHTH CIRCUIT

NICOLE KLUM, ESTATE OF BOBBY JO KLUM;
WANDA ALBRIGHT,

Plaintiffs-Appellants,

v.

CITY OF DAVENPORT; MASON ROTH,

Defendants-Appellees.

No. 24-2165

Appeal from U.S. District Court for the Southern
District of Iowa – Eastern (3:23-cv-00043-RGE)

Before: GRASZ, STRAS, and KOBES,
Circuit Judges.

JUDGMENT

This appeal from the United States District Court was submitted on the record of the district court, briefs of the parties and was argued by counsel.

After consideration, it is hereby ordered and adjudged that the judgment of the district court in this

App.16a

cause is affirmed in accordance with the opinion of
this Court.

Order Entered in Accordance with Opinion:
Clerk, U.S. Court of Appeals, Eighth Circuit.

/s/ Susan E. Bindler

July 31, 2025

**ORDER GRANTING DEFENDANTS' MOTION
FOR SUMMARY JUDGMENT AND DENYING
PLAINTIFFS' MOTION FOR PARTIAL
SUMMARY JUDGMENT
(MAY 30, 2024)**

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF IOWA
DAVENPORT DIVISION

NICOLE KLUM, individually and as administrator
of the Estate of Bobby Jo Klum,
and WANDA ALBRIGHT, individually,

Plaintiffs,

v.

CITY OF DAVENPORT and MASON ROTH,

Defendants.

No. 3:23-cv-00043-RGE-WPK

Before: Rebecca GOODGAME EBINGER,
United States District Judge.

**ORDER GRANTING, DEFENDANTS' MOTION
FOR SUMMARY JUDGMENT AND DENYING
PLAINTIFFS' MOTION FOR PARTIAL
SUMMARY JUDGMENT**

I. Introduction

Plaintiff Nicole Klum, suing individually and as the administrator of the estate of her late husband Bobby Jo Klum, and Plaintiff Wanda Albright, the mother of Bobby Jo Klum, bring this lawsuit against Defendants City of Davenport and Mason Roth. Notice Removal 1, ECF No. 1; Answer ¶¶ 3-4, ECF No. 3. Davenport Police Officer Mason Roth shot and killed Bobby Jo Klum during an attempt to arrest him. Pls.' Statement Facts Supp. Mot. Partial Summ. J. ¶¶ 1-2, 4-5, ECF No. 15-1; Defs.' Resp. Pls.' Statement Facts Supp. Mot. Partial Summ. J. ¶¶ 1-2, 4-5, ECF No. 23-1. Plaintiffs claim that Roth used excessive force against Klum in violation of the United States Constitution and the Iowa Constitution, and that the City of Davenport caused Klum's death by failing to adequately train and supervise Roth (Count I). Am. Pet. ¶¶ 85-92, ECF No. 1-1 at 256-58. Plaintiffs also assert a negligence claim against Defendants (Count II). *Id.* ¶¶ 93-107, ECF No. 1-1 at 258-60. Wanda Albright asserts a claim for loss of consortium as well. (Count III). *Id.* ¶¶ 108-14, ECF No. 1-1 at 260-61.

Now before the Court are the parties' motions for summary judgment. Plaintiffs move for partial summary judgment holding Defendants liable for violation of the United States Constitution and the Iowa Constitution, and for negligently causing Klum's death (Counts I and II). Pls.' Mot. Partial Summ. J., ECF No. 15. Defendants move for summary judgment

in their favor on all of Plaintiffs' claims, asserting Defendants are entitled to immunity from Plaintiffs' claims. Defs.' Mot. Summ. J., ECF No. 22.

For the reasons set forth below, the Court grants Defendants' motion and denies Plaintiffs' motion.

II. Background

A. Factual Background

Except as otherwise stated, there is no genuine issue as to the following facts. *See Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 250 (1986).

Around 3:30 p.m. on October 13, 2021, Davenport Police Officer Dustin Mooty parked his patrol vehicle near a residence on Iowa Street, seeking to locate Klum. Pls.' App. Supp. Mot. Partial Summ. J. Pls.' App. 045, ECF No. 15-2; *accord* ECF No. 15-1 ¶¶ 4-5; ECF No. 23-1 ¶¶ 4-5. Mooty was aware there was an outstanding warrant for Klum's arrest concerning a probation violation. ECF No. 15-1 ¶ 5; ECF No. 23-1 ¶ 5; ECF No. 15-2 at Pls.' App. 045. While waiting in his vehicle, Mooty observed Klum walk from the yard of a residence into an alley adjacent to the residence. *See* Pls.' Resp. Defs.' Statement Facts ¶ 15, ECF No. 30-2; *accord* ECF No. 15-2 at Pls.' App. 045. When Klum saw Mooty, Klum began to flee. ECF No. 15-2 at App. 045; ECF No. 15-1 ¶ 8; ECF No. 23-1 ¶ 8. At 3:37 p.m., Mooty turned on his vehicle's emergency lights and sounded his siren while pulling into the driveway of the residence, but Klum continued to flee. ECF No. 15-2 at Pls.' App. 045; *accord* Mooty Body Camera 15:37:04-18, Defs.' Combined App. Supp. Defs.' Mot. Summ. J. and Resist. at Def. App. 4, ECF No. 23-2.

Mooty exited his vehicle and began chasing Klum on foot. ECF No. 30-2 ¶ 17.¹

While running after Klum, Mooty reported over his radio that he was in pursuit of Klum. *Id.* ¶ 18; *accord* Mooty Body Camera 15:37:16, ECF No. 23-2 at Def. App. 4. He twice demanded that Klum stop and told Klum there was a warrant for his arrest. ECF No. ¶ 19; *accord* Mooty Body Camera 15:37:35-42, ECF No. 23-2 at Def. App. 4. Approaching Klum from across a street, Mooty observed Klum turn toward him multiple times; he saw that Klum was pointing a gun to his head. Mooty Body Camera 15:38:08-12, ECF No. 23-2 at Def. App. 4; *accord* ECF No. 30-2 ¶ 21. Mooty reported this over the radio. ECF No. 30-2 ¶ 23. Klum continued to disobey Mooty's commands to stop and continued to flee through the residential neighborhood. *Id.* ¶ 22. Mooty reported over the radio a description of Klum—a “neon hoodie, gray pants”—and his direction of travel. Mooty Body Camera 15:38:18-26, ECF No. 23-2 at Def. App. 4. He repeated that Klum had a gun to his head. *Id.* He then slowed his pursuit of Klum. *Id.* at 15:38:26-39:41.

At this time, shortly before 3:40 p.m., Mooty was joined by Officer Lucas Rusk, who began to pursue Klum as well. *Id.* at 15:39:00-11; Rusk Body Camera 15:39:00-11, ECF No. 23-2 at Def. App. 5; *accord* ECF No. 30-2 ¶ 27. Other officers also arrived and began to assist in the pursuit of Klum. ECF No. 15-1 ¶ 11; ECF No. 23-1 ¶ 11. The officers lost contact with Klum for several minutes. *See* ECF No. 30-2 ¶¶ 24, 27.

¹ Throughout this order, pin citations for police officer body camera footage are to the time-of-day data recorded by the footage, rather than the playback timestamp.

At 3:45 p.m., Rusk encountered Klum. *Id.* ¶ 27. Klum had shed the neon hoodie and was now wearing a red sweatshirt. *Id.* ¶ 28. He continued to carry a gun. *Id.* Rusk asked Klum multiple times to put the gun down and asked to “talk about this.” *Id.* ¶ 29; *accord* Rusk Body Camera 15:45:00-43, ECF No. 23-2 at Def. App. 5. Klum refused and initially walked away from Rusk. ECF No. 30-2 ¶ 30. A minute later he began walking toward Rusk, and although Rusk again told Klum to put the gun down, Klum continued to refuse to do so. *Id.* ¶ 31; *accord* Rusk Body Camera 15:46:40-47:30, ECF No. 23-2 at Def. App. 5.

At this point, at 3:47 p.m., Rusk lost sight of Klum. Rusk Body Camera 15:47:10-45, ECF No. 23-2 at Def. App. 3. At roughly the same time, Klum encountered Roth and another officer. ECF No. 15-1 ¶ 17; ECF No. 23-1 ¶ 17; *accord* Roth Body Camera 15:47:30-35, ECF No. 23-2 at Def. App. 3. Klum was walking down an alley referred to as 9% Street in the direction of Iowa Street. ECF No. 15-1 ¶ 17; ECF No. 23-1 ¶ 17. As Klum walked toward Roth, Roth twice told Klum to put his hands up. Roth Body Camera 15:47:33-38, ECF No. 23-2 at Def. App. 3. Roth then began backing away as he twice told Klum to drop the gun. *Id.* at 15:47:38-51. Klum continued to walk toward Roth, moving eastward along 9% Street toward its intersection with Iowa Street. *Id.*; ECF No. 15-1 ¶¶ 17, 19; ECF No. 23-1 ¶¶ 17, 19; *see also* ECF No. 15-2 at Pls.’ App. 014. Encouraged by another officer to “back up,” Roth walked backwards, moving north along Iowa Street. Roth Body Camera 15:47:38-51, ECF No. 23-2 at Def. App. 3; *see also* ECF No. 15-2 at Pls.’ App. 014. At 3:48 p.m., Roth turned the corner of the intersection with Iowa Street and began moving

south on the west side of the street. Roth Body Camera 15:47:51-48:01, ECF No. 23-2 at Def. App. 3; ECF No. 30-2 ¶ 34; ECF No. 15-1 ¶ 19; ECF No. 23-1 ¶ 19; *see also* ECF No. 15-2 at Pls.' App. 014.

At this time, Roth knew that officers had been pursuing Klum for ten minutes, since 3:37 p.m. Roth Dep. 13:15-20, ECF No. 15-2 at Pls.' App. 074 (indicating Roth heard Mooty's statement over the radio that Mooty was pursuing Klum on foot); Mooty Body Camera 15:37:16-35, ECF No. 23-2 at Def. App. 4 (containing Mooty's statement at 3:37 p.m. that he was pursuing Klum). Roth had heard Officer Mooty's statement over the radio that Klum was carrying a gun and observed Klum carrying what Roth, at the time, perceived to be a gun. Roth Dep. 13:15-20, ECF No. 15-2 at Pls.' App. 074; Roth Body Camera 15:39:35-45, ECF No. 23-2 at Def. App. 3 (containing Roth's statement he was heading to the "gun call"); *id.* at 13:47:38-51 (telling Klum to "drop the gun").² He knew Klum was committing crimes by fleeing from arrest, but he was not aware of any other crimes that Klum may have committed. Roth Dep. 14:20-16:4, ECF No. 15-2 at Pls.' App. 074.

As Klum walked south on Iowa Street, he held the gun to his head, and bystanders looked on. Synced Video at 0:49, ECF No. 15-2 at Pls.' App. 049. One bystander, Tina West, observed from her car on the eastern side of the intersection of 9th Street and

² Without citation to the record, Plaintiffs contend "Klum was holding a BB gun" during the incident, not a lethal weapon. Pls.' Br. Supp. Mot. Partial Summ. J. 24, ECF No. 18. But Plaintiffs "concede for purposes of [their motion for summary judgment] that it was reasonable for Roth to treat Klum as if he was holding a real gun to his own head." *Id.*

Iowa Street. ECF No. 15-1 ¶ 21; ECF No. 23-1 ¶ 21. Several other bystanders observed from a position one block further south, on the eastern side of Iowa Street near its intersection with 8th Street. Clay Decl. ¶¶ 6-7, ECF No. 15-2 at Pls.' App. 106. Two of these bystanders, a woman named Lerita Clay and her daughter, had walked north on the eastern side of Iowa Street toward its intersection with 9th Street shortly prior to Klum approaching that intersection. Synced Video 0:04-0:16, ECF No. 15-2 at Pls.' App. 049; Clay Decl. ¶ 1, ECF No. 15-2 at Pls.' App. 106. Several officers repeatedly shouted at the bystanders to get out of the street and go inside. ECF No. 30-2 ¶ 35; *see also* Roth Body Camera 15:48:01-25. Before reaching the intersection of Iowa Street and 9th Street, Klum turned to the officers following him and then turned back, continuously holding the gun to his head. Synced Video 1:06-28, ECF No. 15-2 at Pls.' App. 049. The officers shouted repeatedly at Klum to drop the gun. *Id.* ¶ 34.

After Klum crossed 9th street, the officers following him moved south toward the intersection of 9th and Iowa Street, collecting behind a police car on the north side of the intersection. Roth Body Camera 15:48:41-56, ECF No. 23-2 at Def. App. 3. The officers continued to instruct the bystanders to go inside their homes. *Id.* Klum again turned to face the officers pursuing him, walking backwards. Synced Video 1:30-40, ECF No. 15-2 at Pls.' App. 049. While Klum was turned, walking backwards on the west side of Iowa Street, Clay and her daughter began sprinting south on the east side of Iowa Street, just south of its intersection with 9th Street and directly across the street from Klum. *Id.* at 1:35-41. At this time, 3:48

p.m., Officer Robert Welch deployed a 40mm “less lethal” rubber bullet that impacted Klum on his upper chest. *Id.* at 1:35-51; ECF No. 15-1 ¶¶ 25-26; ECF No. 23-1 ¶¶ 25-26; ECF No. 30-2 ¶¶ 36-37. Klum was not stopped by the impact of the rubber bullet, and after he was hit, he turned away from the officers and began walking across Iowa Street in a “southeasterly direction” toward a collection of bystanders. ECF No. 30-2 ¶ 37; *see* Synced Video 1:41-55, ECF No. 15-2 at Pls.’ App. 049. *Id.* at 1:41-55; ECF No. 30-2 ¶ 37. As Klum crossed Iowa Street he was again commanded to stop walking and drop his gun; he did not comply. ECF No. 30-2 ¶ 41. Within a few seconds of the first less lethal round, Officer Angela Jarrin fired a second 40mm less lethal round that either missed or grazed Klum. ECF No. 15-1 ¶ 29; ECF No. 23-1 ¶ 29.

Fifteen seconds after the second less lethal round was fired, as Klum continued to diagonally cross Iowa Street, Roth fired a lethal round that struck and killed Klum. Roth Body Camera 15:49:00-22, ECF No. 23-2 at Def. App. 3; ECF No. 15-1 ¶¶ 2, 46; ECF No. 23-1 ¶¶ 2, 46. At this time, ten seconds past 3:49 p.m., Klum was 148 feet from Clay, who stood near the residence at the intersection of Iowa Street and Eighth Street. Roth Body Camera 15:49:00-22, ECF No. 23-2 at Def. App. 3; ECF No. 30-2 at ¶ 56. A second bystander was 179 feet away, near the same residence. ECF No. 30-2 ¶ 57.

Additional facts are discussed below as necessary.

B. Procedural Background

In August 2022, Nicole Klum filed suit in the Iowa District Court for Scott County against the City of Davenport and Roth. ECF No. 1-1 at 3. Nicole

Klum and Wanda Albright filed an amended petition in June 2023. *Id.* at 238. Plaintiffs' amended petition asserts several claims collectively styled as three counts. *Id.* at 256-61. It asserts that, due to Roth's use of excessive force in seizing Klum, Defendants are liable for violation of the Fourth Amendment to the United States Constitution and for violation of article I, section 8 of the Iowa Constitution. *Id.* at 256-58. It further asserts Defendants are liable under Iowa common law for negligently causing Klum's wrongful death. *Id.* at 258-60. Finally, the amended petition asserts claims for loss of consortium. *Id.* at 260-61.

In July 2023, Defendants removed the case to this Court. ECF No. 1. In December 2023, Nicole Klum stipulated to the dismissal of her loss of consortium claim. Stipulation Partial Dismissal, ECF No. 12. Plaintiffs now move for partial summary judgment, asking the Court to issue judgment holding Defendants liable for Plaintiffs' constitutional claims and negligence claims. ECF No. 15. Defendants move for summary judgment dismissing all claims against them. ECF No. 22. Neither party requests oral argument, and the Court finds the parties' briefings and exhibits adequately present the issues. *See* LR 7(c); Fed. R. Civ. P. 78(b). The Court decides the parties' motions as set forth below.

III. Legal Standard

Summary judgment is appropriate when "there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a); *see also Celotex Corp. v. Catrett*, 477 U.S. 317, 322-23 (1986). A genuine dispute of fact exists when the issue "may reasonably be resolved in

favor of either party.” *Anderson*, 477 U.S. at 250 (1986); accord *Torgerson v. City of Rochester*, 643 F.3d 1031, 1042-43 (8th Cir. 2011) (en banc) (“Where the record taken as a whole could not lead a rational trier of fact to find for the nonmoving party, there is no genuine issue for trial.” (internal quotation marks and citation omitted). To preclude summary judgment, a genuine dispute of fact must concern a material fact—that is, a fact “that might affect the outcome of the suit under the governing law.” *Anderson*, 477 U.S. at 248. “Factual disputes that are irrelevant or unnecessary will not be counted.” *Id.* (citation omitted). When assessing the record pertinent to a fact at issue, the Court “may consider only the portion of the submitted materials that is admissible or useable at trial.” *Moore v. Indehar*, 514 F.3d 756, 758 (8th Cir. 2008) (internal quotation marks omitted) (quoting *Walker v. Wayne Cnty.*, 850 F.2d 433, 434 (8th Cir. 1988)).

“The movant bears the initial responsibility of informing the district court of . . . those portions of [the record] . . . which it believes demonstrate the absence of a genuine issue of material fact.” *Torgerson*, 643 F.3d at 1042 (alteration in original) (second omission in original) (internal quotation marks and citation omitted). This responsibility can be met by “produc[ing] evidence negating an essential element of the nonmoving party’s case” or by “point[ing] out that the nonmoving party lacks the evidence” to prove a material fact. *Bedford v. Doe*, 880 F.3d 993, 996-97 (8th Cir. 2018) (citation omitted). Once the movant meets this responsibility, “the nonmovant must respond by submitting evidentiary materials that set out specific facts showing a genuine issue for trial.” *Torgerson*, 643 F.3d at 1042 (internal quotation marks

and citation omitted). The nonmovant thus bears “the obligation to come forward with specific facts showing that there is a genuine issue for trial.” *Dahl v. Rice Cnty.*, 621 F.3d 740, 743 (8th Cir. 2010) (citation omitted). In satisfying that obligation a party “may not merely point to unsupported self-serving allegations.” *Anda v. Wickes Furniture Co.*, 517 F.3d 526, 531 (8th Cir. 2008) (cleaned up). Rather the party “must substantiate [the] allegations with sufficient probative evidence that would permit a finding in [the party’s] favor.” *Smith v. Int’l Paper Co.*, 523 F.3d 845, 848 (8th Cir. 2008) (citation omitted).

If the nonmoving party establishes a genuine dispute as to the material facts, those facts are viewed in the light most favorable to the nonmoving party—but the nonmoving party enjoys this benefit only if there is a genuine issue of fact. *See Scott v. Harris*, 550 U.S. 372, 380-81 (2007). Further, in cases where, as here, there is video evidence of an incident at issue, the Court will not adopt an account of facts plainly contradicted by the evidence in the recording. *See id.*; *accord Wallingford v. Olson*, 592 F.3d 888, 892 (8th Cir. 2010) (“Because the videotape clearly contradicts the version of the story told by [the plaintiff], we must ‘view the facts in the light depicted by the videotape.’” (alteration omitted) (quoting *Scott*, 550 U.S. at 381)).

IV. Discussion

Plaintiffs move for partial summary judgment holding Defendants liable for Klum’s rights against the use of excessive force under the Fourth Amendment to the United States Constitution and under the Iowa Constitution. ECF No. 15 at 1. Plaintiffs also move

for partial summary judgment holding Defendants liable for negligently causing Klum's death. *Id.* Defendants resist Plaintiffs' motion and move for summary judgment dismissing all of Plaintiffs' claims, including their constitutional claims, their negligence claims, and Albright's claim for loss of consortium. ECF No. 22 ¶ 3; *see also id.* ¶ 4 (noting the legal arguments asserted in resistance to Plaintiffs' motion are the same as the arguments asserted in support of Defendants' motion for summary judgment as to Counts I and II); Defs.' Resist. Pls.' Mot. Partial Summ. J. ¶ 1, ECF No. 23 (same). The Court begins by addressing the parties' motions as to Plaintiffs' federal constitutional claim. The Court then addresses the disputes as to Plaintiffs' claim under Iowa's constitution. Last the Court addresses the disputes as to Plaintiffs' common law claims.

A. Plaintiffs' Claims Under Federal Law

Plaintiffs' amended petition asserts Roth violated the Fourth Amendment by using excessive and unreasonable deadly force during the arrest of Klum. *See* Am. Pet. ¶¶ 86-87, ECF No. 1-1 at 256-57. In addition to asserting Roth's liability for this violation, Plaintiffs further assert the City of Davenport is liable because it failed to adequately train and supervise Roth in the use of deadly force. *Id.* ¶ 88, ECF No. 1-1 at 257. Plaintiffs move for summary judgment in favor of both Defendants' liability. Defs.' Br. Supp. Mot. Summ. J. 17-32, ECF No. 18 (arguing Roth used excessive force and the City failed to properly train him); *see also* Pls.' Combined Reply Supp. Mot. Partial Summ. J. and Resist. Defs.' Mot. Summ. J. 5-18, ECF No. 32. Defendants move for summary judgment in their favor, arguing Plaintiffs' motion must be denied and

Defendants' motion granted because the undisputed facts demonstrate Roth is entitled to qualified immunity and the City of Davenport is not subject to direct liability. Defs.' Br. Supp. Mot. Summ. J. and Resist. Pls.' Partial Mot. Summ. J. 12-32, ECF No. 25; Defs.' Reply Supp. Mot. Summ. J. 5-12, ECF No. 35. The Court first addresses whether Roth is entitled to qualified immunity and then turns to the City of Davenport's direct liability. For the reasons set forth below, the Court denies these aspects of Plaintiffs' motion and grants these aspects of Defendants' motion.

1. Roth's entitlement to qualified immunity

In support of their resistance and motion, Defendants argue Roth is entitled to qualified immunity. ECF No. 25 at 12-26. "The doctrine of qualified immunity protects government officials 'from liability for civil damages insofar as their conduct does not violate clearly established statutory or constitutional rights of which a reasonable person would have known.'" *Pearson v. Callahan*, 555 U.S. 223, 231 (2009) (quoting *Harlow v. Fitzgerald*, 457 U.S. 800, 818 (1982)). The doctrine, when applicable, is more than an affirmative defense for a government official sued in his or her individual capacity. Rather, it inoculates a defendant from suit and therefore must be addressed as an initial matter. *See id.* at 231; *see also Solomon v. Petray*, 699 F.3d 1034, 1038 (8th Cir. 2012) ("Qualified immunity 'is an *immunity from suit* rather than merely a defense to liability.'" (internal quotation marks and citation omitted)).

Qualified immunity involves a two-step inquiry: "(1) whether the facts shown by the plaintiff make out a violation of a constitutional or statutory right, and

(2) whether that right was clearly established at the time of the defendant's alleged misconduct." *Brown v. City of Golden Valley*, 574 F.3d 491, 496 (8th Cir. 2009) (citations omitted). Under the second prong, "a defendant cannot be said to have violated a clearly established right unless the right's contours were sufficiently definite that any reasonable official in the defendant's shoes would have understood that he was violating it." *Plumhoff v. Rickard*, 572 U.S. 765, 778-79 (2014) (citation omitted). "Under 'existing precedent,' the constitutional question that the officer faced must have been 'beyond debate,'" *Tatum v. Robinson*, 858 F.3d 544, 547 (8th Cir. 2017) (citing *Plumhoff*, 572 U.S. at 778-79), and the court must look at the state of the law at the time of the incident, *DeBoise v. Taser Int'l, Inc.*, 760 F.3d 892, 896 (8th Cir. 2014) (citation omitted). Additionally, courts must avoid defining "clearly established law at a high level of generality." *Kisela v. Hughes*, 584 U.S. 100, 104 (2018) (per curiam) (quoting *City & Cnty. of S.F. v. Sheehan*, 575 U.S. 600, 613 (2015)).

Plaintiffs assert Roth violated Klum's Fourth Amendment right against unreasonable seizure due to use of excessive deadly force. ECF No. 18 at 17-26. The Court begins by determining whether Roth violated this constitutional right. The Court then turns to the assessment of whether that right was clearly established at the time Klum was killed.

a. Prong 1: Whether Klum's constitutional right was violated

Plaintiffs argue Roth violated Klum's constitutional right against unreasonable seizure because Roth's use of deadly force was objectively unreasonable under the

circumstances. ECF No. 18 at 17-18. Addressing Roth's concern that Klum posed an imminent threat to bystanders, Plaintiffs argue the bystanders present were not close enough to be in imminent danger. ECF No. 18 at 21-26. Plaintiffs also argue Roth's explanation of his use of deadly force betrays that his assessment relied on improper speculation, indicating his action was objectively unreasonable. ECF No. 18 at 18-21; ECF No. 32 at 5-6. Last, Plaintiffs emphasize that Roth's action was objectively unreasonable in part because he failed to warn Klum he would use deadly force if Klum did not stop. ECF No. 32 at 12.

Defendants argue Roth did not violate Klum's constitutional right against unreasonable seizure. Defendants argue Roth's use of deadly force was justified in light of the factors set forth in *Graham v. Connor*, namely because he was fleeing arrest; he held a handgun, and he was walking toward bystanders—posing an immediate threat to them. ECF No. 25 at 14-16 (citing *Graham v. Connor*, 490 U.S. 386, 396-97 (1989)); ECF No. 35 at 7-8. Defendants also argue Roth was not required to provide an explicit warning to Klum before using deadly force. ECF No. 35 at 7 (citing *Loch v. City of Lichtfield*, 689 F.3d 961, 967 (8th Cir. 2012)). Upon review of the parties' arguments, the record, and the pertinent law, the Court finds there is no genuine dispute as to the material facts and Defendants are entitled to judgment that Roth did not violate Klum's Fourth Amendment right against unreasonable seizure as a matter of law.

“The Fourth Amendment protects individuals against law enforcement's use of unreasonable force during seizure.” *Wealot v. Brooks*, 865 F.3d 1119, 1125 (8th Cir. 2017) (citing *Graham*, 490 U.S. at 394). Under

the Fourth Amendment and the caselaw interpreting it, “apprehension by the use of deadly force is a seizure” and the force exercised in seizing a suspect must not be unreasonable. *See Tennessee v. Garner*, 471 U.S. 1, 7 (1985). The determination as to whether the force used during seizure is unreasonable “requires careful attention to the facts and circumstances of [the] particular case, including the severity of the crime at issue, whether the suspect poses an immediate threat to the safety of the officer or others, and whether he is actively resisting arrest or attempting to evade arrest by flight.” *Graham*, 490 U.S. at 396 (citing *Tennessee*, 471 U.S. at 8-9). “Where the suspect poses no immediate threat to the officer and no threat to others, the harm resulting from failing to apprehend him does not justify the use of deadly force to do so.” *Tennessee*, 471 U.S. at 11. But “[w]here the officer has probable cause to believe that the suspect poses a threat of serious physical harm, either to the officer or to others, it is not constitutionally unreasonable to prevent escape by using deadly force.” *Id.* at 11; *see also Liggins v. Cohen*, 971 F.3d 798, 801 (8th Cir. 2020) (“In dangerous situations where an officer has reasonable grounds to believe that there is an imminent threat of serious harm, the officer may be justified in using a firearm before a subject actually points a weapon at the officer or others.” (citing *Malone v. Hinman*, 847 F.3d 949, 954-55 (8th Cir. 2017); *Thompson v. Hubbard*, 257 F.3d 896, 899 (8th Cir. 2001))). The Supreme Court has also indicated a reasonable exercise of deadly force may involve “some warning” “where feasible.” *Tennessee*, 471 U.S. at 11-12.

This “reasonableness” inquiry is “an objective one: the question is whether the officers’ actions are

‘objectively reasonable’ in light of the facts and circumstances confronting them, without regard to their underlying intent or motivation.” *Graham*, 490 U.S. at 397 (citations omitted). At the same time, the facts and circumstances assessed must be those known “from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of hindsight.” *Id.* at 396 (citation omitted); *accord Schulz v. Long*, 44 F.3d 643, 648 (8th Cir. 1995) (“[T]he reasonableness inquiry extends only to those facts known to the officer at the precise moment the officers effectuate the seizure.”); *id.* at 649 n.3 (“[O]nly facts known to the officer immediately prior to the moment of seizure were to be factored into the ‘reasonableness’ calculation.”).

Further, even when an officer’s action is based on a misperception, the action is reasonable if the misperception was objectively reasonable. *Loch*, 689 F.3d at 965 (“An act taken based on a mistaken perception or belief, if objectively reasonable, does not violate the Fourth Amendment.” (citation omitted)); *accord Partlow v. Stadler*, 774 F.3d 497, 500 (8th Cir. 2014) (“It is possible the officers were mistaken in perceiving that Partlow was taking aim at them. Any such mistake, however, was objectively reasonable in light of the circumstances known to the officers.” (citations omitted)). The Supreme Court has emphasized, “[t]he calculus of reasonableness must embody allowance for the fact that police officers are often forced to make split-second judgments—in circumstances that are tense, uncertain, and rapidly evolving—about the amount of force that is necessary in a particular situation.” *Graham*, 490 U.S. at 396-97. In deciding whether the Fourth Amendment has been violated, courts should not second-guess whether officers may

have had an alternative course of action available, because “[t]he Constitution . . . requires only that the seizure be objectively reasonable, not that the officer pursue the most prudent course of conduct as judged by 20/20 hindsight vision.” *Estate of Morgan v. Cook*, 686 F.3d 494, 497 (8th Cir. 2012) (omission in original) (quoting *Cole v. Bone*, 993 F.2d 1328, 1334 (8th Cir. 1993)). The reasonableness of an officer’s actions under the Fourth Amendment is “a pure question of law.” *Scott*, 550 U.S. at 381 n.8.

Upon review of the undisputed facts, the Court finds Roth’s use of deadly force to seize Klum was not objectively unreasonable. At the time Roth shot Klum, Roth possessed knowledge of a limited set of facts. *Cf. Schulz*, 44 F.3d at 648 (“[T]he reasonableness inquiry extends only to those facts known to the officer at the precise moment the officers effectuate the seizure.”). Roth knew Klum had been evading arrest for over ten minutes. Roth Dep. 13:15-20, ECF No. 15-2 at Pls.’ App. 074; Mooty Body Camera 15:37:16-35, ECF No. 23-2 at Def. App. 4. He was aware of Mooty’s report that Klum was carrying a gun and reasonably perceived that Klum was carrying a gun when he encountered Klum. Roth Dep. 13:15-20, ECF No. 15-2 at Pls.’ App. 074; ECF No. 30-2 ¶ 23 (stating Mooty reported over the radio that Klum had a gun to his head); Roth Body Camera 15:39:35-45, ECF No. 23-2 at Def. App. 3 (containing Roth’s statement he was heading to the “gun call”); *id.* at 13:47:38-51 (telling Klum to “drop the gun”). ECF No. 30-2 ¶ 23 (stating Mooty reported over the radio that Klum had a gun to his head). He knew Klum had ignored several commands to put down the gun he carried. ECF No. 30-2 ¶¶ 32, 41; Roth Body Camera

15:47:30-47, 15:49:01-06, ECF No. 23-2 at Def. App. 3; ECF No. 15-1 ¶ 32; ECF No. 23-1 ¶ 32. Roth knew rubber bullets had been fired on Klum. *See* Roth Body Camera 15:48:55-49:09. Roth saw that despite these commands and the firing of less-lethal rounds, Klum continued to walk toward a residence toward which several bystanders had fled on foot less than 30 seconds before Roth fired. ECF No. 30-2 ¶ 41; Synced Video 1:30-2:05, ECF No. 15-2 at Pls.’ App. 049 (depicting bystanders fleeing south on Iowa Street at the time the first less-lethal round is fired and then gathering at a residence on the eastern side of the street); Roth Body Camera 15:48:52-49:02 (depicting Roth looking South on Iowa Street from its intersection with 9th Street); *accord* ECF No. 15-1 ¶ 20; ECF No. 23-1 ¶ 20. There is not a genuine dispute as to any of these facts.

The parties dispute whether an objectively reasonable officer would have viewed Klum as an immediate threat to the bystanders at the time Roth fired. *See* ECF No. 18 at 21-24; ECF No. 25 at 14-15. The Court finds, as a matter of law, an objectively reasonable officer in Roth’s position would view Klum as posing an immediate threat to the bystanders at the time Klum was shot. *Cf. Scott*, 550 U.S. at 381 n.8. Klum evaded arrest for twelve minutes, possessing what Roth and officers on the scene reasonably perceived to be a deadly weapon. A suspect fleeing arrest while carrying a firearm is reasonably viewed as posing an immediate threat to nearby officers and bystanders because it “would take only an instant” for the suspect to point and shoot. *Liggins*, 971 F.3d at 800. Although Klum held the gun to his head, the inference that this indicated mental distress or illness

did not reduce the immediacy or severity of the threat posed. *See* Roth Dep. 16:8-10, ECF No. 15-2 at Pls.’ App. 074 (containing Roth’s statement he saw Klum “holding the gun to his head”); *Kong ex rel. Kong v. City of Burnsville*, 960 F.3d 985, 991 (8th Cir. 2020) (“[M]ental illness or intoxication does not reduce the immediate and significant threat a suspect poses.” (citations omitted)). Further, the absence of evidence establishing Klum pointed his gun toward a bystander does not necessitate a conclusion that he posed no threat to them. *see Liggins*, 971 F.3d at 801 (“In dangerous situations where an officer has reasonable grounds to believe that there is an imminent threat of serious harm, the officer may be justified in using a firearm before a subject actually points a weapon at the officer or others.” (citation omitted)).

Plaintiffs suggest Klum could not reasonably be viewed as an immediate or imminent threat at the time he was shot because he was not physically close enough to the nearest bystanders to pose a threat. ECF No. 18 at 24-25. It is undisputed that the two bystanders closest to Klum were 148 feet and 179 feet away from him. ECF No. 30-2 ¶¶ 56-57. It is also undisputed that a handgun is a lethal threat to a person within 300 feet. *Id.* ¶ 58. Because Roth knew Klum had evaded arrest for over ten minutes, had disobeyed officers’ commands to drop his weapon, and was not subdued by less lethal rounds only fifteen seconds before Roth fired the lethal shot, *see also* ECF No. 15-1 ¶ 69; ECF No. 23-1 ¶ 69; Roth Body Camera 15:49:01-06, ECF No. 23-2 at Def. App. 3, an officer in Roth’s position would reasonably view Klum as an immediate—if not imminent—threat to bystanders who were close enough to be shot and

killed in “only an instant,” *Liggins*, 971, F.3d at 800; *see also Loch*, 689 F.3d at 966-67 (describing events occurring over the course of “just fifteen to twenty seconds” as “the type of ‘tense, uncertain, and rapidly evolving’ situation requiring ‘split-second judgments’ that we are hesitant to second-guess with the benefit of hindsight” (quoting *Graham*, 490 U.S. at 396-97)); Roth Body Camera 15:49:01-06, ECF No. 23-2 at Def. App. 3 (showing the screams of bystanders could be heard from Roth’s position fifteen seconds before and immediately after he shot Klum).

This assessment of the threat Klum posed is based on “actual information” about Klum’s behavior and the proximity of bystanders, at the time Roth fired the lethal rounds, not “after-the-fact speculation” of a “hypothetical harm.” *Cf. Z.J. ex rel. Jones v. Kansas City Bd. of Police Commissioners*, 931 F.3d 672, 682-83 (8th Cir. 2018) (finding assessment of the threats allegedly justifying a SWAT team member’s decision to throw a “flash-bang grenade” into a house could not rely on the assumption that people in the house might pose a threat when the suspect was already in custody and they neither knew who was in the house nor possessed any indication that people in the residence would pose a threat); *Graham*, 490 U.S. at 397 (assessing facts from objective standpoint at the time of event, not 20/20 hindsight). Further, the reasonable exercise of deadly force in these circumstances did not require expressly warning Klum that such force would be used. Officers, including Roth, had commanded Klum to drop his weapon and stop while the officers’ own firearms were drawn. *See e.g.*, Roth Body Camera 15:47:30-47, 15:49:01-06, ECF No. 23-2 at Def. App. 3. This conduct was sufficient to put Klum “on notice

that his ‘escalation of the situation would result in the use of [an officer’s] firearm.’” *Loch*, 689 F.3d at 967 (quoting *Estate of Morgan*, 686 F.3d at 498). For these reasons, because Klum had resisted arrest for over ten minutes and because a reasonable officer in Roth’s position would have viewed Klum as posing an immediate deadly threat to bystanders, the Court concludes Roth’s use of deadly force to seize Klum did not violate Klum’s right against unreasonable seizure under the Fourth Amendment. *Cf. Liggins*, 971 F.3d at 801-02 (finding deadly force was not unreasonable when used to seize a suspect fleeing arrest with a gun in hand and running in the general direction of the firing officer). On these grounds Roth is entitled to qualified immunity. *Brown*, 574 F.3d at 496.

b. Prong 2: Whether the law was “clearly established”

As a second independent justification for Roth’s qualified immunity, the Court also finds Plaintiffs have not carried their burden of producing cases showing it was clearly established that Roth’s use of deadly force, in the circumstances at issue, violated Klum’s right against unreasonable seizure. *Id.* at 496; *see also Hanson as Tr. for Layton v. Best*, 915 F.3d 543, 548 (8th Cir. 2019) (stating the plaintiff has the burden of demonstrating his right was “clearly established” by identifying controlling authority from the Supreme Court or Eighth Circuit that puts the issue beyond debate).

The primary case on which Plaintiffs appear to rely is *Partridge v. City of Benton*. ECF No. 18 at 18 (citing 929 F.3d 562 (8th Cir. 2019)) (describing *Partridge* as “right on point”); ECF No. 32 at 12-13

(same). In *Partridge*, the Eighth Circuit addressed an appeal of a motion on the pleadings in which the allegations stated an officer had been called to respond to a minor who was armed, suicidal, and under the influence of cough syrup and possibly marijuana. 929 F.3d at 564-65. The officer found the minor in an isolated area and told him to drop his gun. *Id.* at 565. Taking the allegations in the complaint as true and drawing all inferences in the plaintiff's favor, the Eighth Circuit read the facts to indicate the minor was shot while moving the gun in compliance with the officer's orders, never pointing the gun at the officer while doing so. *Id.* The Eighth Circuit held no reasonable officer would have viewed the minor as posing an immediate threat at that time and concluded the use of deadly force was unreasonable. *Id.*

The facts of *Partridge*, however, are materially different from those in this case. Klum, unlike the minor in *Partridge*, was a 37-year-old adult and a suspect fleeing arrest. ECF No. 3 ¶ 12; ECF No. 30-2 ¶¶ 59-60. Further, Klum was not in an isolated area; he was in a residential area near bystanders and advancing toward them. Synced Video 1:30-2:05, ECF No. 15-2 at Pls.' App. 049. Due to these differences, more factors support the reasonableness of using deadly force against Klum than against the minor in *Partridge*. See *Graham*, 490 U.S. at 396 (indicating reasonableness depends in part on whether the suspect poses an immediate threat to the officer or others and whether he is "attempting to evade arrest by flight"). Accordingly, the Eighth Circuit's conclusion in *Partridge* that the officer used unreasonably excessive force did not clearly establish that the same would be true in the circumstances of Klum's

death. *See Kisela*, 584 U.S. at 104 (explaining the law is not clearly established if described at a “high level of generality” and further emphasizing “[s]pecificity is especially important in the Fourth Amendment context, where the Court has recognized that it is sometimes difficult for an officer to determine how the relevant legal doctrine, here excessive force, will apply to the factual situation the officer confronts”).

Kong, a case cited by Defendants, further supports the conclusion that Klum’s purported right against deadly force within the circumstances at issue was not clearly established. *See* ECF No. 25 at 22 (citing *Kong*, 960 F.3d 985). In *Kong*, an individual, Map Kong, initially sat in his car waving a knife, ignoring officers’ commands to drop his weapon and submit to arrest. 960 F.3d at 989-90. After the officers used a non-lethal means in an attempt to subdue him, a taser, Kong fell out his vehicle and ran with knife in hand through a parking lot in which bystanders sat in their vehicles. *Id.* at 990. Officers then fired lethal rounds, killing Kong. *Id.* The Eighth Circuit held that Kong did not possess a clearly established right under the Fourth Amendment against this seizure by deadly force. *Id.* at 991-92. This holding supports the conclusion that Klum also lacked a clearly established right against such seizure because Kong, carrying a knife rather than a gun, posed a risk to the bystanders in vehicles that was less immediate than the risk posed by Klum to two bystanders not protected by similar cover. *See id.* at 991-93.

For these reasons, Klum did not possess a clearly established right against seizure by deadly force. *Cf. Brown*, 574 F.3d at 496. The Court thus concludes both prongs of the qualified immunity

analysis independently establish Roth's entitlement to qualified immunity. *Id.*

2. Davenport's liability under the Fourth Amendment

Plaintiffs argue the City of Davenport is directly liable for Roth's alleged constitutional violations under a theory of *Monell* liability because the City of Davenport "mis-trained" Roth. ECF No. 18 at 32; *accord* ECF No. 32 at 16-18 (citing *Monell v. Dep't of Soc. Servs. of the City of N.Y.*, 436 U.S. 658 (1978)); *see also* Am. Pet. ¶ 88, ECF No. 1-1 at 257 (asserting the City of Davenport caused Klum's death by "failing to adequately train and supervise Defendant Roth"). Defendants argue the City of Davenport is not directly liable under *Monell* because Roth did not violate Klum's constitutional rights. ECF No. 25 at 26. Defendants further argue Plaintiffs have presented no evidence of a policy or custom of using unconstitutional deadly force or of a failure to train exhibiting deliberate indifference to violations of federal constitutional rights. *Id.* at 27-30; ECF No. 35 at 11-12.

"[I]n order for [*Monell*] liability to attach, individual liability first must be found on an underlying substantive claim." *Johnson v. City of Ferguson*, 926 F.3d 504, 506 (8th Cir. 2019) (internal quotation marks and citations omitted); *accord Webb v. City of Waterloo*, No. 17-CV-CJW-MAR, 2019 WL 6736219, at *20 (N.D. Iowa Dec. 11, 2019) ("A municipality cannot be held liable under *Monell* unless plaintiff suffered a constitutional injury at the hands of the municipality's officer." (citing *City of Los Angeles v. Heller*, 475 U.S. 796, 799 (1986))). As discussed above, the Court has concluded Roth did not violate Klum's constitutional

right against unreasonable seizure. *See supra* Section IV.A.1.a. For this reason, the City of Davenport cannot be held liable under *Monell*. *Cf. Johnson*, 926 F.3d at 506.

Further, Plaintiffs have failed to raise evidence showing at least a genuine issue of fact as to whether the City of Davenport has a policy or custom employing unconstitutional practices or whether the City of Davenport has failed to train officers in a manner showing deliberate indifference to violations of federal constitutional rights. A municipality may be directly liable for a constitutional injury when the injury “occurs during the execution of a government’s policy or custom” and the plaintiff establishes “a direct causal link between [the] municipal policy or custom and the alleged constitutional deprivation.” *Williams v. City of Burlington*, 516 F. Supp. 3d 851, 871 (S.D. Iowa 2021) (first quoting *Monell*, 436 U.S. at 694; then quoting *City of Canton v. Harris*, 489 U.S. 378, 385 (1989)). A failure to train may also create direct liability for a constitutional deprivation if the decision to not train shows deliberate indifference to a “pattern of similar constitutional violations by untrained employees.” *Folkerts v. City of Waverly*, 707 F.3d 975, 982 (8th Cir. 2013) (citation omitted). Here, Plaintiffs fail to raise evidence showing at least a genuine issue as to any of these prerequisites for direct liability.

Plaintiffs emphasize they do not assert a failure to train theory. ECF No. 32 at 17. Rather they seek to show the City of Davenport had a “policy” of training officers in an unconstitutional “shoot first” strategy for managing armed suspects. *Id.* at 17-18; *accord* ECF No. 18 at 26-32. They further argue Roth violated Klum’s constitutional right against

unreasonable seizure “because Roth applied this unlawful training.” ECF No. 32 at 18. But the evidence cited by Plaintiffs would support, at most, the finding that the City of Davenport had a policy of teaching officers principles about their reaction times relative to the amount of time a suspect may take to point and shoot his weapon. *See* Hanssen Dep. 56:10-60:20, ECF No. 15-2 at Pls.’ App. 058-59; Defs.’ Resp. Pls.’ Requests for Admissions Nos. 1, 2, Pls.’ App. Supp. Mot. Summ. J., ECF No. 15-2 at Pls.’ App. 085 (admitting Roth accurately describes his training when stating it informed him “[e]ven if you have your weapon out and aimed at [an armed suspect], your reaction time is never going to be as fast as their reaction”). This evidence does not indicate it was the City of Davenport’s policy to exercise lethal force to seize armed suspects in situations similar to those in which Roth shot Klum. *See* Hanssen Dep. 56:23-57:22, 60:14-20, ECF No. 15-2 at Pls.’ App. 058-59 (stating the fact a person is holding a gun, viewed in light of the training, is not “alone in and of itself” justification for use of deadly force).

Accordingly, Plaintiffs have failed to raise a genuine issue as to whether there was a policy of the City of Davenport that directly caused the use of lethal force that Plaintiffs believe to be a constitutional violation. *Cf. Williams*, 516 F. Supp. 3d at 871. Defendants are entitled to judgment as a matter of law on Plaintiffs’ *Monell* claim on this further basis as well.

B. Plaintiffs’ Claims Under Iowa Law

In Plaintiffs’ amended petition, they assert Defendants violated article I, section 8 of the Iowa

Constitution for the same reasons that Defendants purportedly violated the Fourth Amendment. Am. Pet. ¶¶ 85-92, ECF No. 1-1 at 256-57. Plaintiffs also assert negligence claims against Roth and the City of Davenport under Iowa common law. *Id.* ¶¶ 93-107, ECF No. 1-1 at 258-60. They allege Roth violated a duty to exercise reasonable care by using unwarranted deadly force against Klum. *Id.* ¶¶ 99-100, ECF No. 1-1 at 258-59. They also allege the City of Davenport violated a duty to exercise reasonable care by not having reasonable policies on the use of deadly force and by failing to properly train, equip and supervise Roth regarding the use of deadly force. *Id.* ¶¶ 101-03, ECF No. 1-1 at 259. Further, Albright asserts a claim for loss of consortium due to Klum's death. *Id.* ¶¶ 108-114, ECF No. 1-1 at 260-61. Last, in their resistance to Defendants' motion for summary judgment, Plaintiffs—for the first time—argue certain allegations asserted in the background facts of the amended petition as well as Counts I and II also plead claims for “assault, battery and for violation of express statutes on use of deadly force, [Iowa Code §§] 804.8 and 704.” ECF No. 32 at 19-20 (citing Am Pet. ¶¶ 2, 21-23, 35, 60, 62, 68, 70, 74, 76, 86, 98, 105, ECF No. 1-1 at 238, 242, 246, 253, 254-56, 258-59).

Plaintiffs move for summary judgment holding Defendants liable for Plaintiffs' negligence claims. *See* ECF No. 15 at 1. Defendants move for summary judgment on all of Plaintiffs' state law claims. *See* ECF No. 22; *see also* ECF No. 35 at 12-13 (arguing Plaintiffs have not pled claims for assault, battery, or violation of Iowa Code §§ 704 and 804.8). For the reasons set forth below, Defendants' motion is granted as to Plaintiffs' claims under state law. As an initial

matter, the Court explains its decision to exercise supplemental jurisdiction over these claims despite granting Defendants' summary judgment of Plaintiffs' claims under federal law. Next the Court addresses the disputes as to Plaintiffs' claims asserted directly under the Iowa Constitution. Then the Court addresses Plaintiffs' argument that they have pled claims for assault and battery. Last the Court addresses Plaintiffs' claims for negligence and loss of consortium.

1. Supplemental jurisdiction

“Federal courts are courts of limited jurisdiction.” *Kokkonen v. Guardian Life Ins. Co. of Am.*, 511 U.S. 375, 377 (1994). The Court has found Defendants are entitled to summary judgment on each of Plaintiffs' federal law claims—the only claims over which the Court has original jurisdiction. *See supra* Section IV.A; 28 U.S.C. § 1331. Accordingly, the Court has discretion whether to exercise supplemental jurisdiction over the remaining state law claims. *See Zubrod v. Hoch*, 907 F.3d 568, 580 (8th Cir. 2018) (“A district court [that] has dismissed all claims over which it has original jurisdiction may decline to exercise supplemental jurisdiction.” (alteration in original) (internal quotation marks omitted) (quoting 28 U.S.C. § 1367(c)(3))). In determining how to exercise its discretion, the Court considers “the stage at which the federal claims were disposed of, ‘the difficulty of the state claim, the amount of judicial time and energy already invested in it, the amount of additional time and energy necessary for its resolution, and the availability of a state forum.’” *Marshall v. Green Giant Co.*, 942 F.2d 539, 549 (8th Cir. 1991) (quoting *Koke v. Stifel, Nicolaus & Co., Inc.*, 620 F.2d 1340, 1346 (8th Cir. 1980)). Plaintiffs' federal law claims were not

dismissed until the summary judgment stage; the Court and parties have already invested significant time and energy into the case, and the amount of additional time and energy necessary for the resolution of the remaining matters is relatively minimal. The Court thus finds it is appropriate to exercise supplemental jurisdiction over the remaining state law claims. *Cf. id.*

2. Plaintiffs' Claims Under the Iowa Constitution

Plaintiffs' amended petition asserts in Count I that Roth's alleged use of excessive deadly force violated article I, section 8 of the Iowa Constitution. Am. Pet. ¶¶ 85-92, ECF No. 1-1 at 256-57. Plaintiffs now concede "that private rights of action directly under the Iowa Constitution are not allowed." ECF No. 32 at 19. Consistent with this concession, Defendants argue Plaintiffs' claims in Count I asserting violation of the Iowa Constitution must be dismissed because they are no longer cognizable actions under Iowa law. ECF No. 25 at 32-33.

Indeed, in *Burnett v. Smith*, the Iowa Supreme Court held there is no "standalone cause of action for money damages under the Iowa Constitution unless authorized by common law, an Iowa statute, or the express terms of a provision of the Iowa Constitution." 990 N.W.2d 289, 307 (Iowa 2023). Article I, section 8 of the Iowa Constitution lacks an express cause of action. *See* Iowa Const. art. I, § 8; *White v. Harkrider*, 990 N.W.2d 647, 652 (Iowa 2023) (affirming dismissal of the plaintiff's constitutional tort claim under article I, section 8 of the Iowa Constitution); *Wagner v. State*, No. 22-1625, 2024 WL 1295494, at *2-3 (Iowa Ct. App.

Mar. 27, 2024) (concluding constitutional tort claims, including those asserting violation of article I, section 8 of the Iowa Constitution, “are no longer recognized as a cause of action” (citing *Burnett*, 990 N.W.2d at 307)). For this reason, Defendants are entitled to summary judgment dismissing Plaintiffs’ claims seeking relief for violation of article I, section 8 of the Iowa Constitution.³

3. Plaintiffs’ Claims for Assault and Battery

Plaintiffs argue certain allegations asserted across the background facts of the amended petition and in

³ Plaintiffs attempt to partially reframe their reliance on the Iowa Constitution by arguing that, under *Burnett*, common law claims recognized at the time of the adoption of the Iowa Constitution—such as assault and battery—are “protected by the Iowa Constitution.” ECF No. 32 at 24; *see also id.* at 19-24. By this Plaintiffs seem to mean that such claims are insulated from defenses asserting immunity from suit under Iowa statutes. *See id.* at 24-26; *id.* at 26 (“As the Iowa Supreme Court held in *White v. Harkrider*, constitutional claims that survive *Burnett* are subject to the common law defense of justification, not qualified immunity. The Iowa founders would have been puzzled by the notion of qualified immunity which was first applied in the 1960s.” (citations omitted)). Plaintiffs’ position, however, is not persuasive because the case on which they rely, *White v. Harkrider*, does not support that position. *See White*, 990 N.W.2d at 652, 656-57 (nowhere addressing immunity and instead finding that the plaintiff’s constitutional tort claims cannot proceed, that the common law assault claim was adequately alleged for purposes of the motion to dismiss, and that the defendant’s affirmative defense of justification was inapposite to the issue of the sufficiency of the plaintiff’s pleading). Furthermore, as set forth below, Plaintiffs belatedly raised claims for assault and battery will not be recognized at this late stage.

Counts I and II state claims for “assault, battery and for violation of express statutes on use of deadly force, [Iowa Code §§] 804.8 and 704.” ECF No. 32 at 19-20 (citing Am. Pet. ¶¶ 2, 21-23, 35, 60, 62, 68, 70, 74, 76, 86, 98, 105, ECF No. 1-1 at 238, 242, 246, 253, 254-56, 258-59). Defendants reply that Plaintiffs’ allegations do not appropriately plead claims for assault, battery, or violations of Iowa Code §§ 704 and 804.8. ECF No. 35 at 12-13. The Court concurs with Defendants’ position. Iowa Code §§ 704 and 804.8 do not themselves support an independent claim, and at this late stage in the litigation, the Court declines to recognize Plaintiffs’ disparate allegations as adequately pleading common law claims for assault and battery.

As an initial matter, the Court does not recognize Plaintiffs’ contentions under Iowa Code §§ 704 and 804.8. “A private statutory cause of action exists only when the statute, explicitly or implicitly, provides such a cause of action.” *Mueller v. Wellmark, Inc.*, 818 N.W.2d 244, 254 (Iowa 2012) (internal quotation marks and citations omitted). Iowa Code §§ 704 and 804.8 do not provide private causes of action separate and apart from those provided by the common law. *See* Iowa Code §§ 704.1-704.13 (defining “reasonable force” and “deadly force” and stating justifications for the use of such force); *see also Blacketer v. Iowa Div. of Narcotics Enf’t*, No. 07-0561, 2007 WL 4191979, at *3 (Iowa Ct. App. Nov. 29, 2007) (“Section 804.8 does not state a cause of action but rather is a defense to what would otherwise be an assault or battery.”). For this reason, insofar as Plaintiffs sought to assert claims independently and directly under Iowa Code

§§ 704 and 804.8, the Court does not recognize such claims.

As to Plaintiffs' contention that the amended petition contains common law assault and battery claims, the Court declines to recognize these claims. Under the law of the Eighth Circuit, trial courts properly refuse to consider claims newly identified after the close of discovery and on the eve of summary judgment. *See Wendt v. Iowa*, 971 F.3d 816, 820-21 (8th Cir. 2020). This rule derives from the requirement under Federal Rule of Civil Procedure 8, that pleadings “give the defendant fair notice of what the . . . claim is and the grounds upon which it rests.” *WireCo WorldGroup, Inc. v. Liberty Mut. Fire Ins.*, 897 F.3d 987, 992-93 (8th Cir. 2018) (omission in original) (quoting *Bell Atl. Corp.*, 550 U.S. at 555).⁴ Although the pleading requirements under the Federal Rules of Civil Procedure, are “relatively permissive,” they “do not entitle parties to manufacture claims, which were not pled, late into the litigation for the purposes of avoiding summary judgment.” *Wendt*, 971 F.3d at 821 (quoting *Singleton v. Ark. Hous. Auth. Prop. & Cas.*

⁴ The parties cite to pleading standards under Iowa law, but federal courts apply federal pleading standards even when adjudicating state law claims. *Karnatcheva v. JPMorgan Chase Bank, N.A.*, 704 F.3d 545, 548 (8th Cir. 2013) (“We apply federal pleading standards—Rules 8 and 12(b)(6)—to the state substantive law to determine if a complaint makes out a claim under state law.” (citations omitted)); *Christiansen v. W. Branch Cmty. Sch. Dist.*, 674 F.3d 927, 938-39 (8th Cir. 2012) (“By including federal claims in [the] state-court complaint, [the plaintiff] subjected [themselves] to the possibility that the defendant would remove the case to federal court, where [the] complaint would be governed by the current federal pleading standard.” (internal quotation marks and citations omitted)).

Self-Insured Fund, 934 F.3d 830, 837 (8th Cir. 2019)). In *Wendt*, this led the Eighth Circuit to find the district court properly declined to consider a claim that a search warrant was overbroad, noting that the argument about overbreadth was first raised after the close of discovery. *Id.* Further, although the plaintiffs argued their complaint contained allegations about overbreadth as part of their claim for lack of probable cause, the court emphasized that the Supreme Court’s qualified immunity analysis distinguishes facts establishing an overbroad warrant and facts in an affidavit establishing actual probable cause. *See id.*

Similar to the overbreadth claim in *Wendt*, this Court views Plaintiffs’ newly articulated claims for assault and battery as improperly “manufacture[d] claims” barred by the notice requirement of the federal pleading rules. *Singleton*, 934 F.3d at 837. Plaintiffs’ amended petition expressly identifies four sources of law under which their claims are asserted—the Fourth Amendment of the United States Constitution, article I, section 8 of the Iowa Constitution, negligence under the Iowa common law, and loss of consortium under the Iowa common law. Am. Pet. ¶¶ 85-114, ECF No. 1-1 at 256-61. The amended petition nowhere references common law claims for assault or battery. *See generally id.* Plaintiffs suggest the existence of such claims for the first time in their resistance to Defendants’ motion for summary judgment. ECF No. 32 at 19-23. Plaintiffs argue assault and battery are similar to excessive force claims, but the elements of assault and battery are distinct from constitutional tort claims asserting excessive force. *Compare* Restatement (Second) of Torts §§ 13-18 (1965), and *Nelson v. Winnebago Indus.*,

Inc., 619 N.W.2d 385, 388-89 (Iowa 2000) (citing Restatement (Second) of Torts §§ 13, 18 (1965)), *with Scott*, 550 U.S. at 381 n.8, *Graham*, 490 U.S. at 396, and *Wilson v. Lamp*, 995 F.3d 628, 635 (8th Cir. 2021) (noting the “Iowa Supreme Court’s standard for excessive force does not materially differ from the federal standard”). Moreover, the alleged facts that Plaintiffs now identify as supportive of purported claims of assault and battery are pulled from the background allegations and from counts expressly associated with the constitutional tort and negligence claims. ECF No. 32 at 19-20 (citing Am Pet. ¶¶ 2, 21-23, 35, 60, 62, 68, 70, 74, 76, 86, 98, 105, ECF No. 1-1 at 238, 242, 246, 253, 254-56, 258-59). Such pleadings did not provide sufficient notice of an intention to assert claims of assault and battery. *Cf. WireCo WorldGroup*, 897 F.3d at 992-93. Further, discovery closed on February 1, 2024, and trial is set for September 2024. Scheduling Order, ECF No. 8. Permitting Plaintiffs to now assert these insufficiently pleaded claims at this late stage in the litigation would be inconsistent with the Eighth Circuit’s interpretation of the Federal Rules of Civil Procedure. *Cf. Wendt*, 971 F.3d at 821.

For the foregoing reasons, Plaintiffs’ newly raised claims for assault, battery, and violation of Iowa Code §§ 704 and 804.8 are not recognized.⁵

⁵ Even were the Court to recognize the claims for assault and battery, Defendants would be entitled to summary judgment on those claims. The Court’s conclusion that Roth’s use of deadly force was objectively reasonable under the Fourth Amendment would require that the Court grant summary judgment on state law assault and battery claims arising from the same conduct. *Dunn v. Doe 1-22*, 670 F. Supp. 3d 735, 843 (S.D. Iowa 2023)

4. Plaintiffs' Claims for Negligence and Loss of Consortium

Defendants argue they are entitled to summary judgment on Plaintiffs' negligence claims and Albright's loss of consortium claim. ECF No. 25 at 37-41. As to Plaintiffs' claim asserting Roth was negligent in his use of excessive force, *see* Am. Pet. ¶¶ 99, 105, ECF No. 1-1 at 258-59, Defendants argue Roth's use of force was not negligent for the same reason it did not violate the Fourth Amendment—his use of force was objectively reasonable. ECF No. 25 at 37-38. Defendants also argue Iowa's statutes providing qualified immunity to officers and employees of municipalities, and emergency response immunity further bolster the conclusion that Roth is not liable for negligence. ECF No. 25 at 38-39. As to the City of Davenport's liability, Defendants argue it is neither vicariously liable for Roth's actions nor liable for negligently supervising Roth because he did not commit an underlying tortious act. *Id.* at 39-40. Last, Defendants argue Albright's loss of consortium claim cannot lie because Defendants are not otherwise liable for Plaintiffs' injuries. *Id.* at 41. Plaintiffs' only response to these arguments is that Defendants waived "[a]ny claim to statutory immunity" by purchasing insurance coverage for the claims made in this case. ECF No. 32 at 26.

The Court first addresses Roth's liability for negligence and his entitlement to qualified immunity under Iowa law. The Court then addresses the City of Davenport's liability for Roth's alleged negligence. The Court does not reach Defendants' discussion of

(citing *Dooley v. Tharp*, 856 F.3d 1177, 1184 (8th Cir. 2017)).

emergency response immunity. Last the Court addresses Albright's loss of consortium claim.

a. Roth's Liability for Negligence and Statutory Qualified Immunity

The Court finds Roth is entitled to summary judgment dismissing Plaintiffs' negligence claim against him because the conclusion that his use of force was objectively reasonable under the Fourth Amendment requires the further conclusion that his use of force was not negligent under Iowa law. *See Church v. Anderson*, 249 F. Supp. 3d 963, 979 (N.D. Iowa 2017). Iowa statute authorizes officers to use reasonable force "necessary to effect the arrest or defend any person from bodily harm while making the arrest." Iowa Code § 804.8. This authorization has been interpreted as applying a standard that is "essentially the same as the federal Fourth Amendment objective reasonableness standard of *Graham v. Connor*." *Lawyer v. City of Council Bluffs*, 240 F. Supp. 2d 941, 954-55 (S.D. Iowa 2002) (citing *Chelf v. Civil Serv. Comm'n of City of Davenport*, 515 N.W.2d 353, 355-56 (Iowa Ct. App. 1994)). Accordingly, where an officer's use of force to effect arrest is found objectively reasonable under the Fourth Amendment, this is sufficient to direct the further conclusion that the officer's use of force was not negligent under Iowa law. *Dooley v. Tharp*, 856 F.3d 1177, 1184 (8th Cir. 2017); *accord Church*, 249 F. Supp. 3d at 979; *Lawyer*, 240 F. Supp. 2d at 954-55; *Christiansen v. Eral*, No. 22-1971, 2024 WL 108848, at *5 (Iowa Ct. App. Jan. 10, 2024) (finding the conclusion that officer action was an objectively reasonable seizure under the Fourth Amendment implies the officer's actions "cannot be negligent because they were authorized under law"); *see also*

Burnett, 990 N.W.2d at 299 (“The common law tradition permitted common law claims against local law enforcement officials for tortious actions taken in excess of their authority.”). On these grounds, the Court finds Roth’s use of force was not negligent because it was objectively reasonable under the Fourth Amendment. *Cf. Dooley*, 856 F.3d at 1184; *Christiansen*, 2024 WL 108848, at *5.

The Court finds this conclusion concerning Roth’s liability is bolstered by the qualified immunity to which Roth is entitled under Iowa statute. Iowa Code § 670.2 states, “Except as otherwise provided in this chapter, every municipality is subject to liability for its torts and those of its officers and employees, acting within the scope of their employment or duties, whether arising out of a governmental or proprietary function.” *See also* Iowa Code § 670.1(2) (defining “[m]unicipality” to include cities). Iowa Code section 670.4A, however, exempts from monetary damages liability all employees and officers who are subject to a claim if:

The right, privilege, or immunity secured by law [and on which the claim is based] was not clearly established at the time of the alleged deprivation, or at the time of the alleged deprivation the state of the law was not sufficiently clear that every reasonable employee would have understood that the conduct alleged constituted a violation of law.

Iowa Code § 670.4A(1)(a). This statute adopts “a state law version of qualified immunity that tracks the qualified immunity doctrine as it exists under federal law.” *Stark v. Hamelton*, No. 3:18-cv-00069-RGE-SHL, 2021 WL 4056716, at *4 (S.D. Iowa Sept. 2, 2021)

(citation omitted). Pursuant to this statute, the authorities cited above concerning Klum’s qualified immunity under *Graham v. Connor* and its progeny show, at least, that it was not clearly established that Roth’s use of force was negligent at the time Klum was killed. *Cf. id.*; *Christiansen*, 2024 WL 108848, at *5; *see supra* Section IV.A.1.a. Further, this immunity is not waived by the City of Davenport’s insurance policy. Iowa Code § 670.7(2) states, “The procurement of [] insurance constitutes a waiver of the defense of governmental immunity as to those exceptions listed in section 670.4 to the extent stated in the policy.” But Roth’s qualified immunity arises under § 670.4A. The Court does not reach the parties’ dispute as to Defendants’ emergency response immunity under § 640.4(k).

b. The City of Davenport’s Liability for Negligence

Defendants argue the City of Davenport is neither vicariously liable for Roth’s negligence nor liable for negligently hiring, supervising, or retaining Roth because Roth’s use of force was not negligent. ECF No. 25 at 39-41. The Court finds Defendants’ position persuasive. “[A]n adjudication that the agent was not negligent will preclude the principal’s vicarious liability.” *Hook v. Trevino*, 839 N.W.2d 434, 441 (Iowa 2013). Similarly, to recover on a theory of negligent hiring, supervision, or retention, “an injured party must show the employee’s underlying tort or wrongful act caused a compensable injury, in addition to proving the negligent hiring, supervision, or retention by the employer was a cause of those injuries.” *Struck v. Mercy Health Srvs.-Iowa Corp.*, 973 N.W.2d 533, 544 (Iowa 2022) (internal quotation marks and

citation omitted); accord *Schoff v. Combined Ins. Co. of Am.*, 604 N.W.2d 43, 53 (Iowa 1999) (“[A]n employer cannot be held liable for negligent supervision or training where the conduct that proper supervision and training would have avoided is not actionable against the employee.”). Accordingly, Plaintiffs’ negligence claims against the City of Davenport fail on either a vicarious liability theory or a negligent, hiring, supervision, or retention theory because the Court has concluded Roth was not negligent. *Cf. Hook*, 839 N.W. at 441; *Struck*, 973 N.W.2d at 544.

c. Defendants’ Liability for Loss of Consortium

Because Plaintiffs’ have not established either Roth or the City of Davenport are liable for Klum’s alleged injuries, Albright’s loss of consortium claim cannot lie. See *Kelly v. Ethicon, Inc.*, 511 F. Supp. 3d 939, 956 (N.D. Iowa 2021) (noting loss of consortium cannot lie when the defendant is not liable for the plaintiff’s injuries on the underlying claims). On these grounds, Defendants are entitled to summary judgment as to Albright’s loss of consortium claim as well.

V. Conclusion

As set forth above, the Court finds there is no genuine issue of material fact as to Plaintiffs’ excessive force claim under the Fourth Amendment, and the Court finds Defendants are entitled to summary judgment that Roth’s use of force was objectively reasonable and to Roth’s qualified immunity. The Court also concludes Defendants are entitled to summary judgment on Plaintiffs’ *Monell* claim against

the City of Davenport. Further, the Court does not recognize Plaintiffs' attempt to belatedly raise new claims for assault and battery. As to Plaintiffs' negligence claims, the Court finds Defendants are entitled to summary judgment because the conclusion that Roth used objectively reasonable force under the Fourth Amendment directs the further conclusion that he was not negligent under Iowa law. Last, because Defendants are entitled to judgment that they are not liable for Plaintiffs' Fourth Amendment and negligence claims, the Court further concludes Defendants are not liable for Albright's loss of consortium claim.

IT IS ORDERED that Defendants City of Davenport and Mason Roth's Motion for Summary Judgment, ECF No. 22, is GRANTED.

IT IS FURTHER ORDERED that Plaintiffs Nicole Klum and Wanda Albright's Motion for Partial Summary Judgment, ECF No. 15, is DENIED.

Each party is responsible for its own costs.

The Clerk of Court is ordered to enter judgment in favor of Defendants City of Davenport and Mason Roth and against Plaintiffs Nicole Klum and Wanda Albright.

IT IS SO ORDERED.

Dated this 30th day of May, 2024.

/s/ Rebecca Goodgame Ebinger
United States District Judge

**ORDER DENYING PETITION FOR
REHEARING EN BANC, U.S. COURT OF
APPEALS FOR THE EIGHTH CIRCUIT
(SEPTEMBER 3, 2025)**

UNITED STATES COURT OF APPEALS
FOR THE EIGHTH CIRCUIT

NICOLE KLUM, ESTATE OF BOBBY JO KLUM;
WANDA ALBRIGHT,

Appellants,

v.

CITY OF DAVENPORT and MASON ROTH,

Appellees.

No. 24-2165

Appeal from U.S. District Court for the Southern
District of Iowa – Eastern (3:23-cv-00043-RGE)

ORDER

The petition for rehearing en banc is denied. The petition for rehearing by the panel is also denied.

Judge Kelly did not participate in the consideration or decision of this matter.

App.59a

Order Entered at the Direction of the Court:
Clerk, U.S. Court of Appeals, Eighth Circuit.

/s/ Susan E. Bindler

September 03, 2025

**RESPONSE TO PLAINTIFFS'
REQUESTS FOR ADMISSIONS
(FEBRUARY 2, 2024)**

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF IOWA
EASTERN DIVISION

NICOLE KLUM, individually and as Administrator
of the Estate of BOBBY JO KLUM,
and WANDA ALBRIGHT, individually,

Plaintiffs,

v.

CITY OF DAVENPORT and MASON ROTH,

Defendants.

Case No. 3:23-cv-00043-RGE-WPK

**RESPONSE TO PLAINTIFFS'
REQUESTS FOR ADMISSIONS**

Defendants, City of Davenport and Mason Roth, by their attorneys, Lane & Waterman LLP, provided the following Response to Plaintiffs' Requests for Admissions.

REQUEST FOR ADMISSION No. 1: That the portion of the transcript set out below is a true and accurate transcription of what Defendant Roth stated

to the DCI in a recorded interview conducted after shooting Klum, as follows:

And I was afraid at that point, because I know that we've done lots of training where a suspect can have a gun either in a pocket or even pointed at their head and the suspect can go from that position to pointing the gun at you and firing around before you can react. Even if you have your weapon out and aimed at them, your reaction time is never going to be as fast as their action.

[Note that the quote above comes from page 43 of the Transcript of the Interview, Dep. Ex. 2 and can be located on the audio recording of the interview at 54:15.]

ANSWER: Defendants deny that the transcription is a verbatim transcription of what Officer Roth said, as Officer Roth said "a round," as opposed to "around." Subject to this correction, Defendants admit that substantively the transcription is true and accurate.

REQUEST FOR ADMISSION No. 2: Defendant Roth accurately summarized training he received by the Davenport Police Department, as stated in Request for Admission No. 1, above.

ANSWER: Admit.

REQUEST FOR ADMISSION No. 9: In order for Bobby to comply with law enforcement officers' order to drop the gun, Bobby would have to move the gun he was holding away from his own head.

ANSWER: Objection. Defendants cannot truthfully admit or deny Request for Admission No. 9 as worded because there is more than one way Bobby Joe

Klum could have complied with the orders. Defendants admit Bobby Klum could have moved the gun he was holding away from his own head to comply or that he could have simply let go of the gun and let it fall to the ground, which could also be construed as moving it away from his head.

REQUEST FOR ADMISSION No. 10: At least 40 law enforcement officers responded to the subject matter call.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 11: The law enforcement officers that did respond to the subject matter call, other than Defendant Roth, were in the process of moving into position to assist with setting up a perimeter and seeking safe resolution of the incident using less lethal means at the time Defendant Roth shot Bobby.

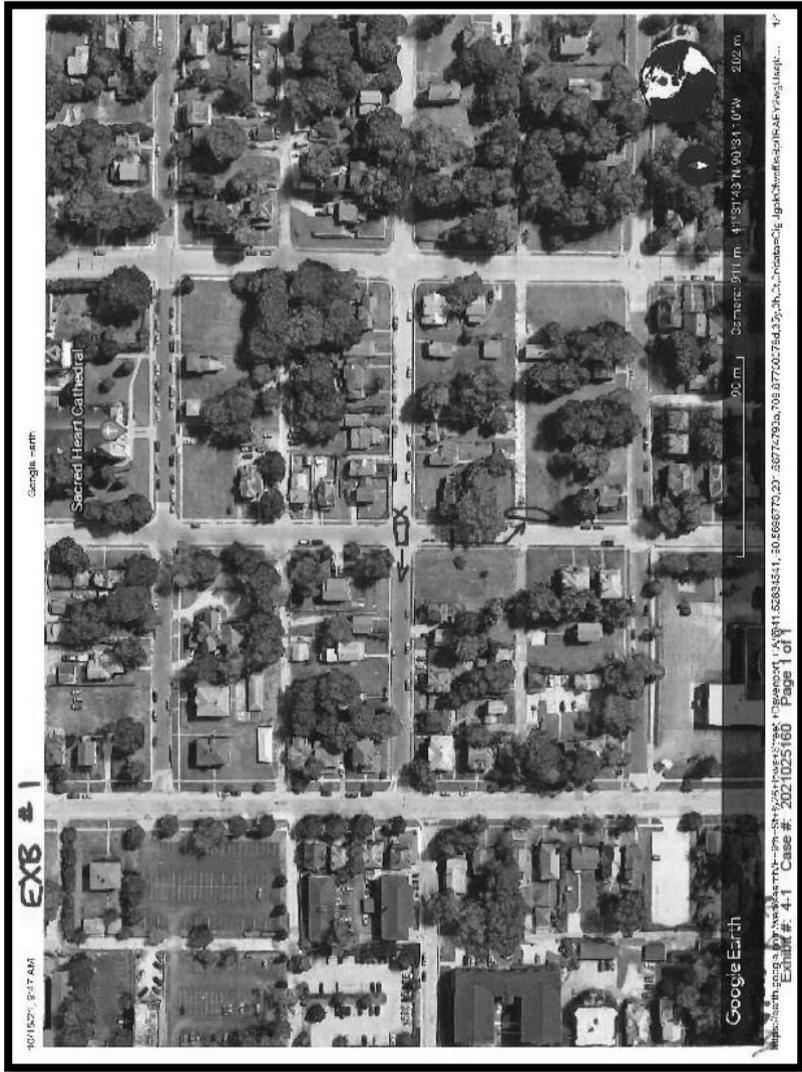
ANSWER: Objection. Defendants cannot truthfully admit or deny this Request as worded because they do not know what all of the law enforcement officers were doing at the time the shot was fired. Defendants admit that certain officers were in the process of moving into position to assist with setting up a perimeter and seeking a safe resolution. Defendants deny any officers were in the process of using less lethal means at the time Mr. Klum was shot, as that had occurred unsuccessfully shortly before that time.

REQUEST FOR ADMISSION No. 12: The below screengrab from the Precious bystander video accurately portrays the conditions on the scene at the time the video screengrab was taken, excluding the red circles; the screengrab is authentic, correct and has not been modified, except by the red circles; and

the video from which the screengrab was taken was recorded at the relevant time of the subject matter incident by a bystander with personal knowledge of what it depicts.

ANSWER: Defendants deny that the transcription is a verbatim transcription of what Officer Roth said, as a few words were omitted, and a few others added. However, those omissions and additions do not substantively change the statement and, therefore, Defendants admit that substantively the transcription is true and accurate.

REQUEST FOR ADMISSION No. 27: In his DCI interview Defendant Roth was asked to identify where he was located at the time he shot Klum on an overhead of the scene and Roth complied by drawing a red x at the corner of Iowa St. and E. 9th St. Deposition Exhibit 1, below, is an accurate copy of the overhead with Defendant Roth's markings.



ANSWER: Admit.

REQUEST FOR ADMISSION No. 28: In the marked overhead depicted in Request to Admit 27 above, Roth also identified the location of the cruiser he was using for cover and the path Klum was walking at the time. Roth drew a red rectangle at the corner of Iowa St. and E. 9th pointing to the west for the cruiser and Klum's path along the west sidewalk crossing over to the east side of Iowa St. around the alley intersection E. 8 and 1/2 St.

ANSWER: Admit.

REQUEST FOR ADMISSION No. 29: In the marked overhead depicted in Request to Admit 27 above, the red oval was drawn by Roth to identify where he claimed a group of bystanders were located at the time he used deadly force on Bobby.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 30: The below screengrab from the Precious bystander video accurately portrays the conditions on the scene at the time the video screengrab was taken, excluding the red circles; the screengrab is authentic, correct and has not been modified, except by the red circles; and the video from which the screengrab was taken was recorded at the relevant time of the subject matter incident by a bystander with personal knowledge of what it depicts.

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ANSWER: Admit.

REQUEST FOR ADMISSION No. 31: The screengrab depicted in Request to Admit 30 above, shows Bobby in a red circle on the west side of Iowa street while the adult woman and child, both also depicted on the screengrab set out in Request to Admit 12, also circled in red are moving up the east side of Iowa St. The child is just south of the alley intersection and the adult woman is just north of it. Both mother and daughter are running to the south.

ANSWER: Admit.

REQUEST FOR ADMISSION No. 32: In the screengrab depicted in Request to Admit 30 above, no other bystanders are shown in the area of the east side of the alley intersection of E. 8 and 1/2 St. and Iowa St., other than the adult female and child running to the south thru the intersection.

ANSWER: Objection. Defendants are unable to admit or deny this Request as worded, as the phrase “in the area of the east side of the alley intersection” is vague and because the video was being taken by someone who could be considered “in the area of the east side of the alley intersection” and shows the arm of another person who could be. Defendants admit that the screengrab is a true and accurate depiction and that it shows what it shows.

REQUEST FOR ADMISSION No. 33: The below screengrab also from the Precious bystander video accurately portrays the conditions on the scene at the time the video screengrab was taken, excluding the red circles; the screengrab is authentic, correct and has not been modified, except by the red circles; and the video from which the screengrab was taken was recorded at the relevant time of the subject matter

App.68a

incident by a bystander with personal knowledge of what it depicts



ANSWER: Admit.

REQUEST FOR ADMISSION No. 34: In the screengrab depicted in Request to Admit 33 above, the child is already out of the picture with the adult female running south on the east

REQUEST FOR ADMISSION No. 47: The screengrab depicted in Request to Admit 46 above, is taken approximately three seconds before Defendant Roth shoots Bobby and shows the adult female from screengrabs at Requests to Admit 12, 30 and 33 (circled in red above) getting close to entering the house at the northeast corner of E. 8th St. and Iowa St.

ANSWER: Defendants admit the screengrab depicted in Request to Admit 46 above, is taken approximately two to three seconds before Officer Roth shot Bobby Jo Klum and shows the adult female from screengrabs at Requests to Admit 12, 30 and 33 (circled in red above).

REQUEST FOR ADMISSION No. 48: The below screengrab from Grafton cruiser camera accurately portrays the conditions on the scene at the time the video screengrab was taken, except for the red circles; the screengrab is authentic, correct and has not been modified, except for the red circles; and the video from which the screengrab was taken was recorded at the relevant time of the subject matter incident by a law enforcement officer with personal knowledge of what it depicts.

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ANSWER: Admit.

REQUEST FOR ADMISSION No. 48: The screengrab depicted in Request to Admit 48 above, is taken at the approximate time Defendant Roth fired the shot that killed Bobby.

ANSWER: If by “the approximate time” Officer Roth fired the shot Plaintiffs mean within approximately two seconds, admit.

REQUEST FOR ADMISSION No. 49: The screengrab depicted in Request to Admit 48 above, shows that at the approximate time Defendant Roth fired the shot that killed Klum, the adult female shown on screengrabs in Requests to Admit 12, 30, 33 and 46, is now no longer visible outside the home and only 3 people, all circled, are still outside the home.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 50: The Grafton cruiser cam video, Precious bystander video, West bystander video and Jarrin Body Worn Camera video, show no bystanders located in, or even within 100 feet of the red oval identified by Defendant Roth on Dep. Ex. 1, as the location of the bystanders whom he believed to be in imminent jeopardy at the time he used deadly force on Bobby Klum.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 51: The Grafton cruiser cam video, Precious bystander video, West bystander video and Jarrin Body Worn Camera video, show no bystanders located in, or even within 50 feet of the red oval identified by Defendant Roth on Dep. Ex. 1, as the location of the bystanders whom he believed to be in imminent jeopardy at the time he used deadly force on Bobby Klum.

ANSWER: Admit.

REQUEST FOR ADMISSION No. 52: The Grafton cruiser cam video, Precious bystander video, West bystander video and Jarrin Body Worn Camera video, show no bystanders, other than the adult female and child shown on screengrabs set out in Requests to Admit 12, 30, 33 and 46, were ever located within the red oval identified by Defendant Roth on Dep. Ex. 1, at any time after Bobby exited the alley at E. 9 and 1/2 St. and started walking south on Iowa St. up until the time Roth shot Bobby.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 53: The Grafton cruiser cam video, Precious bystander video, West bystander video and Jarrin Body Worn Camera video, show the adult female and child shown on screengrabs set out in Requests to Admit 12, 30, 33 and 46, are no longer visible outside the home at the time Defendant Roth shot Bobby.

ANSWER: Objection. The Precious bystander video and West bystander video do not show the outside of the home and, therefore, this Request is inapplicable to those videos. With respect to the Grafton cruiser cam video, deny.

REQUEST FOR ADMISSION No. 58: That the Iowa Department of Criminal Investigation investigated the subject matter incident, but rendered no conclusions regarding whether the use of deadly force by Defendant Roth was justified.

ANSWER: Admit the Iowa Department of Criminal Investigation rendered no conclusions regarding whether the use of deadly force by Defendant

Roth was justified. Deny the implication that it was the role or responsibility of the Iowa Department of Criminal Investigation to render a conclusion as to whether the use of deadly force by Defendant Roth was justified.

REQUEST FOR ADMISSION No. 59: That the Iowa Department of Criminal Investigation concluded that the BB gun Bobby held was never pointed at anyone other than himself.

ANSWER: Defendants have made reasonable inquiry and the information they know or can readily obtain is insufficient to enable them to admit or deny this Request. If Plaintiffs wish to identify and provide the source of the Iowa Department of Criminal Investigation's alleged conclusion that the BB gun Bobby held was never pointed at anyone other than himself, Defendants will review the same and determine if they can admit or deny this Request based on that information.

REQUEST FOR ADMISSION No. 60: Defendant Roth could not have reasonably feared a hostage situation at the time he shot Bobby because all bystanders were safe and approximately a half a block away, defined as from the alley to the corner, at the time Defendant Roth fired the shot that killed Bobby.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 61: While refusing to file criminal charges against Defendant Roth, Scott County Attorney, Michael Walton, accurately conceded that Bobby "never pointed the BB gun" at anyone.

ANSWER: Defendants have made reasonable inquiry and the information they know or can readily obtain is insufficient to enable them to admit or deny this Request. If Plaintiffs wish to identify and provide the source of Scott County Attorney Walton's alleged statement, Defendants will review the same and determine if they can admit or deny this Request based on that information.

REQUEST FOR ADMISSION No. 62: In refusing to file criminal charges against Defendant Roth, Scott County Attorney, Michael Walton, found Defendant Roth's use of deadly force was justified because of "how quickly the direction of the gun could change."

ANSWER: Defendants have made reasonable inquiry and the information they know or can readily obtain is insufficient to enable them to admit or deny this Request. If Plaintiffs wish to identify and provide the source of Scott County Attorney Walton's alleged statement, Defendants will review the same and determine if they can admit or deny this Request based on that information.

REQUEST FOR ADMISSION No. 63: Applying the standard set by Scott County Attorney Walton in this case, *i.e.*, the use of deadly force is justified if the suspect is in possession of a gun that is not pointed at anyone because of how quickly the gun could be aimed and shot at another, would allow Davenport Police Department officers to shoot and kill anyone carrying a gun.

ANSWER: Deny.

REQUEST FOR ADMISSION No. 64: The standard applied by County Attorney Walton to justify the use of deadly force by Defendant Roth in this case

is in direct violation of both federal and Iowa law. *See Tennessee v. Garner*, 471 U.S. 1, 8 (1985); *Partridge v. City of Benton*, 929 F.3d 562 (8th Cir. 2019); I.C.A. §§ 704.2, 704.2A and 804.8; and *State v. DeWitt*, 811 N.W.2d 460 (2012).

ANSWER: Deny.

REQUEST FOR ADMISSION No. 65: Scott County Attorney, Michael Walton, stated publicly after a prior incident, words to the effect that “when law enforcement officers shoot or kill someone [he] views the law enforcement officer as the victim.”

ANSWER: Defendants have made reasonable inquiry and the information they know or can readily obtain is insufficient to enable them to admit or deny this Request. If Plaintiffs wish to identify and provide the source of Scott County Attorney Walton’s alleged statement, Defendants will review the same and determine if they can admit or deny this Request based on that information.

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ROTH'S STATEMENT TO DCI EXCERPTS

And then I remember looking down the street and saw what I thought was probably a dozen people on the east side of the street down the street from the suspect. And they were standing there watching everything that was happening and I started screaming at them to go inside, to get out of the area, to run and just go inside their houses. And they all ignored that and kept standing there, kept watching. And I remember seeing, in that group of people, a large woman, and she was holding the hand of a small child, a toddler, and she was there with her toddler just standing and watching this situation unfold. And screamed at them multiple times to go inside and get out of the area and to run away. And the suspect kept walking southbound.

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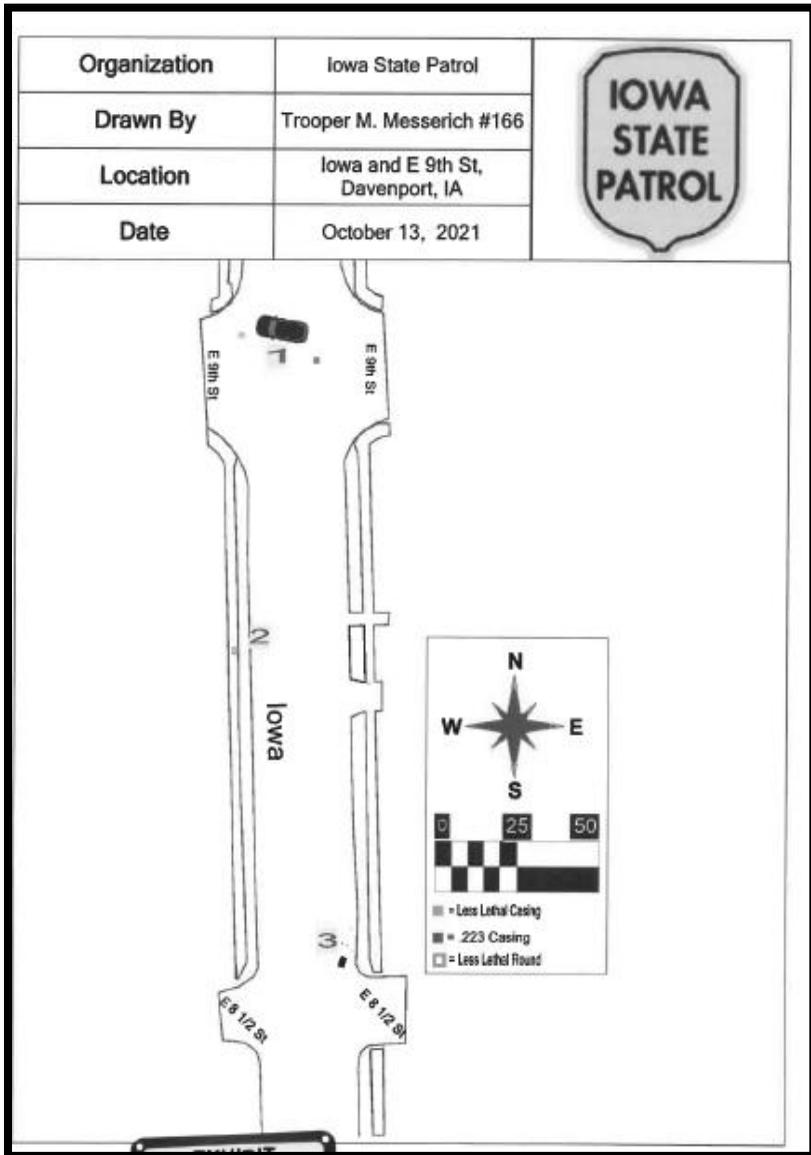
And then, there's still all these people just standing there watching us and they weren't leaving. And then I remember hearing a second shot from a 40 millimeter launcher, and I assumed that one hit him too and it had no effect. And at that point, he turned and he was walking essentially due south, but he turned towards the east and started walking on a direct path directly at the people who had been standing there. And I didn't know what he was going to do. I didn't know.

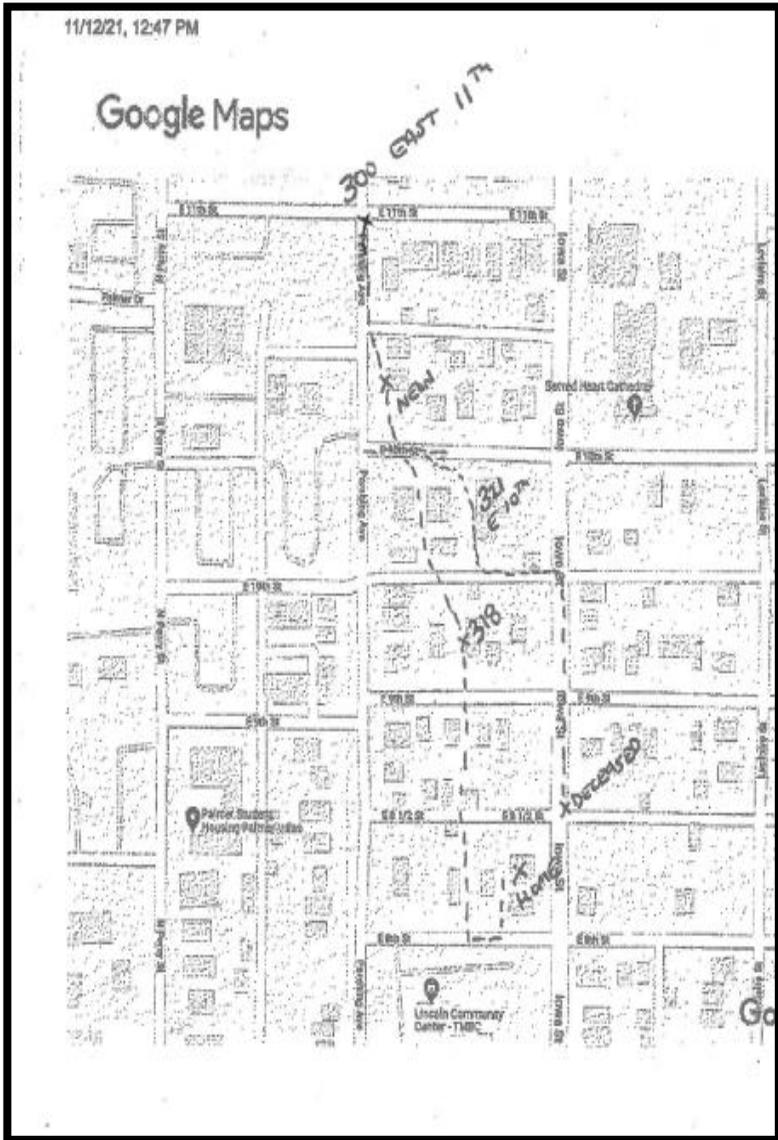
I didn't know what he was going to do. I didn't know. He was obviously distraught, and I didn't know if he was going to attempt to take a hostage. I didn't know if he was going to do a mass killing and start shooting those people. I didn't know what he planned

on doing. And I didn't know why he had changed directions and was walking straight at them. I knew that we had just used two 40 rounds on him with no effect and it did not. He didn't change his behavior at all to indicate that he was going to comply with anything that we had been ordering him to do.

And so as [Klum] was walking directly at the bystanders I knew that we didn't have any less lethal options left that would reach him. And we had used the best less lethal tool that we had to no effect. And at that moment, as he's walking directly at them, I saw that I had a clear backstop behind him, just the back of the hill that he was in front of. But I knew that in just a moment he was going to be intermingled with all those people that were standing on the side of the road and I wouldn't have any shot. That was my only opportunity that I had to take a shot. So, I took the safety off and focused through my optic on him. And as he, center mass as he's walking towards the people, and I just focused in on him and fired a round.

Excerpts of Roth stmt. to DCI. R. Doc. 15-2, at 1 and 4.







Mahieu's BWC screengrab. R. Doc. 15-2, at 84.