

No. 25-

IN THE
Supreme Court of the United States

ELIZABETH CROCKETT AND MATTHEW LOTT,
Petitioners,
v.

JOHN KRUEGER AND PAMELA KRUEGER,
INDIVIDUALLY AND AS CO-ADMINISTRATORS
OF THE ESTATE OF JEFFERY KRUEGER,
Respondents.

**ON PETITION FOR A WRIT OF CERTIORARI TO THE
UNITED STATES COURT OF APPEALS FOR THE TENTH CIRCUIT**

PETITION FOR A WRIT OF CERTIORARI

STEPHEN L. GERIES
Counsel of Record
COLLINS ZORN & WAGNER, PLLC
429 N.E. 50th Street, Second Floor
Oklahoma City, OK 73105
(405) 524-2070
slg@czwlaw.com

RANDALL J. WOOD
PIERCE COUCH HENDRICKSON
BAYSINGER & GREEN, LLP
1109 N. Francis Avenue
Oklahoma City, OK 73106

Attorneys for Petitioners
Elizabeth Crockett and
Matthew Lott

120755



COUNSEL PRESS

(800) 274-3321 • (800) 359-6859

QUESTIONS PRESENTED

On the evening of July 1, 2019, Wagoner County Lieutenant Elizabeth Crockett and Deputy Matthew Lott responded to a call from deputies requesting assistance for one suspect fighting. At the time Petitioners Crockett and Lott arrived at the scene, the suspect was prone on the ground in handcuffs and was struggling with other officers. Petitioner Crockett, after observing the suspect kick one of the officers, knelt on his left buttock and left upper thigh for between forty-five seconds to one minute total, and assisted in placing him in leg irons. She then went to her car, where she had no further contact with him. Petitioner Lott placed his foot at the top of the suspect's right shoulder for approximately one minute. At the request of another officer, Petitioner Lott left to retrieve a hobble chain from his patrol car, handed it to the officers, and then left the immediate area. Shortly thereafter, the suspect began experiencing breathing problems, and was transported by ambulance to the hospital where he was subsequently pronounced dead.

The District Court and the Tenth Circuit denied Petitioners Crockett and Lott qualified immunity with regard to the Respondents' Fourth Amendment excessive force claim. The questions presented are:

- 1) Whether the Tenth Circuit Court of Appeals erred in denying qualified immunity to the Petitioner law enforcement officers on the issue of excessive force without conducting an individualized qualified immunity analysis, but rather engaged in a collective qualified immunity analysis which considered the aggregate actions of multiple officers at the scene.

- 2) Whether, in denying qualified immunity the Tenth Circuit evaluated whether the right at issue was “clearly established” at an impermissibly high level of generality, contrary to this Court’s repeated warnings, including in *Kisela v. Hughes*, 584 U.S. 100 (2018); *City & County of San Francisco v. Sheehan*, 575 U.S. 600 (2015); and *Ashcroft v. al-Kidd*, 563 U.S. 731 (2011).
- 3) Whether the existing law would make it clear to a reasonable law enforcement officer when a suspect is “effectively subdued” such that using further force against them would be objectively unreasonable.

PARTIES TO THE PROCEEDING

Petitioners Elizabeth Crockett and Matthew Lott were Defendants at the District Court level and Appellants before the Tenth Circuit Court of Appeals. Kaleb Phillips, Nicholas Orr, Ben Blair, Drew Craig, Tyler McFarland, and Corey Nevitt were also Defendants at the District Court level and Appellants before the Tenth Circuit. Kaleb Phillips, Nicholas Orr, Ben Blair, Drew Craig, Tyler McFarland, and Corey Nevitt do not join this Petition. Wagoner County Board of County Commissioners; Wagoner County Sheriff's Department; Chris Elliot, in his official capacity as Sheriff of Wagoner County, Oklahoma; Wagoner Emergency Service, Inc.; Jeff Patterson; Clarence Collins; Travis Potts; Colby North; and Dustin Door were also Defendants at the District Court level, but were not Appellants at the Tenth Circuit level. They do not join this Petition.

Respondents John Krueger, individually and as Co-Administrator of the Estate of Jeffrey Krueger; and Pamela Krueger, individually and as Co-Administrator of the Estate of Jeffrey Krueger, were the Plaintiffs and Appellees below.

RELATED PROCEEDINGS

This case arises from the following proceedings:

- *Krueger, et al. v. Phillips, et al.*, United States Court of Appeals for the Tenth Circuit, Consolidated Case Nos. 24-7035, 24-7037, and 24-7066.
- *Krueger, et al. v. Phillips, et al.*, United States District Court for the Eastern District of Oklahoma, Case No. CIV-21-044-Raw.

TABLE OF CONTENTS

	<i>Page</i>
QUESTIONS PRESENTED	i
PARTIES TO THE PROCEEDING	iii
RELATED PROCEEDINGS	iv
TABLE OF CONTENTS.....	v
TABLE OF APPENDICES	vii
TABLE OF CITED AUTHORITIES	viii
OPINIONS BELOW.....	1
JURISDICTION.....	1
CONSTITUTIONAL AND STATUTORY PROVISIONS.....	1
INTRODUCTION.....	2
STATEMENT OF THE CASE	4
A. Factual Background	4
B. Proceedings Below	7
REASONS FOR GRANTING THE PETITION.....	9

Table of Contents

	<i>Page</i>
I. In Denying the Petitioners Qualified Immunity on the Excessive Force Claims, the Tenth Circuit Court of Appeals Has Decided an Important Legal Issue in a Way Which is Inconsistent with This Court’s Established Precedent and Has so Far Departed from the Accepted and Usual Course of Judicial Proceedings, as to Call for an Exercise of This Court’s Supervisory Power.....	9
II. The Petition Should be Granted to Resolve a Split of Authority Among the Circuits	17
III. The Tenth Circuit’s Incoherent and Arbitrary Analysis Regarding When a Suspect May be Considered “Effectively Subdued” Leaves Law Enforcement Officers Without any Clear Guidance to Enable Them to Conform Their Actions to Clearly Established Law	20
IV. The Questions Presented Are Critically Important and This Case is An Ideal Vehicle to Resolve Them.....	26
CONCLUSION	27

TABLE OF APPENDICES

	<i>Page</i>
APPENDIX A — OPINION AND ORDER OF THE UNITED STATES COURT OF APPEALS FOR THE TENTH CIRCUIT, FILED AUGUST 22, 2025.....	1a
APPENDIX B — ORDER OF THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF OKLAHOMA, FILED MARCH 26, 2024.....	84a
APPENDIX C — ORDER OF THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF OKLAHOMA, FILED JULY 24, 2024	128a

TABLE OF CITED AUTHORITIES

	<i>Page</i>
Cases	
<i>Alcocer v. Mills</i> , 906 F.3d 944 (11th Cir. 2018)	19, 20
<i>Aldaba v. Pickens</i> , 844 F.3d 870 (10th Cir. 2016)	11
<i>Anderson v. Creighton</i> , 483 U.S. 635 (1987)	10
<i>Ashcroft v. Iqbal</i> , 556 U.S. 662 (2009)	16
<i>Ashcroft v. al-Kidd</i> , 563 U.S. 731 (2011)	9, 10
<i>City & County of San Francisco v. Sheehan</i> , 575 U.S. 600 (2015)	26
<i>Cruz v. City of Laramie</i> , 239 F.3d 1183 (10th Cir. 2001)	12
<i>Cunningham v. Gates</i> , 229 F.3d 1271 (9th Cir. 2000)	19
<i>Est. of Booker v. Gomez</i> , 745 F.3d 405 (10th Cir. 2014)	8, 11-14
<i>Est. of Williams by Rose v. Cline</i> , 902 F.3d 643 (7th Cir. 2018)	18

Cited Authorities

	<i>Page</i>
<i>Estate of Phillips v. City of Milwaukee,</i> 123 F.3d 586 (7th Cir. 1997)	21
<i>Giannetti v. City of Stillwater,</i> 216 F. App'x 756 (10th Cir. 2007)	21, 22, 25
<i>Graham v. Connor,</i> 490 U.S. 386 (1989).....	4, 16, 25, 26
<i>Harlow v. Fitzgerald,</i> 457 U.S. 800 (1982).....	9
<i>Hunter v. Bryant,</i> 502 U.S. 224 (1991).....	9-10
<i>Lynch v. Board of County Commissioners of Muskogee County, Oklahoma,</i> 786 F. App'x 774 (10th Cir. 2019)	22, 23
<i>Manning v. Cotton,</i> 862 F.3d 663 (8th Cir. 2017).....	18, 19
<i>Medina v. Cram,</i> 252 F.3d 1124 (10th Cir. 2001).....	21
<i>Morris v. Noe,</i> 672 F.3d 1185 (10th Cir. 2012).....	10
<i>Mullenix v. Luna,</i> 577 U.S. 7 (2015).....	11

	<i>Cited Authorities</i>	<i>Page</i>
<i>Murrell v. Sch. Dist. No. 1, Denver, Co.,</i> 186 F.3d 1238 (10th Cir. 1999)	9	
<i>Pahls v. Thomas,</i> 718 F.3d 1210 (10th Cir. 2013)	17	
<i>Pearson v. Callahan,</i> 555 U.S. 223 (2009)	10	
<i>Plumhoff v. Rickard,</i> 572 U.S. 765 (2014)	10	
<i>Poe v. Leonard,</i> 282 F.3d 123 (2d Cir. 2002)	18	
<i>Rivas-Villegas v. Cortesluna,</i> 595 U.S. 1 (2021)	11	
<i>Ryburn v. Huff,</i> 565 U.S. 469 (2012)	4	
<i>Spikes v. McVea,</i> 12 F.4th 833 (5th Cir. 2021)	18	
<i>Stoudemire v. Michigan Dep’t of Corr.,</i> 705 F.3d 560 (6th Cir. 2013)	18	
<i>Teetz ex rel. Lofton v. Stepien,</i> 142 F.4th 705 (10th Cir. 2025)	23, 24	

Cited Authorities

	<i>Page</i>
<i>Tolan v. Cotton</i> , 572 U.S. 650 (2014)	3, 11, 17
<i>United States v. Montoya de Hernandez</i> , 473 U.S. 531 (1985)	21
<i>Weigel v. Broad</i> , 544 F.3d 1143 (10th Cir. 2008)	8, 11-15, 22
<i>White v. Pauly</i> , 580 U.S. 73 (2017)	11

Statutes

28 U.S.C. § 1254(1)	1
42 U.S.C. § 1983	1, 7, 9, 16

OPINIONS BELOW

The Decision of the Court of Appeals, reported at 2025 WL 2424209, ___ F.4th ___ (10th Cir. 2025), is reprinted in the Appendix (Appx.) at 1a–83a. The District Court’s Opinion, which was unpublished, is reprinted at Appx. 84a–127a.

JURISDICTION

The Court of Appeals entered its judgment on August 22, 2025. (Appx. 1a). This Court has jurisdiction under 28 U.S.C. § 1254(1).

CONSTITUTIONAL AND STATUTORY PROVISIONS

Respondents brought the underlying action under Title 42 U.S.C. § 1983, which states:

Every person who, under color of any statute, ordinance, regulation, custom, or usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress, except that in any action brought against a judicial officer for an act or omission taken in such officer’s judicial capacity, injunctive relief shall not be granted unless a declaratory decree was

violated or declaratory relief was unavailable. For the purposes of this section, any Act of Congress applicable exclusively to the District of Columbia shall be considered to be a statute of the District of Columbia.

Respondents allege that the Petitioners violated the Decedent's rights secured by the Fourth Amendment to the United States Constitution, which provides:

The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, and no Warrants shall issue, but upon probable cause, supported by Oath or affirmation, and particularly describing the place to be searched, and the persons or things to be seized.

INTRODUCTION

Both the District Court and the Tenth Circuit denied Petitioners Crockett and Lott qualified immunity with regard to the Respondents' Fourth Amendment excessive force claims not based upon their own actions, but upon the collective actions of other officers at the scene. Indeed, the Tenth Circuit denied Petitioners qualified immunity on the issue of excessive force without conducting an individualized qualified immunity analysis, but rather relied upon prior Tenth Circuit precedent to engage in a collective qualified immunity analysis which considered the aggregate actions of multiple officers at the scene. This holding threatens law enforcement and public well-being everywhere because it will chill law enforcement

officers in the performance of their duties, and endanger them in the field. Given the Tenth Circuit's precedent, law enforcement officers will be hesitant to assist in subduing a struggling suspect when other officers are involved based upon the fear that they may be held liable for excessive force not based on their own conduct, but for the conduct of other officers. The Tenth Circuit panel's decision in that regard ignores this Court's directive to define clearly established law in cases regarding unreasonable searches and seizures on the basis of the specific context of the case. *Tolan v. Cotton*, 572 U.S. 650, 657 (2014).

Furthermore, there is a split of authority among the circuits regarding the importance and necessity of conducting an individualized qualified immunity analysis, especially in cases regarding alleged violations of the Fourth Amendment, rather than analyzing qualified immunity with regard to the aggregate actions of several officers. The Court should grant this Petition to resolve that split of authority among the circuits in the interest of promoting legal stability, predictability, and the equal treatment of individuals under the law.

Moreover, the Tenth Circuit's incoherent and arbitrary analysis regarding when a suspect may be considered "effectively subdued" leaves law enforcement officers without any clear guidance to enable them to conform their actions to clearly established law. This will, again, chill them in the performance of their duties with regard to subduing struggling suspects, and will endanger them in the field. In that regard, the panel's decision ignores this Court's instruction to "judge[] from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of hindsight" and to "allow[] for the fact

that police officers are often forced to make splitsecond judgments—in circumstances that are tense, uncertain, and rapidly evolving.” *Ryburn v. Huff*, 565 U.S. 469, 477 (2012) (quoting *Graham v. Connor*, 490 U.S. 386, 396–97 (1989)).

Petitioners urge this Court to grant the Petition and reverse.

STATEMENT OF THE CASE

A. Factual Background

In its Opinion, the Tenth Circuit engaged in an exhaustive review of the facts of the case. Based on that Opinion, the following are the material undisputed facts of this record:

On the evening of July 1, 2019, at approximately 9:45 p.m., Wagoner County Sheriff’s Deputy Nicholas Orr stopped at a gas station in Wagoner, Oklahoma to refill his patrol car while on duty. As Deputy Orr stood at the gas pumps, he claimed to hear squealing tires. A car pulled in behind him and the Decedent, Mr. Krueger, exited his car and started cleaning the windshield. Deputy Orr attributed the squealing tires to him. Mr. Krueger cleaned his windshield and got back into his car and drove away. Believing Mr. Krueger might be intoxicated because he allegedly appeared to talk to himself at the gas pumps, Deputy Orr called Wagoner County Sheriff’s Deputy Kaleb Phillips, who was also on patrol. Thereafter, Deputies Orr and Phillips pulled over Mr. Krueger, pulled him from his vehicle, and engaged in a lengthy physical struggle with him, the specifics of which are not pertinent to this Petition. (Appx. 16a–29a).

During Deputy Orr's encounter with Mr. Krueger, he sent out radio calls advising other law enforcement of the encounter, including a call reporting he had "one [suspect] fighting," and another that he "need[ed] help." Petitioner Wagoner County Sheriff's Deputy Matthew Lott heard the call at approximately 10:00 p.m. and advised dispatch he was *en route*. City of Wagoner Police Officers Ben Blair, Drew Craig, Tyler McFarland, and Corey Nevitt also responded to the scene. After being delayed by a passing train, Petitioner Lott arrived just as Deputy Orr sent out a radio call reporting that he had "one in custody." (Appx. 30a). Petitioner Lott parked his patrol vehicle to block oncoming traffic, exited the vehicle, and saw Mr. Krueger handcuffed and face down on the pavement. (Appx. 30a).

The City of Wagoner Police Officers took over for Deputies Orr and Phillips and began to hold down the prone Mr. Krueger. Officer Craig placed his right knee on Mr. Krueger's right shoulder, while Officer McFarland placed his right shin and knee on Mr. Krueger's waistline and his left knee over Mr. Krueger's left shoulder. Officers Nevitt and Blair did not participate in restraining Mr. Krueger or taking him into custody, but testified they witnessed Mr. Krueger handcuffed and face down with other officers on top of him. (Appx. 30a–31a).

Petitioner Wagoner County Sheriff's Office Lieutenant Elizabeth Crockett arrived on the scene after Deputies Orr and Phillips had walked away from Mr. Krueger. Petitioner Crockett first checked in with Deputies Orr and Phillips before approaching Mr. Krueger. After observing Mr. Krueger kick one of the officers, Petitioner Crockett approached to help. She knelt on Mr. Krueger's left buttock and left upper thigh. Meanwhile, Officer Craig

had retrieved leg shackles, and he and Petitioner Crockett placed the shackles on Mr. Krueger while Petitioner Crockett continued to kneel on his buttock and thigh. Petitioner Crockett kneeled on Mr. Krueger's left buttock and left upper thigh for between forty-five seconds to one minute total, until the leg irons were secure. She then went to her car, where she had no further contact with Mr. Krueger. (Appx. 31a–32a).

During this interval, Petitioner Lott placed his foot on the top of the prone Mr. Krueger's right shoulder for approximately one minute. While Petitioner Lott asserted that he did not place weight on Mr. Krueger's shoulder, the district court noted that fact could not be determined from the video, which shows Petitioner Lott's foot on Mr. Krueger's shoulder. (Appx. 32a).

As the restraint continued, the officers asked if they could "hobble" Mr. Krueger — connecting the leg restraints to the handcuffs with a chain — because he continued to move and kick his legs. Petitioner Lott left and retrieved a hobble chain from his patrol car and handed it to the officers. Petitioner Lott observed, but did not participate in, the hobble chaining. Shortly after placement of the hobble chain, the officers realized that Mr. Krueger was shallow breathing and called for the EMTs on scene. (Appx. 32a–33a).

After the EMTs loaded Mr. Krueger into the ambulance, Petitioner Lott drove the ambulance to the Wagoner Community Hospital, where Mr. Krueger was pronounced dead. The state medical examiner reported the cause of death as "cardiac dysrhythmias due to probable acute psychosis in the setting of physical exertion

and restraint.” Mr. Krueger was six feet three inches tall, weighed 156 pounds, and was thirty-six years old when he died. Officer Craig weighed approximately 235 pounds, Officer McFarland weighed approximately 230 pounds, and Petitioner Crockett weighed about 200 pounds. (Appx. 33a, 36a).

B. Proceedings Below

Respondents John Krueger and Pamela Krueger, individually and as Co-Administrators of the Estate of Jeffrey Krueger, brought suit against the Petitioners and the other officers pursuant to 42 U.S.C. § 1983 alleging, in part, that they violated the Decedent’s Fourth Amendment right to be free from the use of excessive force. Petitioners Crockett and Lott filed Motions for Summary Judgment, arguing, in part, that they were entitled to qualified immunity with regard to the Respondents’ Fourth Amendment excessive force claims because Respondents failed to show any violation of the Decedent’s clearly established constitutional rights by Petitioners. Petitioners Crockett and Lott further argued that they were entitled to qualified immunity because it would not be clear to a reasonable officer in their position that they were violating the Decedent’s clearly established constitutional rights.

The District Court denied Petitioners qualified immunity with regard to the excessive force claims, finding that it was clearly established that placing substantial or significant pressure or weight on the upper back of an arrestee that was handcuffed and in the prone position constitutes excessive force, despite the fact there was no evidence whatsoever that neither Petitioners Crockett

nor Lott ever placed any significant amount of pressure on the Decedent's upper back for any period of time. Nevertheless, the District Court found the Respondents had shown a genuine issue of material fact as to whether Petitioners' use of force was excessive:

Plaintiffs have presented sufficient disputed evidence to meet their burden to show that Deputy Lott and Lieutenant Crockett placed their weight on Mr. Krueger's back along with other officers—the total weight of deputies and officers easily exceeding Mr. Krueger's total body weight—while he was handcuffed and in a prone position on his stomach.

(Appx. 114a).

The District Court did not cite to any legal authority clearly establishing that the sort of force that Petitioners employed was objectively unreasonable. Rather, relying on *Weigel v. Broad*, 544 F.3d 1143 (10th Cir. 2008) and *Est. of Booker v. Gomez*, 745 F.3d 405 (10th Cir. 2014), the District Court justified denying qualified immunity to the Petitioners by lumping Petitioners' relatively brief and otherwise innocuous interactions with the Decedent in with the actions of other officers. (Appx. 113a–114a).

On appeal, the Tenth Circuit panel affirmed the District Court's denial of qualified immunity to Petitioners Crockett and Lott with regard to the Fourth Amendment excessive force claims. Also relying on *Weigel* and *Est. of Booker*, the panel found that, “[u]nder the totality of the circumstances, a reasonable jury could find that the use of the prone restraint was not reasonable and therefore

constituted excessive force.” (Appx. 66a–67a). The panel concluded: “Because the district court found there were material factual disputes as to the amount of weight each Defendant put on Mr. Krueger, a jury will have to decide whether each Defendant used excessive force.” (Appx. 74a).

REASONS FOR GRANTING THE PETITION

I. In Denying the Petitioners Qualified Immunity on the Excessive Force Claims, the Tenth Circuit Court of Appeals Has Decided an Important Legal Issue in a Way Which is Inconsistent with This Court’s Established Precedent and Has so Far Departed from the Accepted and Usual Course of Judicial Proceedings, as to Call for an Exercise of This Court’s Supervisory Power

Law enforcement officers who are sued in their individual capacities in an action under 42 U.S.C. § 1983 “are entitled to qualified immunity unless it is demonstrated that their conduct violated clearly established constitutional rights of which a reasonable person in their positions would have known.” *Murrell v. Sch. Dist. No. 1, Denver, Co.*, 186 F.3d 1238, 1251 (10th Cir. 1999) (citing *Harlow v. Fitzgerald*, 457 U.S. 800, 818 (1982)). Qualified immunity shields federal and state officials from money damages unless a plaintiff pleads facts showing (1) that the official violated a statutory or constitutional right, and (2) that the right was “clearly established” at the time of the challenged conduct. *Ashcroft v. al-Kidd*, 563 U.S. 731, 735 (2011). Qualified immunity gives ample room for mistaken judgment by protecting all but the plainly incompetent or those who knowingly violate the law. *Hunter v. Bryant*, 502 U.S. 224,

229 (1991). Because qualified immunity is “an immunity from suit rather than a mere defense to liability ... it is effectively lost if a case is erroneously permitted to go to trial.” *Pearson v. Callahan*, 555 U.S. 223, 231 (2009).

In *Anderson v. Creighton*, 483 U.S. 635, 639 (1987), this Court explained that “whether an official protected by qualified immunity may be held personally liable for an allegedly unlawful official action generally turns on the ‘objective legal reasonableness’ of the action, assessed in light of the legal rules that were ‘clearly established’ at the time it was taken.” (Internal citations omitted). A Government official’s conduct violates clearly established law when, at the time of the challenged conduct, “[t]he contours of [a] right [are] sufficiently clear” that every “reasonable official would have understood that what he is doing violates that right.” *Ashcroft v. al-Kidd*, 563 U.S. at 741 (internal citations omitted). “The question of whether a right is clearly established must be answered in light of the specific context of the case, not as a broad general proposition.” *Morris v. Noe*, 672 F.3d 1185, 1196 (10th Cir. 2012) (internal quotations omitted).

This Court has “repeatedly told courts ... not to define clearly established law at a high level of generality since doing so avoids the crucial question whether the official acted reasonably in the particular circumstances that he or she faced.” *Plumhoff v. Rickard*, 572 U.S. 765, 779 (2014) (internal quotations and citations omitted). “This is not to say that an official action is protected by qualified immunity unless the very action in question has previously been held unlawful, but it is to say that in the light of pre-existing law the unlawfulness must be apparent.” *Anderson*, 483 U.S. at 640. While the court need not

point to any prior authority which has precisely the same facts of this case in order to find clearly established law, existing precedent must “squarely govern” the case and “must have placed the statutory or constitutional question beyond debate.” *Aldaba v. Pickens*, 844 F.3d 870, 877 (10th Cir. 2016) (citations and internal quotation marks omitted). “[C]learly established law must be ‘particularized’ to the facts of the case.” *White v. Pauly*, 580 U.S. 73, 79 (2017). “[S]pecificity is especially important in the Fourth Amendment context, where … it is sometimes difficult for an officer to determine how the relevant legal doctrine … will apply to the factual situation the officer confronts.” *Rivas-Villegas v. Cortesluna*, 595 U.S. 1, 6, (2021) (quoting *Mullenix v. Luna*, 577 U.S. 7, 12 (2015). “In cases alleging unreasonable searches or seizures, we have instructed that courts should define the clearly established right at issue on the basis of the specific context of the case.” *Tolan v. Cotton*, 572 U.S. 650, 657 (2014).

The undersigned is not aware of any authority from this Court, the Tenth Circuit, or any other jurisdiction clearly establishing that it is objectively unreasonable to hold down a prone suspect’s legs in order to prevent him from kicking other officers until they can be placed in legal shackles. The Tenth Circuit panel in this case certainly did not cite to any such authority. Rather, ignoring this Court’s directive in *Tolan* *supra*, that, in Fourth Amendment cases, clearly established law must be defined in the specific context of the case, the Tenth Circuit panel did not conduct an individualized qualified immunity analysis for Petitioner Crockett, but rather relied on two prior Tenth Circuit cases, *Weigel v. Broad*, 544 F.3d 1143 (10th Cir. 2008) and *Est. of Booker v. Gomez*, 745 F.3d 405 (10th Cir. 2014), to engage in a collective qualified immunity analysis

which considered the aggregate actions of multiple officers at the scene.

In *Weigel*, the court dealt with a fleeing suspect who repeatedly resisted arrest and evaded handcuffing. In that case, the suspect died after pressure was applied to his upper torso after he was handcuffed, subdued and laying in a prone position with his legs bound together, and at a point in time when he posed no “threat to the officers, the public, or himself.” *Weigel*, 544 F.3d at 1152. The court held that, in such circumstances “the law was clearly established that applying pressure to [plaintiff’s] upper back, once he was handcuffed and his legs restrained, was constitutionally unreasonable due to the significant risk of positional asphyxiation associated with such actions. We said this overtly, if not by strong and deducible inference, in *Cruz*.” *Id.* (citing *Cruz v. City of Laramie*, 239 F.3d 1183, 1189 (10th Cir. 2001)).¹

In *Est. of Booker*, the Court dealt with an arrestee who swung his left elbow at a deputy at a jail facility. *Id.* at 412. In response, four other deputies used various forms of force to subdue and restrain him, including by taking him to the ground in the prone position; application of a “carotid restraint”; handcuffing his hands behind his back; application of a “gooseneck hold” (a pain compliance technique); application of “Orcutt Police Nunchakus” (another pain compliance technique); and use of a stun

1. In contrast, there was clearly no danger that the Decedent would suffer positional asphyxiation as a result of 1) Petitioner Crockett kneeling down on his left buttock and left upper thigh for approximately forty-five seconds to one minute, or 2) Petitioner Lott placing his foot on Mr. Krueger’s right shoulder for approximately one minute.

taser for eight seconds. The arrestee was then placed in his cell. He later passed away. The court found that the arrestee was not resisting at the time force was used, and was fully restrained. *Id.* at 414.

The court analyzed the matter under the Fourteenth and Fourth Amendments, and ultimately rejected the defendants' qualified immunity defenses. Relying on *Weigel*, the court determined that it was clearly established that the defendant officers' placement of weight on the decedent's back after he had been subdued and/or incapacitated "could be construed as substantial or significant." *Id.* at 424. The court noted that "the Defendants are entitled to qualified immunity unless the Plaintiffs can show (a) a reasonable jury could find unconstitutional the deputies' use of force—***a carotid restraint, pressure on Mr. Booker's back, and application of a taser—once Mr. Booker was fully restrained;*** and (b) this use of force violated clearly established law." *Id.* at 423 (emphasis added).

Significantly, in both *Weigel* and *Est. of Booker*, the Tenth Circuit abandoned the usual practice of conducting an individualized qualified immunity analysis for each defendant and engaged in a collective qualified immunity analysis which considered the aggregate actions of multiple officers at the scene. As the Tenth Circuit panel explained:

Indeed, our precedent clearly establishes that an officer need not be the one directly putting weight on a suspect's back for his involvement to be clearly established as excessive. In *Weigel*, we held two highway troopers could be liable for

excessive force in applying a prone restraint: one who “applied pressure to [the decedent’s] upper body, including his neck and *shoulders*,” and another who “straddled [the decedent’s] upper thighs and buttocks and held [the decedent’s] arms in place,” and then eventually left the scene while the other remained on the decedent’s “upper torso.” 544 F.3d at 1148–49 (emphasis added).

Importantly, in *Weigel*, we analyzed both officers’ culpability for the prone restraint together, even though one trooper “was in his car when [the decedent] went into cardiac arrest.” *Id.* at 1153 n.4. Similarly, in *Booker*, we held five officers who “actively participated in a coordinated use of force” on the decedent could be liable, explaining that “[i]f excessive force occurred, all deputies contributed to it.” 745 F.3d at 422. We explained that each defendant who actively participated in excessive force as part of a “group effort” was liable for any underlying finding of excessive force. *Id.*

(Appx. 71a–72a, footnote omitted). Indeed, the Tenth Circuit panel noted the striking similarities between the facts relevant to Petitioner Crockett and the *Weigel* case:

As discussed, in *Weigel*, a trooper could be liable for excessive force when he participated in a prone restraint by “straddl[ing] [the decedent’s] upper thighs and buttocks and [holding the decedent’s] arms in place,” even though he then left to go “to his vehicle to warm

his hands” while one trooper and one bystander continued to apply weight to the decedent’s back and legs. 544 F.3d at 1148–49. We held that both troopers should have known they “subjected [the decedent] to force that they knew was unnecessary to restrain him,” constituting excessive force, and we held that each trooper could be liable even though one trooper “was in his car when [the decedent] went into cardiac arrest.” *Id.* at 1152–53 & n.4.

Here too, Lieutenant Crockett put weight on Mr. Krueger’s thigh and buttock while assisting with the placement of a leg restraint while another officer put weight on Mr. Krueger’s back. Then, she left to go to her car even while other officers continued to apply weight to the prone Mr. Krueger. She was in her car when Mr. Krueger’s breathing slowed and the EMTs were called. These facts are so strikingly similar to *Weigel* it is hard to imagine a case that could have put Lieutenant Crockett on clearer notice that her participation in the prone restraint made her subject to an excessive force claim, even though she was not the officer applying weight to Mr. Krueger’s back, and she left the scene to go to her car before the EMTs were alerted that Mr. Krueger may have stopped breathing.

(Appx. 72a–73a, footnotes omitted).

Considered alone, each Petitioners’ actions would not be shown to be objectively unreasonable. Indeed, a quick

review of the *Graham* factors demonstrate the objective reasonableness of the actions of Petitioners Crockett and Lott: (1) severity of the crime at issue: Prior to their arrival, Petitioners were informed that the Decedent was being combative and was fighting Deputies Orr and Phillips. Therefore, they had reason to believe the Decedent had committed assault and battery of a law enforcement officer. (2) Whether the Decedent posed an immediate threat: At the time of Petitioners' arrival, the Decedent continued to struggle against the officers attempting to restrain him and Petitioner Crockett observed him kick another Deputy as he tried to restrain the Decedent's legs. As such, Petitioners had reason to believe that the Decedent presented an immediate threat. (3) Whether the Decedent was actively resisting or attempting to resist: Again, the Decedent was actively resisting at the time of the Petitioners' use of force despite numerous law enforcement officers attempting to restrain him, including kicking a deputy. Notably, the District Court reached the exact same conclusions, finding that each of the *Graham* factors weighed in favor of both Lott and Crockett. (Appx. 111a–112a). As such, considered alone, each Petitioners' minor and brief uses of force in restraining the Decedent was completely reasonable under the totality of the circumstances.

Thus, as it pertains to Petitioners Crockett and Lott, application of the Tenth Circuit's precedent which allows the court to engage in a collective qualified immunity analysis and considers the aggregate actions of multiple officers at the scene flies in the face of this Court's established precedent that, for claims under 42 U.S.C. § 1983, a defendant may only be held liable for their own misconduct. *See Ashcroft v. Iqbal*, 556 U.S. 662, 677 (2009).

Indeed, this precedent is contrary to the Tenth Circuit's own pronouncement in *Pahls v. Thomas*, 718 F.3d 1210, 1233 (10th Cir. 2013) that a defendant's "entitlement to qualified immunity, turn on an individual assessment of each defendant's conduct and culpability." In the *Pahls* case, the Tenth Circuit stated that "[p]laintiffs must do more than show that their rights 'were violated' or that 'defendants,' as a collective and undifferentiated whole, were responsible for those violations." *Id.* at 1228 (citations omitted).

Moreover, the Tenth Circuit panel's decision ignores this Court's directive to define clearly established law in Fourth Amendment cases on the basis of the specific context of the case. *Tolan*, 572 U.S. at 657. In so holding, the Tenth Circuit panel has decided an important legal issue in a way which is inconsistent with this Court's established precedent and has so far departed from the accepted and usual course of judicial proceedings, as to call for an exercise of this Court's supervisory power. The Tenth Circuit panel's holding threatens law enforcement and public well-being everywhere because it will chill law enforcement officers in the performance of their duties, and endanger them in the field. Further, such precedent is simply contrary to the concepts of fundamental fairness and equal treatment of individuals under the law.

II. The Petition Should be Granted to Resolve a Split of Authority Among the Circuits

Unlike the Tenth Circuit, numerous other circuit courts of appeals have recognized both the importance and necessity of conducting an individualized qualified immunity analysis, especially in cases regarding alleged

violations of the Fourth Amendment, rather than analyzing qualified immunity with regard to the aggregate actions of several officers.

In *Poe v. Leonard*, 282 F.3d 123, 134-35 (2d Cir. 2002), a Fourteenth Amendment case, the Court of Appeals for the Second Circuit stated: “The qualified immunity analysis depends upon an individualized determination of the misconduct alleged.” In *Spikes v. McVea*, 12 F.4th 833 (5th Cir. 2021), an Eighth Amendment case, the Court of Appeals for the Fifth Circuit notes that it was “important that the inquiry of qualified immunity not rest on the collective action of the medical staff, but on the role of each participant.” In *Stoudemire v. Michigan Dep’t of Corr.*, 705 F.3d 560, 570 (6th Cir. 2013), a Fourth and Fourteenth Amendment case, the Court of Appeals for the Sixth Circuit found the district court had not conducted a proper qualified immunity analysis with regard to the defendant warden and vacated the order denying him summary judgment and remanded the case for further proceedings. In so doing, the Sixth Circuit stated that “it is well settled that qualified immunity must be assessed in the context of each individual’s specific conduct.” *Id.* (internal quotations omitted).

In *Est. of Williams by Rose v. Cline*, 902 F.3d 643, 651-52 (7th Cir. 2018), a Fourth Amendment case, the Court of Appeals for the Seventh Circuit stated that “Our cases demonstrate a painstaking commitment to an individualized qualified-immunity analysis, especially when the facts relative to the alleged constitutional violation differ from defendant to defendant.” In *Manning v. Cotton*, 862 F.3d 663, 668 (8th Cir. 2017), a Fourth and Fourteenth Amendment case, the Court of Appeals for the Eighth Circuit stated:

The Officers argue that the district court failed to make the required individualized analysis in arriving at its determination and instead erroneously treated the Officers as one unified group. We agree. The doctrine of qualified immunity requires an individualized analysis as to each officer ... because a person may be held personally liable for a constitutional violation only if his own conduct violated a clearly established constitutional right

(internal quotations and citations omitted). The Eighth Circuit further stated: “On appeal we conduct an individualized qualified immunity analysis for each officer, using the facts the district court used in its determination below and viewing the facts in the light most favorable to the nonmoving party.” *Id.*

In *Cunningham v. Gates*, 229 F.3d 1271, 1289 (9th Cir. 2000), as amended (Oct. 31, 2000), a Fourth Amendment case, the Court of Appeals for the Ninth Circuit found that “the district court failed to analyze the acts of each individual defendant in its qualified immunity analysis” and stated that the district court’s analysis “fall far short of the individualized analysis we require for resolving motions for summary judgment based on qualified immunity.” In *Alcocer v. Mills*, 906 F.3d 944, 951 (11th Cir. 2018), a Fourth Amendment case, the Court of Appeals for the Eleventh Circuit found that the district court did not conduct a proper individualized qualified immunity analysis with regard to the defendants, reversed the denial of summary judgment, and remanded the matter for further proceedings. The Eleventh Circuit stated that “[o]n remand, the district court shall, in the first

instance, conduct an individualized analysis of whether each defendant is entitled to qualified immunity.” *Id.* The Eleventh Circuit further held that “each defendant is entitled to an independent qualified-immunity analysis as it relates to his or her actions and omissions. So we must be careful to evaluate a given defendant’s qualified-immunity claim, considering only the actions and omissions in which that particular defendant engaged.” *Id.*

The Tenth Circuit has chosen not to join the Second, Fifth, Sixth, Seventh, Eighth, Ninth, and Eleventh Circuits in requiring individualized qualified immunity analyses—at least with regard to Fourth Amendment excessive force claims involving multiple officers. The Court should grant this Petition to resolve that split of authority among the circuits in the interest of promoting legal stability, predictability, and the equal treatment of individuals under the law.

III. The Tenth Circuit’s Incoherent and Arbitrary Analysis Regarding When a Suspect May be Considered “Effectively Subdued” Leaves Law Enforcement Officers Without any Clear Guidance to Enable Them to Conform Their Actions to Clearly Established Law

The Tenth Circuit’s jurisprudence regarding when a suspect is “effectively subdued” such that any further use of force against them constitutes excessive force is inconsistent at best and incoherent and arbitrary as applied to Petitioners Crockett and Lott in this case. No court has held that it constitutes excessive force to restrain an arrestee in a prone position when the person is actively resisting arrest. In fact, the Tenth Circuit rejected that

very argument in *Giannetti v. City of Stillwater*, 216 F. App'x 756 (10th Cir. 2007) (unpub).

In *Giannetti*, the court addressed a case wherein an arrestee, who had a known history of bipolar disorder, resisted officers' attempts to take her into custody. The officers had attempted to conduct a traffic stop, but the plaintiff refused to stop. Once at her house, the officers were able to take her into custody and transport her to the local jail. At the jail, the plaintiff began to resist the officers' attempts to place her in jail clothing. The plaintiff was handcuffed and placed in a prone position on the ground. During a struggle that lasted more than ten (10) minutes, officers placed their hands and knees on the plaintiff's upper back. *Id.* at 759-760.

In affirming the district court's grant of summary judgment to the officers, the court held that "[w]e agree that '[r]estraining a person in a prone position is not, in and of itself, excessive force when the person restrained is resisting arrest.'" *Id.* at 765 (quoting *Estate of Phillips v. City of Milwaukee*, 123 F.3d 586 (7th Cir. 1997)). The court further held that "[a]uthorities must be allowed to graduate their response to the demands of any particular situation" and that "the officers were not required to use alternative, less intrusive means during the escalating struggle." *Id.* (quoting *United States v. Montoya de Hernandez*, 473 U.S. 531, 542 (1985) and *Medina v. Cram*, 252 F.3d 1124, 1133 (10th Cir. 2001); internal quotation marks omitted). The Court concluded that "the officers' continued use of force to restrain Ms. Giannetti, although perhaps not the least intrusive choice that they could have made, was not unreasonable in response to her escalating opposition." *Id.* at 766.

In this appeal, the Tenth Circuit panel held that the *Giannetti* case was inapplicable, finding that, unlike the suspect in that case, “the facts—viewed in the light most favorable to the Estate—could show that Mr. Krueger was not meaningfully struggling during much of the prone restraint, and that Mr. Krueger was effectively subdued.” (Appx. 69a). However, the panel’s analysis is both incoherent and arbitrary as applied to Petitioners. In that regard, the Tenth Circuit panel relied upon a number of cases for the proposition that “our cases holding that prolonged prone restraints constitute excessive force focus on the ability of the suspect to effectively resist, not whether that suspect is struggling or resisting at all.” (Appx. 63a).

The Tenth Circuit first cited *Weigel*, *supra*, stating that “our analysis rested on the fact that ‘there [wa]s evidence that [the decedent] was subjected to such pressure for a significant period after it was clear that the pressure was unnecessary to restrain him,’ because he ‘was handcuffed and his legs were bound.’” (Appx. 63a -64a). Likewise, the Tenth Circuit panel also cited to *Lynch v. Board of County Commissioners of Muskogee County, Oklahoma*, 786 F. App’x 774 (10th Cir. 2019), wherein the court, applying *Weigel*, held “officers used excessive force on a decedent even though he continued to ‘actively resist[] arrest’ by ‘continu[ing] to struggle and hurl insults at the officers’ while he was subject to a prolonged prone restraint.” The court “explained that ‘he did not ‘pose[] an immediate threat to the safety of the officers or others’ because his arms and legs were shackled,’ and his resistance no longer weighed heavily because ‘it was no longer possible for him to flee’ due to the restraints.” (Appx. 64a).

Finally, the panel cited *Teetz ex rel. Lofton v. Stepien*, 142 F.4th 705 (10th Cir. 2025), wherein the court “held that the defendants used excessive force when they ‘put [the decedent] in leg restraints and [held] him down in the prone position for a prolonged period of time.’” (Appx. 64a). The court observed in that case “that ‘the use of prone restraint—even where a suspect is ‘resisting’ to some degree—becomes unreasonable where officers become aware the suspect is experiencing life-threatening harm but nonetheless continue to apply the restraint.’” (Appx. 65a). The court “further noted that ‘as we have recognized since *Weigel*, this can be true even when a suspect fights back at the beginning of an encounter, or struggles after restraints are placed,’ as long as the suspect is effectively restrained while the force is continuously applied.” (Appx. 65a).

However, the application of these cases to deny Petitioners Crockett and Lott qualified immunity is incoherent and arbitrary. Unlike the suspects in the *Weigel* and *Lynch* cases, the Decedent’s legs were not shackled at the time that Petitioners made contact with him. Rather, Petitioner Crockett knelt down on the suspect’s left buttock and left upper thigh for approximately forty-five seconds to a minute and assisted placing him in leg irons, after which she immediately left the area. Indeed, it is undisputed that Petitioner Crockett made contact with the Decedent because she saw him kick another officer. Likewise, Petitioner Lott observed Mr. Krueger fully conscious with his eyes open and kicking, continuing to resist arrest. In order to help secure the Decedent, Petitioner Lott placed his foot on Decedent’s right shoulder for approximately one minute. There were no facts, evidence, or analysis regarding whether Petitioner

Lott placed substantial or significant pressure through his foot onto the Decedent's shoulder. A suspect—even one which is handcuffed in the prone position—cannot be reasonably described as “effectively subdued” if they are still able to kick other officers. This is because they present a significant danger to the officers attempting to affect their arrest. Moreover, unlike the suspect in the *Teetz* case, Petitioners Crockett and Lott did not put continuous force on the Decedent after becoming aware that he was experiencing life-threatening harm. Neither were near Mr. Krueger when he began experiencing breathing difficulties and, until that point, Petitioner Lott believed Mr. Krueger was still breathing and not experiencing a medical emergency. (Appx. 33a). As such, none of these cases would have placed Petitioners Crockett and Lott on notice that their minor and brief uses of force in restraining the Decedent could be considered excessive.

The Tenth Circuit panel concluded:

As discussed, a reasonable jury could find that Mr. Krueger was handcuffed, prone, and subject to a prone restraint that lasted for approximately four minutes. During this time, Officer McFarland rested both his knees on Mr. Krueger's back, Officer Craig put his weight on Mr. Krueger's right shoulder, and at various points Lieutenant Crockett applied weight to his left buttock and thigh, and Deputy Lott put weight on his shoulder. The officers additionally secured leg shackles and a hobbletie. Even to the extent that Mr. Krueger continued to struggle and kick—and again, a reasonable jury could conclude that he was not meaningfully struggling for most of the encounter—once he

was handcuffed, prone, and he had the weight of an officer on him, he no longer “pose[d] an immediate threat to the safety of the officers or others,” and any resistance to arrest was outweighed by the fact that he could not “attempt[] to evade arrest by flight.”

(Appx. 66a, quoting *Graham*, 490 U.S. at 396).

However, contrary to the panel assertions, no reasonable jury could find that the Decedent was effectively subdued at the time that Petitioners Crockett and Lott used force on him. No reasonable jury could find that a suspect—even one which is handcuffed in the prone position—was “effectively subdued” if they are still able to kick other officers as they clearly present a substantial risk of injury to the officers attempting to affect their arrest. To hold otherwise is simply irrational. Rather, the facts relevant to Petitioners more closely resemble the events in the *Giannetti* case, where the court acknowledged that “[a]uthorities must be allowed to graduate their response to the demands of any particular situation....” *Giannetti*, 216 F. App’x at 765. To the extent that the Decedent was “effectively subdued” at the time of the Petitioners’ use of force, it was *because* of that use of force, not in spite of it.

Regardless, the Tenth Circuit’s inconsistent, incoherent, and arbitrary jurisprudence regarding when a suspect may be considered “effectively subdued” leaves law enforcement officers without any clear guidance to enable them to conform their actions to clearly established law. The inevitable confusion which this will cause to law enforcement officers will chill them in the performance of their duties and endanger them in the field.

IV. The Questions Presented Are Critically Important and This Case is An Ideal Vehicle to Resolve Them

Not only does the Tenth Circuit panel’s decision here depart from this Court’s controlling precedents and from the rule of at least seven other circuits, it does so on an issue that is extremely important to officer safety and in a case in which the record is exceptionally clear with regard to Petitioners’ actions. That makes this case an ideal vehicle to address a critically important issue, and certiorari is warranted.

“[P]olice officers are often forced to make splitsecond judgments—in circumstances that are tense, uncertain, and rapidly evolving—about the amount of force that is necessary in a particular situation.” *Graham*, 490 U.S. at 397. This is especially true of the decision to take someone into custody, particularly when the suspect resists. Qualified immunity protects officers from suit unless “existing precedent placed the statutory or constitutional question beyond debate.” *City & County of San Francisco v. Sheehan*, 575 U.S. 600, 611 (2015) (cleaned up). That is an intentionally exacting standard precisely because it “gives government officials breathing room to make reasonable but mistaken judgments,” such that qualified immunity protects “all but the plainly incompetent or those who knowingly violate the law.” *Id.* (quotation marks omitted).

By applying a precedent which allows the court to engage in a collective qualified immunity analysis which considers the aggregate actions of multiple officers at the scene, the Tenth Circuit panel’s decision invites judicial armchair-quarterbacking of the kinds of split-second judgments officers must make under extreme pressure. It

will also chill law enforcement officers in the performance of their duties, and endanger them in the field. Likewise, the Tenth Circuit's inconsistent, incoherent, and arbitrary jurisprudence regarding when a suspect may be considered "effectively subdued" leaves law enforcement officers without any clear guidance to enable them to conform their actions to clearly established law. The inevitable confusion which this will cause to law enforcement officers will chill them in the performance of their duties and endanger them in the field. This case is also an ideal vehicle for addressing these important legal issues.

CONCLUSION

For all of the above reasons, the Petitioners respectfully requests that the Court grant certiorari in the instant case.

Respectfully submitted,

STEPHEN L. GERIES
Counsel of Record
COLLINS ZORN & WAGNER, PLLC
429 N.E. 50th Street, Second Floor
Oklahoma City, OK 73105
(405) 524-2070
slg@czwlaw.com

RANDALL J. WOOD
PIERCE COUCH HENDRICKSON
BAYSINGER & GREEN, LLP
1109 N. Francis Avenue
Oklahoma City, OK 73106

Attorneys for Petitioners
Elizabeth Crockett and
Matthew Lott

APPENDIX

TABLE OF APPENDICES

	<i>Page</i>
APPENDIX A — OPINION AND ORDER OF THE UNITED STATES COURT OF APPEALS FOR THE TENTH CIRCUIT, FILED AUGUST 22, 2025.....	1a
APPENDIX B — ORDER OF THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF OKLAHOMA, FILED MARCH 26, 2024.....	84a
APPENDIX C — ORDER OF THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF OKLAHOMA, FILED JULY 24, 2024	128a

APPENDIX A — OPINION AND ORDER OF THE
UNITED STATES COURT OF APPEALS FOR THE
TENTH CIRCUIT, FILED AUGUST 22, 2025

UNITED STATES COURT OF APPEALS
FOR THE TENTH CIRCUIT

No. 24-7035

JOHN KRUEGER, INDIVIDUALLY AND AS CO-
ADMINISTRATOR OF THE ESTATE OF JEFFERY
KRUEGER; PAMELA KRUEGER, INDIVIDUALLY
AND AS CO-ADMINISTRATOR OF THE ESTATE
OF JEFFERY KRUEGER,

Plaintiffs-Appellees,

v.

KALEB PHILLIPS, INDIVIDUALLY; NICHOLAS
ORR, INDIVIDUALLY; MATTHEW LOTT,
INDIVIDUALLY,

Defendants-Appellants,

and

SHERIFF CHRIS ELLIOTT, IN HIS OFFICIAL
CAPACITY AS SHERIFF OF WAGONER COUNTY;
WAGONER EMERGENCY SERVICES, INC.,
A/K/A WAGONER EMS; JEFF PATTERSON,
INDIVIDUALLY; ALAN SMITH, INDIVIDUALLY;
DREW CRAIG, INDIVIDUALLY; TYLER
MCFARLAND, INDIVIDUALLY; COREY NEVITT,
INDIVIDUALLY; CLARENCE COLLINS,
INDIVIDUALLY; TRAVIS POTTS, INDIVIDUALLY;
BEN BLAIR, INDIVIDUALLY; ELIZABETH
CROCKETT, INDIVIDUALLY,

Defendants.

Appendix A

No. 24-7037

JOHN KRUEGER, INDIVIDUALLY AND AS CO-ADMINISTRATOR OF THE ESTATE OF JEFFERY KRUEGER; PAMELA KRUEGER, INDIVIDUALLY AND AS CO-ADMINISTRATOR OF THE ESTATE OF JEFFERY KRUEGER,

Plaintiffs-Appellees,

v.

DREW CRAIG, INDIVIDUALLY; TYLER MCFARLAND, INDIVIDUALLY; COREY NEVITT, INDIVIDUALLY; BEN BLAIR, INDIVIDUALLY,

Defendants-Appellants,

and

SHERIFF CHRIS ELLIOTT, IN HIS OFFICIAL CAPACITY AS SHERIFF OF WAGONER COUNTY; WAGONER EMERGENCY SERVICES, INC., A/K/A WAGONER EMS; KALEB PHILLIPS, INDIVIDUALLY; NICHOLAS ORR, INDIVIDUALLY; JEFF PATTERSON, INDIVIDUALLY; ALAN SMITH, INDIVIDUALLY; CLARENCE COLLINS, INDIVIDUALLY; TRAVIS POTTS, INDIVIDUALLY; MATTHEW LOTT, INDIVIDUALLY; ELIZABETH CROCKETT, INDIVIDUALLY,

Defendants.

Appendix A

No. 24-7066

JOHN KRUEGER, INDIVIDUALLY AND AS CO-ADMINISTRATOR OF THE ESTATE OF JEFFERY KRUEGER; PAMELA KRUEGER, INDIVIDUALLY AND AS CO-ADMINISTRATOR OF THE ESTATE OF JEFFERY KRUEGER,

Plaintiffs-Appellees,

v.

ELIZABETH CROCKETT, individually,

Defendant-Appellant,

and

SHERIFF CHRIS ELLIOTT, IN HIS OFFICIAL CAPACITY AS SHERIFF OF WAGONER COUNTY; WAGONER EMERGENCY SERVICES, INC., A/K/A WAGONER EMS; KALEB PHILLIPS, INDIVIDUALLY; NICHOLAS ORR, INDIVIDUALLY; JEFF PATTERSON, INDIVIDUALLY; ALAN SMITH, INDIVIDUALLY; DREW CRAIG, INDIVIDUALLY; TYLER MCFARLAND, INDIVIDUALLY; CLARENCE COLLINS, INDIVIDUALLY; COREY NEVITT, INDIVIDUALLY; TRAVIS POTTS, INDIVIDUALLY; BEN BLAIR, INDIVIDUALLY; MATTHEW LOTT, INDIVIDUALLY,

Defendants.

Filed August 22, 2025

Appendix A

OPINION AND ORDER

Appeals from the United States District Court
for the Eastern District of Oklahoma
(D.C. No. 6:21-CV-00044-RAW)

Before HARTZ, McHUGH, and MORITZ, *Circuit Judges*.

McHUGH, *Circuit Judge*.

Jeffrey Krueger died following a traffic stop in Oklahoma, initiated by Wagoner County Sheriff's Office ("WCSO") Deputies. Mr. Krueger's parents and the representatives of his estate, Plaintiffs-Appellees John and Pamela Krueger (the "Estate"), filed suit under 42 U.S.C. § 1983, alleging that multiple law enforcement officers used excessive force or else failed to intervene in the use of excessive force by others during the traffic stop, in violation of the Fourth Amendment.

The alleged force took place in two parts. First, WCSO Deputies Nicholas Orr and Kaleb Phillips initiated a traffic stop of Mr. Krueger's vehicle. After Mr. Krueger stopped his car in a middle turn lane rather than pulling onto the side of the road, the Estate alleges that Deputies Orr and Phillips pulled Mr. Krueger from his car by his hair, slammed his head on the pavement, and repeatedly tased him as they sought to place him in handcuffs. Second, Lieutenant Elizabeth Crockett and Deputy Matthew Lott of the WCSO, together with City of Wagoner Police Officers Ben Blair, Drew Craig, Tyler McFarland, and

Appendix A

Corey Nevitt responded to the scene.¹ The Estate further claims that each officer either participated directly or failed to intervene when other officers put weight on the handcuffed and prone Mr. Krueger while also restraining him with leg shackles and a hobble tie. Mr. Krueger stopped breathing at the scene and was transported to a nearby hospital where he was pronounced dead.

The Defendants moved individually for summary judgment, asserting they were entitled to qualified immunity. The district court denied the Defendants' motions for summary judgment as to the Estate's excessive force and failure to intervene claims, determining that the facts viewed in the light most favorable to the Estate were sufficient to show clearly established constitutional violations. The Defendants filed the instant interlocutory appeals, arguing the district court was wrong to deny qualified immunity.

We agree with the district court that the Defendants are not entitled to qualified immunity on the excessive force and failure to intervene claims. Accordingly, we affirm the district court's orders denying summary judgment.

1. This court procedurally consolidated Appeal Nos. 24-7035, 24-7037, and 24-7066, given that they all arise from the same proceeding in the United States District Court for the Eastern District of Oklahoma. We collectively refer to the eight Defendants-Appellants party to this consolidated appeal as the "Defendants." The Estate sued other individuals and entities, but those claims and parties are not at issue in this appeal.

Appendix A

Our analysis of the issues on appeal proceeds in five parts. In Part I, we explain our limited jurisdiction over these interlocutory appeals, including three doctrines that expand the scope of that review. In Part II, we perform our obligation to conduct a cumbersome review of the record to supplement or correct the district court's statement of facts and set forth the facts a reasonable jury could find based on that record evidence. In Part III, we provide the legal framework applicable to this appeal, including the standard of review and the law of qualified immunity. Then, in Part IV, we apply the facts as determined in Part II to the law set forth in Part III to assess the correctness of the district court's decision. Finally, in Part V, we summarize our conclusions.

Ultimately, we conclude that although the district court's recitation of the facts was incomplete and, in some instances, not stated in the light most favorable to the Estate, the court reached the correct conclusion: Defendants are not entitled to qualified immunity. We therefore affirm the district court's denials of summary judgment.

PART I: JURISDICTION

The district court had subject matter jurisdiction over the Estate's 42 U.S.C. § 1983 claims under 28 U.S.C. § 1331 because they arose under federal law, and it had supplemental jurisdiction over the related state law claims under 28 U.S.C. § 1337.

Defendants filed timely interlocutory appeals of the denial of their motions for summary judgment based

Appendix A

on qualified immunity. Pursuant to the collateral order doctrine, we have jurisdiction over their interlocutory appeals under 28 U.S.C. § 1291. *See, e.g., Mitchell v. Forsyth*, 472 U.S. 511, 528 (1985); *Flores v. Henderson*, 101 F.4th 1185, 1190 (10th Cir. 2024). In this interlocutory posture, our appellate jurisdiction is generally limited to a review of the purely legal question of the application of law to the district court's factual findings. *See, e.g., Flores*, 101 F.4th at 1190. That is, we may review only "(1) whether the facts that the district court ruled a reasonable jury could find would suffice to show a legal violation, or (2) whether that law was clearly established at the time of the alleged violation." *Est. of Booker v. Gomez*, 745 F.3d 405, 409 (10th Cir. 2014) (quotation marks omitted). We lack interlocutory jurisdiction to review "whether or not the pretrial record sets forth a 'genuine' issue of fact for trial." *Johnson v. Jones*, 515 U.S. 304, 320 (1995). "Thus, if a district court concludes that a reasonable jury could find certain specified facts in favor of the plaintiff, the Supreme Court has indicated we usually must take them as true – and do so even if our own de novo review of the record might suggest otherwise as a matter of law." *Booker*, 745 F.3d at 409-410 (internal quotation marks omitted).

There are exceptions to this jurisdictional rule, however, and the parties urge us to expand the scope of our appellate review based on those doctrines. We now consider the exceptions advanced by the parties and determine whether any is applicable here.

A. Material Facts Not Found

First, "if a district court fails to specify which factual disputes precluded a grant of summary judgment

Appendix A

for qualified immunity . . . we are unable to separate an appealed order’s reviewable determination (that a given set of facts violates clearly established law) from its unreviewable determination (that an issue of fact is genuine).” *Id.* at 410 (internal quotation marks omitted). In this circumstance, “we ‘may have to undertake a cumbersome review of the record to determine what facts the district court, in the light most favorable to the nonmoving party, likely assumed.’” *Id.* (quoting *Johnson*, 515 U.S. at 319). In undertaking this review, we “look behind the order denying summary judgment and review the *entire* record, including video evidence.” *Id.* (internal quotation marks omitted). But if the district court indicates which sources in the record it relied on in determining facts were disputed, “our review [is] less cumbersome” because we can assume the district court relied on those sources in determining the factual disputes that “were material and disputed.” *Id.*

Here, both the City of Wagoner Police Officers (the “City Defendants”) and the Estate assert that a “cumbersome review” of the record is needed. The district court denied summary judgment as to the excessive force and failure to intervene claims against Defendants in two written orders: one addressing the City Defendants’ motions for summary judgment, and one addressing the WCSO Deputies’ (the “County Defendants”) motions. As we discuss in more detail below, while the district court’s orders generally specified which material facts precluded summary judgment, it omitted some facts we consider material.

Appendix A

The first deficiency in the factual recitation that requires us to undertake a de novo review of the record involves Deputies Phillips's and Orr's use of tasers following the removal of Mr. Krueger from his vehicle. The district court found "while it is undisputed that both Deputies Phillips and Orr struck Mr. Krueger and applied a taser, the number of strikes and taser applications is disputed." App. Vol. VIII at 2111. But the district court did not make further findings specifying how many strikes and taser applications occurred when viewing the evidence in the light most favorable to the Estate. *See id.* The amount, duration, and strength of taser output are material facts under our excessive force precedent. *See, e.g., Booker*, 745 F.3d at 424 (holding force was excessive when the defendant used taser "for three seconds longer than recommended"); *Perea v. Baca*, 817 F.3d 1198, 1204 (10th Cir. 2016) (holding force was excessive where "[t]he officers tasered [the plaintiff] once in 'probe mode' and nine times in 'stun mode' within the span of two minutes, continuing after [the plaintiff] had been effectively subdued"). Accordingly, we "undertake a cumbersome review of the record" to ferret out facts that the district court 'likely assumed' in holding the number of strikes and taser applications constituted excessive force. *Fogarty v. Gallegos*, 523 F.3d 1147, 1154 (10th Cir. 2008) (quoting *Behrens v. Pelletier*, 516 U.S. 299, 313 (1996)).

Next, the circumstances surrounding the prone restraint of Mr. Krueger after his removal from the vehicle require further review of the record. The district court sets forth in sufficient detail the timeline of events, the identity of the Defendants who put weight on Mr.

Appendix A

Krueger and where, and the nature of the restraints used. However, the district court’s factual findings as to the City Defendants, Lieutenant Crockett, and Deputy Lott failed to identify the level of resistance Mr. Krueger exhibited throughout the encounter, or the level of control the Deputies had over Mr. Krueger, further requiring our cumbersome review of the record. *See, e.g., Teetz ex rel. Lofton v. Stepien*, 142 F.4th 705, 723 (10th Cir. 2025) (explaining that whether a suspect is “effectively subdued” at some point during an encounter is highly relevant to the excessive force analysis). Because understanding Mr. Krueger’s level of resistance and the Defendants’ control over him is key to the excessive force analysis, we must conduct “a cumbersome review of the record to determine what facts the district court, in the light most favorable to [the Estate], likely assumed” in determining the force was excessive. *Booker*, 745 F.3d at 410 (quoting *Johnson*, 515 U.S. at 319).²

Otherwise, we hew to the district court’s factual findings as to the prone restraint as required at this stage of review.³

2. *See Est. of Booker v. Gomez*, 745 F.3d 405, 410 n.1 (10th Cir. 2014) (“[W]e take this opportunity to urge district courts to heed *Johnson*’s admonition to state the facts the court is assuming for purposes of resolving a summary-judgment based request for qualified immunity. Such a consistent course of action preserves the district court’s institutional advantage, at this interlocutory stage, in determining the existence, or nonexistence, of a triable issue of fact.” (quotation marks omitted)).

3. In the fact-intensive realm of excessive force cases, making findings as to the level of resistance law enforcement officers face *throughout* an encounter, not just at the beginning, is necessary

*Appendix A***B. Blatantly Contradicted by the Record**

Next, the parties urge us to reconsider factual findings made by the district court which they contend are blatantly contradicted by the record. “When the version of events the district court holds a reasonable jury could credit is blatantly contradicted by the record, this court does not accept that version of events but instead assesses the facts *de novo*.” *Vette v. K-9 Unit Deputy Sanders*, 989 F.3d 1154, 1164 (10th Cir. 2021) (internal quotation marks omitted). “This standard is satisfied only when ‘the version of events is so utterly discredited by the record that no reasonable jury could have believed’ it, constituting ‘visible fiction.’” *Id.* (quoting *Scott v. Harris*, 550 U.S. 372, 380-81 (2007)).

Here, the district court found that during the entire portion of the encounter between Deputy Orr, Deputy Phillips, and Mr. Krueger captured on the body camera footage, “Mr. Krueger continued to struggle, fight, and kick the Deputies.” App. Vol. VIII at 2100-01. But body camera footage of the incident blatantly contradicts this factual finding. As detailed in the fact section below, the video reveals that for long portions of the encounter, Mr. Krueger was exhausted, not fighting back, and under the control of the Deputies. The video therefore renders “visible fiction” a finding that Mr. Krueger was fighting back during the entire portion of the encounter captured on the body camera. *Scott*, 550 U.S. at 381. We therefore must conduct our own *de novo* review of the

to properly analyze whether an officer’s use of force is excessive under the totality of the circumstances. *See, e.g., Teetz ex rel. Lofton v. Stepien*, 142 F.4th 705, 723 (10th Cir. 2025).

Appendix A

record to determine the facts a reasonable jury could find regarding the duration and effectiveness of Mr. Krueger’s resistance. *See Est. of Valverde v. Dodge*, 967 F.3d 1049, 1062-63 (10th Cir. 2020) (reviewing video evidence which blatantly contradicted district court’s factual findings on interlocutory appeal); *Teetz*, 142 F.4th at 723 (explaining whether a suspect is effectively subdued and restrained is material to the excessive force analysis).

Similarly, the district court found that after their encounter with Mr. Krueger, Deputies Phillips and Orr “were talking to other officers and not looking back to see what was happening with Mr. Krueger,” and “did not observe what happened with Mr. Krueger until they heard an officer call out that he was not breathing.” App. Vol. VIII at 2101. But another officer’s body camera footage unmistakably shows that after the Deputies first walked away, they both returned to observe what was happening with Mr. Krueger as he was being subjected to the prone restraint and one of the officers asked for leg shackles. Again, we must assess the factual record in the light most favorable to the Estate in determining the facts a reasonable jury could find.

C. Legal Error En Route to Factual Determination

Finally, we must review a district court’s factual findings on interlocutory review “if the district court commits *legal error* en route to a *factual* determination.” *Pahls v. Thomas*, 718 F.3d 1210, 1232 (10th Cir. 2013). For example, in *Pahls*, when the district court failed to make necessary individualized findings as to each defendant’s alleged constitutional violations, we reviewed the record de

Appendix A

novo to enable us to properly consider the legal question. *Id.* at 1233-43. And in *Works v. Byers*, 128 F.4th 1156, 1162 (10th Cir. 2025), we reviewed the record de novo when the district court shifted the burden to the wrong party.

Here, the district court uncritically adopted the Deputies' version of events during a crucial portion of the encounter not captured by video – the beginning of the stop when Deputies Orr and Phillips pulled Mr. Krueger from his car.⁴ But the district court was also required to “consider[] the physical evidence together with the inconsistencies in the [Deputies’] testimony,” bearing in mind that “since the victim of deadly force is unable to testify, courts should be cautious on summary judgment to ensure that the [Deputies are] not taking advantage of the fact that the witness most likely to contradict [their] story … is unable to testify.” *Pauly v. White*, 874 F.3d 1197, 1217-18 (10th Cir. 2017) (quoting *Abraham v. Raso*, 183 F.3d 279, 294 (3d Cir. 1999)). The district court could “not simply

4. On appeal, the Estate notes that Deputy Phillips could not explain why his body camera footage failed to record the entire encounter, and observes that “unlike most bodycam videos, [Deputy] Phillips’s bodycam does not begin with 30 seconds of silent video, raising questions about whether part of the video is missing.” Appellees’ Br. at 80. But from our review of the record, the Estate never raised this issue before the district court. By raising a motion for spoliation sanctions, the Estate would have been able to ask the district court to employ the “substantial weaponry in [its] arsenal to shape the appropriate relief,” including by “issu[ing] an adverse inference.” *Helget v. City of Hays*, 844 F.3d 1216, 1225-26 (10th Cir. 2017) (internal quotation marks omitted). In the absence of any developed record as to the reason for the missing video, we can rely only on the Deputies’ testimony and other circumstantial evidence supporting the Estate’s version of events in setting out the facts during this portion of the encounter.

Appendix A

accept what may be a self-serving account” by the Deputies without “also look[ing] at the circumstantial evidence that, if believed, would tend to discredit the [Deputies’] story.” *Id.* at 1218 (quoting *Scott v. Henrich*, 39 F.3d 912, 915 (9th Cir. 1994)). To be sure, “mere speculation, conjecture, or surmise cannot defeat a summary-judgment motion,” and “attacks on the credibility” of an officer alone cannot create a factual issue precluding summary judgment without evidence supporting a contrary narrative. *Alcala v. Ortega*, 128 F.4th 1298, 1306 (10th Cir. 2025) (internal quotation marks omitted). Accordingly, we have explained that sources such as forensic evidence, conflicting officer testimony, and medical evidence can be sufficient to create a factual dispute with an officer’s stated version of events. *See, e.g., Est. of Smart v. City of Wichita*, 951 F.3d 1161, 1170 (10th Cir. 2020) (relying on forensic evidence, eyewitness testimony, and testimony from the decedent’s longtime friend about his lack of gun ownership to reject an officer’s contrary account that decedent was armed); *Est. of Harmon v. Salt Lake City*, 134 F.4th 1119, 1124-25 (10th Cir. 2025) (holding reasonable jury could discredit officer’s testimony that decedent carried a knife because circumstantial evidence including conflicting testimony from other officer, inconclusive DNA evidence, and the fact officer did not warn other officers about a weapon supported plaintiffs’ version of events); *Huff v. Reeves*, 996 F.3d 1082, 1088-89 (10th Cir. 2021) (crediting circumstantial evidence that officer meant to shoot plaintiff, including the nature and extent of plaintiff’s gunshot injuries, despite officer’s contrary testimony).

Here, we find several instances in which Deputy Phillips’s and Deputy Orr’s testimony is directly

Appendix A

contradicted by video or physical evidence or is internally inconsistent as between initial investigatory interviews and later affidavits and depositions. The conflicting testimony and credibility concerns, in combination with circumstantial evidence supporting the Estate’s version of events, required the district court, at the summary judgment stage, to find those facts in favor of the Estate.⁵ *See, e.g., Smart*, 951 F.3d at 1170. The district court’s failure to do so was a “*legal* error en route to a *factual* determination,” requiring us to review the record *de novo* to determine the facts for purposes of resolving the legal question at issue – whether the Deputies are entitled to qualified immunity. *Pahls*, 718 F.3d at 1232.

In short, this combination of errors requires us to review the factual record as to Deputies Phillips and Orr *de novo*. We do so now and set forth the facts in the light most favorable to the Estate.

PART II: FACTS AND DISTRICT COURT DECISION**A. Facts**

In setting forth the facts a reasonable jury could find,⁶ we begin by describing Deputies Orr’s and Phillips’s

5. Although the qualified immunity *analysis* considers the facts from the point of view of a reasonable officer, district courts must heed the basic summary judgment standard of construing the underlying *facts* in the light most favorable to the nonmovant. *See Tolan v. Cotton*, 572 U.S. 650, 656-57 (2014).

6. The narrative set forth herein consists of the facts a reasonable jury could find. For simplicity, we sometimes omit the qualification that the facts recounted are those “a reasonable jury

Appendix A

removal of Mr. Krueger from the vehicle based on our *de novo* review of the record. We then relay the encounter with the remaining law enforcement officers, summarizing the district court's factual findings and conducting a cumbersome review of the record where the district court failed to make necessary factual findings concerning Mr. Krueger's level of resistance throughout the prone restraint.

1. Encounter Between Mr. Krueger and Deputies Phillips and Orr**a. Initial Stop and Removal**

On the evening of July 1, 2019, at approximately 9:45 p.m., Deputy Orr stopped at a gas station in Wagoner, Oklahoma to refill his patrol car while on duty. As Deputy Orr stood at the gas pumps, he claimed to hear squealing tires. A car pulled in behind him and Mr. Krueger exited his car and started cleaning the windshield. Deputy Orr later stated Mr. Krueger did not appear to be driving at an excessive rate of speed based on the video footage from the gas station, but he nonetheless attributed the squealing tires to him.

Mr. Krueger had stopped on his way to his parents' home in Texas. He cleaned his windshield and got back into his car. Mr. Krueger then drove away from the gas station.

could find" occurred. Although we conclude the jury could find these facts, we express no opinion as to what the jury will find after hearing all the evidence.

Appendix A

Believing Mr. Krueger might be intoxicated because he allegedly appeared to talk to himself at the gas pumps, Deputy Orr called Deputy Phillips, who was also on patrol. Deputy Orr used his personal cell phone to call Deputy Phillips, despite being on duty. Deputy Orr relayed to Deputy Phillips that he had observed a man in a dark-colored car who could possibly be driving under the influence. Deputy Orr asked Deputy Phillips to follow the car and pull the driver over. Deputy Orr testified that he wanted to “give [Deputy Phillips] th[e] opportunity” to “further his skills in DUI enforcement.” App. Vol. IV at 1035. Deputy Phillips had graduated from the police academy just a few months prior to the incident.

Soon after, Deputy Phillips informed Deputy Orr that he was following Mr. Krueger’s car on the highway. Deputy Phillips reported that Mr. Krueger was driving fast and failing to stay in his lane, although he later testified he was not sure if Mr. Krueger was driving over the posted speed limit. Deputy Orr caught up to Deputy Phillips and drove behind him as Deputy Phillips turned on his emergency lights. Mr. Krueger pulled his car into the center turn lane of the highway and stopped. The Deputies pulled their patrol cars behind Mr. Krueger and stopped. Deputy Phillips could see Mr. Krueger moving around inside the car. Deputy Phillips exited his patrol car and drew his gun. In his deposition, Deputy Phillips could not identify a specific reason why deadly force was warranted other than Mr. Krueger’s abrupt stop in the middle of the highway. Deputy Orr also exited his patrol car and approached Mr. Krueger’s car.

Appendix A

Because Deputy Phillips's body camera does not capture this first part of the traffic stop, we recite the Deputies' post hoc accounts. According to their testimony, the Deputies gave a series of conflicting commands to Mr. Krueger, including to put his hands out the window, put his hands on the steering wheel, exit the vehicle, and remain in the vehicle. Viewing these facts in the light most favorable to Mr. Krueger, he complied with the order to remain in his car and did not open the door.⁷

Mr. Krueger continued to search the interior of his car. Deputies Orr and Phillips both testified they could see he had a card in hand and appeared to be looking through a pile of papers, possibly for his insurance information or driver's license. The Deputies testified they could not see weapons in his car, had no reason to suspect Mr. Krueger was armed, and that Mr. Krueger did not say anything threatening. But the Deputies decided to remove Mr. Krueger from his car because he was ignoring their commands and continuing to search his car. By this point, each Deputy had his gun out and pointed at Mr. Krueger.

Across their post-incident interviews, affidavits, and depositions, Deputies Phillips and Orr provided shifting accounts of what happened after they opened Mr. Krueger's

7. The Deputies both testified in their depositions that Mr. Krueger swung open the door, and Deputy Phillips testified the door opening contributed to his decision to draw his weapon. But in Deputy Orr's initial interview with state investigators about the incident, he stated that Deputy Phillips opened the door. Again, resolving these conflicting facts in the light most favorable to Mr. Krueger, as we must, we conclude Mr. Krueger did not open the door.

Appendix A

car door. In an interview with the Oklahoma State Bureau of Investigation (“OSBI”) and a subsequent affidavit, Deputy Phillips stated that Mr. Krueger grabbed his left arm and later reached for his gun. At Deputy Phillips’s deposition, he reiterated that Mr. Krueger “grabbed me and . . . tried to pull me into his vehicle,” and later that Mr. Krueger “[g]rabbed my leg” and “grabbed my gun.” App. Vol. IV at 1085, 1087.

During his OSBI interview and in a subsequent affidavit, Deputy Orr also stated that he had seen Mr. Krueger try to pull Deputy Phillips into the car and reach for his gun. But Deputy Orr stated at his OSBI interview that Deputy Phillips “[went] to grab [Mr. Krueger]” *first* while Mr. Krueger was “still actively reaching around” with “papers in his lap, credit cards or something.” App. Vol. V at 1135. Then, at Deputy Orr’s deposition, he testified that he could no longer recall what happened when Deputy Phillips approached the car because everything had happened so fast, would not testify that Deputy Phillips opened the door first, and would not definitively confirm that he ever saw Mr. Krueger’s hand on Deputy Phillips’s gun. He also did not testify that Mr. Krueger ever grabbed either Deputy, only that Mr. Krueger was not compliant with their orders and that they took him to the ground.

Construing these conflicting accounts in the light most favorable to Mr. Krueger, after Deputy Phillips opened Mr. Krueger’s car door, he grabbed Mr. Krueger first to pull him out of the vehicle, as Mr. Krueger continued to fumble with the pile of cards and papers. Mr. Krueger did not reach for Deputy Phillips’s gun but did grab Deputy Phillips’s arm and leg after the two Deputies made

Appendix A

physical contact with him and began to pull him from the car, not realizing his seatbelt was still on.

Beyond these inconsistent accounts, the Deputies' credibility is also called into question by video evidence that undercuts or directly contradicts their testimony describing other parts of the encounter. For example, Deputy Orr testified that Mr. Krueger was acting bizarrely at the gas station by cleaning only one side of his windshield, but the gas station video shows Mr. Krueger cleaning the entire windshield. Deputy Orr further testified that Mr. Krueger was "holding . . . his hand up like he was talk[ing] on the phone," but this behavior is not visible in the video. App. Vol. IV at 1032. Further, both Deputy Phillips and Deputy Orr stated in sworn affidavits that Mr. Krueger called them "fuckers" and "motherfuckers" when they walked away from him at the end of the encounter. App. Vol. III at 711, 726. But in the video, Mr. Krueger is mumbling at this point in the encounter, while Deputy Phillips calls Mr. Krueger "fucker" and "motherfucker" just before he walks away. App. Vol. II at 395 (Phillips Body Camera Footage) at 5:04-5:10. Indeed, while Deputy Phillips specifically testified that he did not directly call Mr. Krueger a motherfucker during the encounter, and only uttered curse words out of exhaustion, that testimony is contradicted by his own body camera video, in which he exclaims, "Goddamn! You motherfucker!" while holding Mr. Krueger down. *Id.* at 3:30-3:33. Finally, the Deputies testified that they walked away after their encounter with Mr. Krueger and did not return until someone yelled he was not breathing. But the body camera footage shows that they both returned and looked down at Mr. Krueger while he was subjected to the prone restraint by City of Wagoner Police Officers.

Appendix A

Circumstantial evidence also supports the Estate's version of events that Mr. Krueger was simply trying to provide his driver's license and insurance information and was given no chance to comply before Deputy Phillips grabbed him and dragged him from the car. First, photographs from the scene show Mr. Krueger's driver's license on the driver's seat, two credit cards on the ground outside the door of Mr. Krueger's open vehicle, and Mr. Krueger's insurance card on the ground nearby, corroborating the account Mr. Krueger was simply gathering relevant information when he was suddenly pulled from the vehicle.



A photograph of the scene shows Mr. Krueger's open car doors with his driver's license on the front seat and two credit cards on the ground next to the door. App. Vol. VI at 1492.

22a

Appendix A



Mr. Krueger's car insurance information also on the ground near his car. App. Vol. VI at 1498.

Appendix A

A closer photograph of Mr. Krueger's driver's license on his front seat. App. Vol. VI at 1499.

Second, the computer-aided dispatch (“CAD”) logs from the incident show that Deputies Phillips and Orr reported they arrived on scene at 9:55:44 and 9:55:53 p.m., respectively. Just six seconds after Deputy Orr arrived, at 9:55:59, he reported to dispatch there was “one fighting.” App. Vol. II at 399. That means only fifteen seconds passed from when Deputy Phillips arrived on scene, and just six seconds from when Deputy Orr arrived, to when the Deputies had removed Mr. Krueger from his car and begun to struggle on the ground. That brief timeline undercuts Deputy Orr’s and Deputy Phillips’s testimony that they gave multiple commands to Mr. Krueger that he ignored before they reached into the car and decided to extract him. The timeline, however, supports the Estate’s

Appendix A

account – along with Deputy Orr’s initial testimony to the OSBI – that Deputy Phillips decided to remove Mr. Krueger from the car before he had any chance to comply.

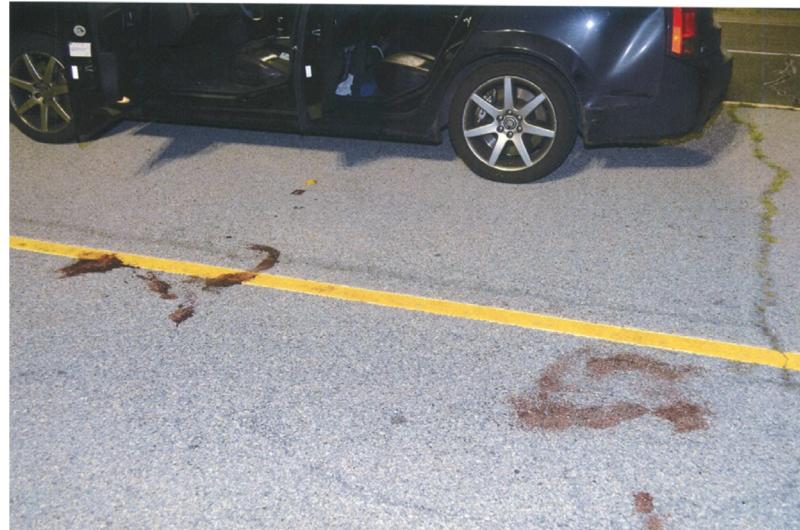
Considering the Deputies’ conflicting accounts and the Estate’s circumstantial evidence, a reasonable jury could find that Mr. Krueger was grabbed by Deputy Phillips as he tried to locate his driver’s license and registration and was taken to the ground before he had a chance to comply with any commands. A reasonable jury could further find that to the extent Mr. Krueger grabbed the Deputies, the motion was involuntary, out of fear, or surprise as he was violently pulled from his car.

After the Deputies undid Mr. Krueger’s seatbelt, they pulled him from his car by his hair. Deputies Orr and Phillips then threw Mr. Krueger to the ground and a struggle ensued. At some point during the struggle, before Deputy Phillips’s body camera footage begins, Mr. Krueger suffered a severe blow to the head, opening a gash in his forehead that covered the highway with blood.⁸ Additionally, Mr. Krueger’s hair had been grabbed with enough force to leave a bloody wad of it behind.

8. While Deputy Phillips and Deputy Orr disagree that they caused the head slam, they do not argue that this fact is blatantly contradicted by the record. Instead, they accept the finding and argue that even with the head injury, the use of force was reasonable. Moreover, as the County Defendants acknowledge in their reply brief, Deputy Phillips stated in his deposition that it was “a possibility” that he or Deputy Orr had struck Mr. Krueger’s head on the pavement during the struggle. App. Vol. III at 781; *see Cnty. Defs.’ Reply Br.* at 10.

25a

Appendix A



*Scene photograph of the blood left behind on the highway.
App. Vol. VI at 1494.*



A wad of Mr. Krueger's hair. Id. at 1495.

26a

Appendix A



A photograph taken at the hospital of the gash left in Mr. Krueger's head. App. Vol. VII at 1822.

*Appendix A***b. Struggle captured by body camera**

According to the Deputies, a ground struggle ensued as they attempted to get Mr. Krueger's hands behind his back to handcuff. The struggle lasted for at least a minute and a half before Deputy Phillips's body camera began to capture the encounter.

During the portion of the encounter captured by the body camera, Mr. Krueger was initially on the ground, kicking, as the Deputies attempted to restrain him and yelled repeatedly for him to turn over. Just a few seconds later, Mr. Krueger asked, "what do you want me to do?" and then repeatedly asked for help as the Deputies simultaneously commanded him to turn over while holding him down, preventing him from doing so. App. Vol. II at 395 (Phillips Body Camera Footage) at 0:15-30. The video shows that Mr. Krueger did not move or struggle for about twenty seconds. When Mr. Krueger began to struggle and kick again, the Deputies deployed their taser for approximately eight seconds and continued to command him to turn over.

After this deployment of the taser, Mr. Krueger kicked again, weakly, as the Deputies commanded him to give them his hands. For the next forty-five seconds, they held down Mr. Krueger and attempted to place handcuffs on him. They repeatedly yelled for him to turn over and he repeatedly responded that he was trying. During this interval, Deputy Orr had his body on Mr. Krueger, apparently preventing him from complying with the command to turn over, while Deputy Phillips held his taser at the ready against Mr. Krueger's thigh. Deputy

Appendix A

Orr again commanded for Mr. Krueger to give his hands, and immediately after told Deputy Phillips to tase him. Deputy Phillips deployed the taser again for at least five seconds. When the taser was deployed this time, Mr. Krueger had been held down for almost a minute by both Deputies and was no longer kicking.

Mr. Krueger reached for something on Deputy Phillips's belt. Deputy Phillips yelled for him to let go, and then said that Mr. Krueger tried to grab his taser. After briefly standing up and repositioning, the Deputies continued to hold Mr. Krueger down for about a minute and a half and tried to handcuff him. They did not deploy the taser again, but continuously held him down even as Mr. Krueger's cries for help grew increasingly faint and unintelligible. The Deputies finally managed to pull Mr. Krueger's arm behind his back and handcuff him with the help of two EMTs who responded to the scene, as Mr. Krueger continued to lie on the ground exhausted, barely moving.

As more officers responded to the scene, one called out, "God damn! There's a lot of blood. He's covered in blood." *Id.* at 4:49-5:00. After the newly arriving officers confirmed they had control of Mr. Krueger, Deputy Phillips walked away toward a patrol car.

Deputy Orr stated in his interview with investigators that during the course of the encounter, he used his taser on Mr. Krueger at least three times in drive-stun mode. Deputy Orr reported that Deputy Phillips also used a taser on Mr. Krueger two to three times in drive-stun mode. Deputy Orr also indicated he struck Mr. Krueger

Appendix A

two to three times in the jaw. In his deposition, Deputy Orr further stated the taser was set to 50,000 volts and that he was using it for “pain compliance.” App. Vol. IV at 1043. In Deputy Phillips’s deposition, he confirmed he used the taser “approximately[] three times” in drive-stun mode for “pain compliance.” *Id.* at 1091-92. According to the Estate’s excessive force expert, the taser records show one of the tasers was activated three times for ten total seconds, while the other was activated five times for twenty-five total seconds, in drive-stun mode.

The Deputies dispute that each taser activation made contact with Mr. Krueger’s body. The video – which captures only part of the encounter – contains audio of the taser being deployed but is too shaky and dark to show definitively how many times the taser made contact. Viewing the facts in the light most favorable to Mr. Krueger, as we must at this stage, the Deputies used the taser on Mr. Krueger eight times, in drive-stun mode; punched him multiple times in the torso; and struck him in the jaw three times. Some of those taser applications and punches occurred before the body camera footage begins, creating a material factual dispute as to whether Mr. Krueger was subdued or fighting back during the unrecorded taser strikes and punches.

As noted above, while Deputies Orr and Phillips claimed they had no further contact with Mr. Krueger, body camera footage of the subsequent encounter with City of Wagoner Police shows Deputies Orr and Phillips returned to observe what was happening, and each Deputy looked down and observed as the other Defendants pinned Mr. Krueger to the ground and decided to chain his feet.

*Appendix A***2. Encounter Between Mr. Krueger and Deputy Lott, Lieutenant Crockett, and Officers Blair, Craig, McFarland, and Nevitt****a. District court's factual findings**

During Deputy Orr's encounter with Mr. Krueger, he sent out radio calls advising other law enforcement of the encounter, including a call reporting he had "one [suspect] fighting," and another that he "need[ed] help." App. Vol. VIII at 2102. The other Defendants heard and responded to Deputy Orr's call. Deputy Lott heard the call at approximately 10:00 p.m. and advised dispatch he was en route. City of Wagoner Police Officers Blair, Craig, McFarland, and Nevitt also received the message that County deputies needed help and responded to the scene.

After being delayed by a passing train, Deputy Lott arrived just as Deputy Orr sent out a radio call reporting that he had "one in custody." *Id.* at 2102. Deputy Lott parked his patrol vehicle to block oncoming traffic, exited the vehicle, and saw Mr. Krueger – handcuffed and face down on the pavement – with Deputies Orr and Phillips along with the EMTs. Deputy Lott later testified that as he approached, Mr. Krueger was still kicking, his eyes were open, and he appeared to be fully conscious.

The Officers similarly testified that Mr. Krueger was still kicking and fighting as they approached the scene. They took over for Deputies Orr and Phillips and began to hold down the prone Mr. Krueger. Officer Craig placed his right knee on Mr. Krueger's right shoulder, while "Officer McFarland placed his right shin and knee

Appendix A

on Mr. Krueger's waistline and his left knee over Mr. Krueger's left shoulder." *Id.* at 2079. Although the parties dispute how much weight Officer McFarland placed on Mr. Krueger, the district court found the video supported the Estate's contention that Officer McFarland rested his full weight on both knees.⁹ Officers Nevitt and Blair did not participate in restraining Mr. Krueger or taking him into custody, but testified they witnessed Mr. Krueger handcuffed and face down with other officers on top of him.

Lieutenant Crockett arrived on the scene after Deputies Orr and Phillips had walked away from Mr. Krueger. Lieutenant Crockett first checked in with the Deputies before approaching Mr. Krueger. After observing Mr. Krueger kick one of the officers, Lieutenant Crockett approached to help. She knelt on Mr. Krueger's left buttock and left upper thigh. Meanwhile, Officer Craig had retrieved leg shackles, and he and Lieutenant Crockett placed the shackles on Mr. Krueger while Lieutenant Crockett continued to kneel on his buttock and thigh. Lieutenant Crockett placed her weight on the prone Mr. Krueger for between forty-five seconds to one minute total, until the leg irons were secure.¹⁰ As Officers

9. The City Defendants argue in their opening brief that this fact is not supported by the record, *see* City Defs.' Br. at 29-30, but in their reply brief, they state that even if the finding were correct, any force used was not excessive, *see* City Defs.' Reply Br. at 12.

10. Lieutenant Crockett argues that any finding that she put weight on Mr. Krueger's back as opposed to his buttock and thigh is blatantly contradicted by the record and the district court's own factual findings. We agree with Lieutenant Crockett that the district court's factual finding is that she put her weight on Mr. Krueger's left buttock and thigh, not his back. But as discussed

Appendix A

McFarland and Craig continued to place weight on Mr. Krueger, Lieutenant Crockett went to her car, where she had no further contact with Mr. Krueger.

During this interval, Deputy Lott placed his foot on the top of the prone Mr. Krueger's right shoulder for approximately one minute. While Deputy Lott asserted that he did not place weight on Mr. Krueger's shoulder, the district court noted that fact could not be determined from the video, which shows Deputy Lott's foot on Mr. Krueger's shoulder.

As the restraint continued, the officers asked if they could "hobble" Mr. Krueger – connect the leg restraints to the handcuffs with a chain – because he continued to move and kick his legs.¹¹ Deputy Lott left and retrieved a hobble chain from his patrol car and handed it to the officers. The officers placed the chain, connecting Mr. Krueger's handcuffed wrists to his shackled feet by between twelve to fourteen inches of chain. Deputy Lott observed, but did

infra, this still makes Lieutenant Crockett potentially liable for her participation in the prone restraint under our precedent.

11. The City Defendants argue that the district court wrongly referred to this as a hog-tie, as opposed to a hobble-tie, at one point in its decision. A "hog-tie restraint . . . involve[s] the binding of the ankles to the wrists, behind the back, with 12 inches or less of separation." *Cruz v. City of Laramie*, 239 F.3d 1183, 1188 (10th Cir. 2001). As explained by the City Defendants, a hobble-tie is similar but involves a longer chain connecting the ankles to the wrists – here, somewhere between 14 and 18 inches. City Defs.' Br. at 35. The district court clearly referred to the restraint as a "hobble-tie," and later in its order, called it a "hog-tie-like" restraint. App. Vol. VIII at 2084. We detect no contradiction and accept the factual finding that Mr. Krueger was hobble-tied.

Appendix A

not participate in, the hobble chaining. He also observed the officers with their knees on Mr. Krueger's back.

Shortly after placement of the hobble chain, Officer Blair noticed that Mr. Krueger's breathing had changed and asked, "He's still breathing ain't he?" *Id.* at 2080. Officer McFarland realized that Mr. Krueger was shallow breathing and called for the EMTs on scene. Deputy Lott had moved to stand with Deputies Orr and Phillips when he heard Officer Blair ask if Mr. Krueger was still breathing. Until that point, Deputy Lott believed Mr. Krueger was still breathing and not experiencing a medical emergency.

After the EMTs loaded Mr. Krueger into the ambulance, Deputy Lott drove the ambulance to the Wagoner Community Hospital, where Mr. Krueger was pronounced dead. The state medical examiner reported the cause of death as "cardiac dysrhythmias due to probable acute psychosis in the setting of physical exertion and restraint." *Id.* at 2080-81. Mr. Krueger was six feet three inches tall, weighed 156 pounds, and was thirty-six years old when he died.¹²

12. In its order denying summary judgment to the City Defendants, the district court stated – without any additional factfinding or analysis – that "Mr. Krueger certainly appears to have diminished capacity in the body cam videos." *Id.* at 2085. The district court relied on this fact to conclude that the City Defendants were liable for excessive force under *Cruz*, 239 F.3d 1183, which held that subjecting an individual to a prone restraint and hog-tie "when an individual's diminished capacity is apparent" constitutes excessive force. *Id.* at 1188. We defined "diminished capacity" as potentially "result[ing] from severe intoxication, the influence of

*Appendix A***b. Cumbersome review**

The district court determined that Mr. Krueger was kicking, his eyes were open, and he was conscious when the second group of officers arrived, and also found that Mr. Krueger continued to kick and move his legs later in the restraint. However, it made no finding as to Mr. Krueger's consciousness, level of struggle, or how effectively he was subdued by the officers throughout the encounter. *See id.*

controlled substances, a discernible mental condition, or any other condition, apparent to the officers at the time, which would make the application of a hog-tie restraint likely to result in any significant risk to the individual's health or well-being." *Id.*

On appeal, the City Defendants argue that the district court made no factual findings that demonstrate they were made aware of Mr. Krueger's diminished capacity. *See City Defs.' Br.* at 34. We agree that based on the district court's factual findings, the later responding law enforcement officers were not aware of any diminished capacity as defined in *Cruz* (such as severe intoxication or a discernible mental condition). Moreover, as discussed *supra*, while Deputies Orr and Phillips believed based on their encounter with Mr. Krueger at the gas station that he may have been intoxicated, it is not apparent from the record they communicated any potential intoxication to the later responding officers.

However, that the City Defendants along with Deputy Lott and Lieutenant Crockett did not perceive Mr. Krueger to have diminished capacity does not change our excessive force analysis. While the district court relied in part on *Cruz* to conclude that the use of a prolonged prone restraint constituted excessive force, *see* App. Vol. VIII at 2085, as discussed *infra*, we hold the Defendants could be found liable under our later precedents establishing that the use of a prolonged prone restraint can constitute excessive force regardless of a suspect's diminished capacity.

Appendix A

at 2102; *see also id.* at 2084, 2112 (performing *Graham* excessive force analysis only as to the beginning of the encounter).

Whether a suspect was effectively subdued is a key material fact in the excessive force analysis. *See, e.g., Weigel v. Broad*, 544 F.3d 1143, 1152 (10th Cir. 2008) (holding officers used excessive force during prone restraint because “there is evidence that [the decedent] was subjected to such pressure for a significant period *after it was clear that the pressure was unnecessary to restrain him*” (emphasis added)); *Lynch v. Bd. of Cnty. Comm’rs of Muskogee Cnty.*, 786 F. App’x 774, 782 (10th Cir. 2019) (unpublished) (“The key issue in evaluating [the officers’] use of force is whether they continued using force *after [the decedent] was subdued*.”); *Teetz*, 142 F.4th at 723-24. Accordingly, we conduct a cumbersome review of the record to determine the level of Mr. Krueger’s resistance throughout the encounter, which in turn, allows us to ascertain which material facts the district court likely relied on in concluding that the prone restraint constituted excessive force. *See Booker*, 745 F.3d at 410.

We are aided in our review by the body camera footage from another City of Wagoner police officer who responded to the scene, Travis Potts. For approximately two minutes, Mr. Krueger can be seen prone, handcuffed, and restrained by multiple officers while not visibly moving, struggling, or speaking. The footage then becomes unclear as Officer Potts moves, making it difficult to see Mr. Krueger, but the prone restraint continues for approximately another minute until an officer asks if Mr.

Appendix A

Krueger is still breathing, and the EMTs are called. Each time Mr. Krueger comes into view, at least two officers are on his prone body, and he is not visibly moving, struggling, or speaking.

While Mr. Krueger weighed 156 pounds, Officer Craig weighed approximately 235 pounds, Officer McFarland weighed approximately 230 pounds, and Lieutenant Crockett weighed about 200 pounds, for a combined weight of 665 pounds – over four times Mr. Krueger’s body weight, without accounting for Deputy Lott’s foot on Mr. Krueger’s shoulder. The Estate submitted a medical expert report which found that Mr. Krueger’s cause of death was positional asphyxia. Specifically, the Estate’s expert opined that the weight placed on Mr. Krueger while he was restrained in a prone position led to his death, and that Mr. Krueger had been in a prone position for “at least three or four minutes.” App. Vol. IV at 995. The medical expert also noted that Mr. Krueger had several broken ribs when he died which contributed to the asphyxia. *Id.* The expert attributed the broken ribs to the prone restraint. *Id.* And the medical expert opined that being placed in a prone restraint would have caused Mr. Krueger to panic and struggle in an attempt to breathe.

Finally, the law enforcement officers testified inconsistently about whether Mr. Krueger was speaking or making any noise during the prone restraint. Officer Craig testified that Mr. Krueger was not speaking, mumbling, or moaning while he interacted with him, and Lieutenant Crockett similarly testified Mr. Krueger did not speak at all when she held him down. In contrast, Deputy Lott

Appendix A

asserted that Mr. Krueger was “kicking and resisting,” saying things like “get the fuck off of me, motherfuckers.” App. Vol. VII at 1841.¹³

Viewing the disputed facts in the light most favorable to the Estate, Mr. Krueger was subject to a prone restraint while he was handcuffed, and his legs were eventually restrained. Officers McFarland and Craig, along with Deputy Lott and Lieutenant Crockett, continually applied weight to Mr. Krueger for up to four minutes while he did not meaningfully resist or speak.

B. District Court Decision

On February 16, 2021, the Estate filed a § 1983 complaint alleging, among other things, excessive force. After several rounds of pleading during which the Estate added additional defendants, the Estate filed the operative Fourth Amended Complaint on July 11, 2022.¹⁴

In the operative complaint, the Estate broadly alleged that Defendants Phillips, Orr, Lott, Crockett, Craig, McFarland, Nevitt, and Blair, among others, “beat[], tased, and/or suffocated to death” Mr. Krueger. App. Vol.

13. As discussed above, any statement to this effect by Mr. Krueger is not audible on the body camera footage; he cannot be heard speaking or even mumbling.

14. The Estate brought other state and federal claims against Defendants, along with claims against other parties including the EMTs present on scene, but those claims and parties are not at issue in this interlocutory appeal.

Appendix A

I at 270. The Estate specifically alleged that two EMT defendants (who are not party to this interlocutory appeal) “fail[ed] to intervene and stop” the deputies from beating and suffocating Mr. Krueger but contained no parallel allegations for any of the officers or deputies. *Id.* at 271.

In the factual allegation section of the complaint, the Estate alleged in more detail that Deputies Phillips and Orr, along with Officers Craig and McFarland, “assaulted, arrested and handcuffed Mr. Krueger.” *Id.* at 276. In a paragraph concerning the EMT defendants only, the Estate alleged that they “acted as law enforcement officers by handcuffing and subduing Mr. Krueger,” and that “[a]ll state actors have a duty to intervene and stop the use of excessive force.” *Id.* at 276-77. This paragraph quoted case law on the failure to intervene, stating that “a law enforcement official who fails to intervene to prevent another law enforcement official’s use of excessive force may be liable under § 1983,” *id.* at 277 (quoting *Maresca v. Bernalillo Cnty.*, 804 F.3d 1301, 1314 (10th Cir. 2015)), and that “an officer who is present at the scene and who fails to take reasonable steps to protect the victim of another officer’s use of excessive force, can be held liable for his nonfeasance,” *id.* (quoting *Fundiller v. City of Cooper City*, 777 F.2d 1436, 1441-42 (11th Cir. 1985)).

The Estate further alleged that “Mr. Krueger was found to be not breathing and with fixed and dilated pupils,” and “[a]t no time did Deputies Orr or Phillips or Officers Craig or McFarland (*and other named defendants* above, though the exact ones are unknown at this time) stop their use of excessive force on Mr. Krueger after he

Appendix A

asked for help and said he couldn't breathe, nor did they or EMTs Patterson and Smith (*and other named defendants above*, though the exact ones are unknown at this time) render medical aid or care to or assist Mr. Krueger with his cries for help." *Id.* (emphases added). The complaint stated a claim for excessive force against all defendants but did not separately state a failure to intervene claim. *Id.* at 280-81.¹⁵

Following discovery, on May 15, 2023, the Defendants each moved for summary judgment, asserting qualified immunity as to the excessive force claims. Some of the Defendants also addressed a failure to intervene theory at summary judgment. On March 26, 2024, the district court resolved the motions for summary judgment in two written orders. Those orders denied summary judgment as to the excessive force claims, specifically concluding that the Estate had come forward with enough materially disputed evidence to allow a reasonable jury to conclude Officers Craig and McFarland along with Lieutenant Crockett and Deputies Lott, Phillips, and Orr were liable for excessive force and that all eight Defendants were liable for failing to intervene.¹⁶

15. The district court noted, in an order denying in part a motion to dismiss an earlier iteration of the complaint, that it was construing the complaint to encompass a failure to intervene theory. *See Krueger v. Bd. of Cnty. Comm'r's of Wagoner Cnty.*, No. CIV-21-044-Raw, Dkt. 153 (Order Denying Motion to Dismiss) at 5 n.4.

16. The district court held that an individualized analysis of each officers' behavior was necessary, citing *Pahls v. Thomas*, 718 F.3d 1210 (10th Cir. 2013), a First Amendment case. But in the Fourth

Appendix A

As to the excessive force claims against Deputies Phillips and Orr arising out of the removal of Mr. Krueger from his car, the district court concluded that even though “each of the *Graham* [v. *Connor*, 490 U.S. 386 (1989)] factors – (1) the severity of the crime at issue, (2) whether the suspect posed an immediate threat to the safety of the officers or others, and (3) whether he was actively resisting arrest – weighed in the Deputies’ favor” once Mr. Krueger grabbed the Deputies, it was not “objectively reasonable . . . for [the Deputies] to slam his head to the ground” and

Amendment excessive force context, we have recognized that – at summary judgment – officer conduct may be aggregated:

Although we frequently conduct separate qualified immunity analyses for different defendants, we have not always done so at the summary judgment stage of excessive force cases. Where appropriate, we have aggregated officer conduct. In *Weigel v. Broad*, 544 F.3d 1143 (10th Cir. 2008), for instance, two officers handcuffed an arrestee and bound his legs. For three minutes, one of the officers applied pressure to the man’s upper torso as the man lay on his stomach, while the other officer went to warm his hands in the police cruiser. The man died of asphyxiation, and his estate sued both officers under § 1983. Even though only one officer placed pressure on the victim’s back, we did not perform separate analyses for the two officers and denied qualified immunity for both of them.

Booker, 745 F.3d at 421.

We agree with the district court that the record supports a finding of individual liability as to each Defendant. But we reaffirm that in appropriate excessive force cases, officer conduct may be aggregated.

Appendix A

there were “issues of material fact” as to whether the “force used thereafter was objectively reasonable.” App. Vol. VIII at 2111. The district court further concluded that even in the absence of specific case law concerning head slamming, this was the “rare obvious case, where the unlawfulness of the officer’s conduct is sufficiently clear.” *Id.* at 2113 (quoting *District of Columbia v. Wesby*, 583 U.S. 48, 64 (2018)).

As to the excessive force claims against Lieutenant Crockett, Deputy Lott, and Officers McFarland and Craig arising out of the prone restraint, the district court concluded again that “the *Graham* factors weigh in their favor,” but “under the totality of the circumstances,” whether the officers’ use of force was objectively reasonable given that Mr. Krueger was face down and handcuffed was a factual issue that survived summary judgment. *Id.* at 2112. The district court further concluded that it is “clearly established that putting substantial or significant pressure on a suspect’s back while that suspect is in a face-down prone position after being subdued and/or incapacitated constitutes excessive force.” *Id.* at 2114 (quoting *Booker*, 745 F.3d at 424). The district court accordingly denied summary judgment as to the claims against Lieutenant Crockett, Deputy Lott, and Officers McFarland and Craig. However, the court granted the motion for summary judgment as to the excessive force claim against Officers Nevitt and Blair, finding they had not participated in the prone restraint.

As to the failure to intervene claim, the district court determined each of the eight Defendants was

Appendix A

liable. And with respect to the claims against the County Defendants (Deputies Phillips, Orr, Lott, and Lieutenant Crockett), the district court concluded that because the Estate had “presented sufficient disputed evidence to meet their burden to show that Deputies Phillips, Orr, Lott and Lieutenant Crockett used excessive force,” and because each Defendant was also there “to observe other deputies and officers,” there was sufficient evidence that “each officer observed it happening, and each officer had a realistic opportunity to intervene, but failed to do so.” *Id.* at 2114-15. Similarly, because the court held there was sufficient evidence to show Officers McFarland and Craig had used excessive force, it concluded there was sufficient evidence to show each officer had failed to prevent the other’s use of excessive force. Although the court held that Officers Nevitt and Blair were not liable for excessive force, it concluded that because the Estate had presented “undisputed” evidence that “Officers Nevitt and Blair observed Mr. Krueger pinned down under the officers’ knees,” they could be found liable for failing to intervene. *Id.* at 2087. The court further held the failure to intervene claim was clearly established by *Weigel*, 544 F.3d at 1153 n.4.

Following Lieutenant Crockett’s unsuccessful motion to reconsider, Defendants timely appealed.

PART III: LEGAL BACKGROUND**A. Standard of Review**

A court “shall grant summary judgment if the movant shows that there is no genuine dispute as to any material

Appendix A

fact and the movant is entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(a). “[T]he mere existence of *some* alleged factual dispute between the parties will not defeat an otherwise properly supported motion for summary judgment; the requirement is that there be no *genuine* issue of *material* fact.” *Anderson v. Liberty Lobby*, 477 U.S. 242, 247-48 (1986). “Material” facts are determined with reference to the substantive law; “[o]nly disputes over facts that might affect the outcome of the suit under the governing law will properly preclude the entry of summary judgment.” *Id.* at 248. At this stage, the court’s function “is not . . . to weigh the evidence and determine the truth of the matter but to determine whether there is a genuine issue for trial,” *i.e.*, if “there is sufficient evidence favoring the nonmoving party for a jury to return a verdict for that party.” *Id.* at 249.

B. Qualified Immunity

The substantive law here is § 1983 and qualified immunity. “In resolving questions of qualified immunity at summary judgment, courts engage in a two-pronged inquiry,” first asking whether the facts “[t]aken in the light most favorable to the party asserting the injury, . . . show the officer’s conduct violated a federal right.” *Tolan v. Cotton*, 572 U.S. 650, 655-56 (2014) (quoting *Saucier v. Katz*, 533 U.S. 194, 201 (2001)). The second prong considers whether the right in question was “clearly established.” *Id.* at 656. Qualified immunity cases in particular “illustrate the importance of drawing inferences in favor of the nonmovant, even when, as here, a court decides only the clearly-established prong of the standard.” *Id.* at 657.

Appendix A

This is because the “clearly established” prong depends on “the specific context of the case.” *Id.* (quoting *Saucier*, 533 U.S. at 201). Therefore, “courts must take care not to define a case’s ‘context’ in a manner that imports genuinely disputed factual propositions.” *Id.*

1. Constitutional Violation

We analyze excessive force claims “under the Fourth Amendment and its ‘reasonableness’ standard.” *Graham*, 490 U.S. at 395. Our inquiry “is an objective one,” and asks “whether the officers’ actions are ‘objectively reasonable’ in light of the facts and circumstances confronting them, without regard to their underlying intent or motivation.” *Id.* at 397. “Reasonableness is evaluated under a totality of the circumstances approach which requires that we consider the following factors: [1] the severity of the crime at issue, [2] whether the suspect poses an immediate threat to the safety of the officers or others, and [3] whether he is actively resisting arrest or attempting to evade arrest by flight.” *Weigel*, 544 F.3d at 1151-52 (quoting *Graham*, 490 U.S. at 396). We have recognized that the second *Graham* factor “is undoubtedly the ‘most important’ . . . factor in determining the objective reasonableness of an officer’s use of force.” *Pauly*, 874 F.3d at 1216 (quoting *Bryan v. MacPherson*, 630 F.3d 805, 826 (9th Cir. 2010)). “Additionally, ‘the reasonableness of a particular use of force must be judged from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of hindsight.’” *Weigel*, 544 F.3d at 1152 (quoting *Graham*, 490 U.S. at 396).

Appendix A

As the Supreme Court recently emphasized, a court “must consider all the relevant circumstances, including facts and events leading up to the climactic moment,” rather than just the “moment-of-threat,” in assessing the reasonableness of force. *Barnes v. Felix*, 145 S. Ct. 1353, 1356 (2025). “There is no ‘easy-to-apply legal test’ or ‘on/off switch’ in this context.” *Id.* at 1358 (quoting *Scott*, 550 U.S. at 382-83). “Rather, the Fourth Amendment requires . . . that a court ‘slosh [its] way through’ a ‘factbound morass.’” *Id.* (alteration in original) (quoting *Scott*, 550 U.S. at 383).

Under this totality of the circumstances analysis, we ask whether the force used is “proportional to [the suspect’s] crime.” *Perea*, 817 F.3d at 1203. Additionally, where – as here – officers use multiple forms of force, we generally consider whether the combined force was reasonable. *See Vette*, 989 F.3d at 1171 (considering whether “striking [plaintiff] in the face and releasing a police dog to attack him” was “objectively reasonable”); *McCoy v. Meyers*, 887 F.3d 1034, 1051 (10th Cir. 2018) (considering whether “[striking plaintiff] more than 10 times and plac[ing] him in a carotid restraint” was reasonable); *Davis v. Clifford*, 825 F.3d 1131, 1134 (10th Cir. 2016) (considering whether “grabb[ing] [plaintiff] by her hair and arms, pull[ing] her through the shattered [car] window, pinn[ing] her face-down on the broken glass outside the car, and handcuff[ing] her” was reasonable). At the same time, in situations in which a suspect is restrained and subject to force, we generally separate our analysis into pre- and post-restraint force. *See, e.g., Luethje v. Kyle*, 131 F.4th 1179, 1198 (10th Cir. 2025).

*Appendix A***2. Clearly Established**

“To be clearly established, ordinarily there must be prior Supreme Court or Tenth Circuit precedent, or the weight of authority from other circuits, that would have put an objective officer in [Defendants’] position on notice that [they were] violating [the decedent’s] Fourth Amendment rights.” *Vette*, 989 F.3d at 1171 (quotation marks omitted). The Supreme Court has repeatedly cautioned that we may “not . . . define clearly established law at a high level of generality.” *Kisela v. Hughes*, 584 U.S. 100, 104 (2018) (quoting *City & Cnty. of San Francisco v. Sheehan*, 575 U.S. 600, 613 (2015)). Moreover, “specificity is especially important in the Fourth Amendment context.” *Mullenix v. Luna*, 577 U.S. 7, 12 (2015) (per curiam). “Use of excessive force is an area of the law ‘in which the result depends very much on the facts of each case,’ and thus police officers are entitled to qualified immunity unless existing precedent ‘squarely governs’ the specific facts at issue.” *Kisela*, 584 U.S. at 104 (quoting *Mullenix*, 577 U.S. at 18). “Precedent involving similar facts can help move a case beyond the otherwise ‘hazy border between excessive and acceptable force.’” *Id.* at 105 (quoting *Mullenix*, 577 U.S. at 18).

“Nonetheless, even in the Fourth Amendment context, there need not be a prior ‘case directly on point,’ so long as there is existing precedent that places the unconstitutionality of the alleged conduct ‘beyond debate.’” *McCowan v. Morales*, 945 F.3d 1276, 1285 (10th Cir. 2019) (quoting *Wesby*, 583 U.S. at 64). Thus, “even in excessive force cases, this court’s analysis is not a scavenger hunt for prior cases with precisely the same facts, and a prior

Appendix A

case need not be exactly parallel to the conduct here for the officials to have been on notice of clearly established law.” *Vette*, 989 F.3d at 1171-72 (internal quotation marks omitted). “Rather, the salient question is whether the state of the law at the time of an incident provided fair warning to the defendants that their alleged conduct was unconstitutional.” *Id.* at 1172 (quotation marks omitted).

We now apply the facts a reasonable jury could find based on our de novo review of the record to the law of qualified immunity.

PART IV: ANALYSIS

The Defendants raise three primary arguments across their separate briefs: first, that Deputy Orr’s and Deputy Phillips’s use of force was not excessive; second, that Deputy Lott, Lieutenant Crockett, Officer Craig, and Officer McFarland did not use excessive force when they subjected Mr. Krueger to a prone restraint; and third, that the operative complaint did not properly plead a failure to intervene claim, or in the alternative, that the facts found at summary judgment do not support a failure to intervene claim as to any Defendant.

For the reasons explained below, we conclude that the district court correctly denied summary judgment as to the excessive force and failure to intervene claims. Based on the evidence produced at summary judgment viewed in the light most favorable to the Estate, the Defendants are not entitled to qualified immunity. In explaining our conclusion, we first discuss whether Deputies Orr

Appendix A

and Phillips used excessive force when they pulled Mr. Krueger from his car by the hair, slammed his head into the asphalt, and used their tasers in drive-stun mode eight times. Second, we consider whether those actions are clearly established as excessive force under our precedent. Next, we consider whether a reasonable jury could find that the Defendants who participated in the subsequent prone restraint or failed to intervene violated Mr. Krueger's constitutional rights. And upon concluding that it could, we turn to whether the Defendants were on notice that their conduct violated Mr. Krueger's constitutional rights based on clearly established law. Finally, we consider whether a reasonable jury could find the Defendants failed to intervene in the use of excessive force, and whether the failure to intervene was clearly established as a constitutional violation under these facts.

A. Excessive Force – Deputy Orr and Deputy Phillips**1. Constitutional Violation**

As discussed above, a reasonable jury could find that Deputies Orr and Phillips removed Mr. Krueger from his vehicle by force – pulling him out of his car by his hair – and slammed him onto the asphalt, hitting his head against the pavement in the process. The jury could also find that before Deputies Orr and Phillips dragged Mr. Krueger from his car, they failed to give Mr. Krueger a chance to comply, the Deputies' commands were inconsistent, and they immediately placed Mr. Krueger at gunpoint despite suspecting him of only misdemeanor traffic violations. Once on the ground, Mr. Krueger struggled

Appendix A

with the Deputies and kicked at them, and they began to deploy their tasers in drive-stun mode. During the later part of the encounter captured by video, it appears Mr. Krueger is effectively subdued; he is tased after he has been motionless for nearly a minute, he is not struggling, and his hands are under his body.

Separating the encounter into the initial use of force – pulling Mr. Krueger from his car and slamming his head onto the ground – and the later use of force during the struggle on the ground, we consider each *Graham* factor in turn and then analyze the use of force under the totality of the circumstances. Again, we do so considering the facts a jury could find, interpreting the evidence in the manner most favorable to the Estate.

a. Removal from car and slam into pavement

All three *Graham* factors weigh in Mr. Krueger's favor as to the initial use of force. As to the first factor – severity of the crime at issue – Mr. Krueger had been pulled over for only minor traffic violations: speeding and failing to stay in his lane of travel. Because these are misdemeanor offenses, this first factor weighs in the Estate's favor. *See Davis*, 825 F.3d at 1135 (“The severity of [the plaintiff's] crime weighs against the use of anything more than minimal force because the charge underlying her arrest . . . is a misdemeanor.”); *Casey v. City of Federal Heights*, 509 F.3d 1278, 1282 (10th Cir. 2007) (same).

The Deputies argue that because Mr. Krueger grabbed Deputy Phillips, he was reasonably suspected

Appendix A

of a battery and therefore was a felony suspect. But as discussed *supra* Section II.A.1, viewing the facts in the light most favorable to Mr. Krueger, Deputy Phillips grabbed him first and began to remove him from the car, and only then did Mr. Krueger grab Deputy Phillips. The jury could find that a reasonable officer would not have suspected a battery where seconds after arriving on scene the officer began removing a suspected misdemeanant from a vehicle at gunpoint while the seatbelt was still fastened, and the surprised suspect grabbed the officer.

As to the second *Graham* factor, whether Mr. Krueger posed an immediate safety threat to the officers or others, this factor also weighs in Mr. Krueger's favor. This factor weighs against law enforcement when “[t]here is no evidence that [a plaintiff] had access to a weapon or that [he] threatened harm to [himself] or others.” *Davis*, 825 F.3d at 1135. Even where a suspect uses “minimal physical force against” an officer, where an officer is not “place[d] . . . in immediate danger,” “slamm[ing] [a suspect] violently to the ground” is not reasonable. *Surat v. Klamser*, 52 F.4th 1261, 1275 (10th Cir. 2022). Here, it is undisputed that Mr. Krueger was not armed, and that the Deputies did not reasonably suspect him of having a weapon when they made the decision to remove him from his car. As discussed, the facts a reasonable jury could find support that Deputy Phillips made the decision just seconds after arriving on scene to open Mr. Krueger’s door and remove him from his vehicle by force while Mr. Krueger shuffled through his papers in an attempt to furnish his identification. There is no evidence he posed an immediate threat to the Deputies’ safety as they approached the car and decided to remove him.

Appendix A

As to the third *Graham* factor – whether Mr. Krueger actively resisted arrest or attempted to evade arrest – this factor weighs slightly in his favor. When Deputies Phillips and Orr grabbed Mr. Krueger by the hair, removed him from his car, and slammed him into the ground, just six seconds had passed since both Deputies had arrived on scene. Even if Mr. Krueger had been given consistent commands, the jury could find he was not given time to comply before the Deputies forced him from his car, making the sudden use of force unreasonable. *See Casey*, 509 F.3d at 1282 (holding that grabbing and tackling a misdeemeanant “without ever telling him that he was under arrest” is excessive force); *Roosevelt-Hennix v. Prickett*, 717 F.3d 751, 758-59 (10th Cir. 2013) (recognizing that when a suspect is unable to comply with law enforcement commands a lack of compliance is not resistance).

Moreover, even if Mr. Krueger heard but did not immediately comply with the inconsistent commands before the Deputies quickly removed him from his car, we have recognized that a low level of resistance, such as “attempting to pry [an officer’s] fingers off” or “pawing at” an officer “does not justify a severe use of force in response” under this factor. *Surat*, 52 F.4th at 1275. Indeed, even where a suspect “refused to exit the vehicle when ordered,” if the suspect has no means to flee or there is no evidence they attempted to flee, this factor still weighs slightly against law enforcement. *Davis*, 825 F.3d at 1136. Here, the Deputies both testified Mr. Krueger had stopped his vehicle and was going through a pile of papers, possibly looking for his driver’s license and insurance information, as they approached. Viewing the facts in

Appendix A

the light most favorable to the Estate, Mr. Krueger did not attempt to flee or struggle before he was grabbed by Deputy Phillips, and this factor therefore weighs against the Deputies.

Finally, looking to the totality of the circumstances, Deputies Phillips and Orr had pulled over Mr. Krueger for minor traffic violations when they decided – just *six seconds* after both arriving on scene – to violently remove him from his car by the hair. Next, the Deputies threw Mr. Krueger to the ground with enough force that he sustained a serious head gash and lost a wad of his hair. While “an officer can effect an arrest for even a minor infraction, [a] minor offense – at most – support[s] the use of minimal force.” *Perea*, 817 F.3d at 1203. Conversely, “the use of disproportionate force to arrest an individual who is not suspected of committing a serious crime and who poses no threat to others constitutes excessive force.” *Id.* at 1204. Here, we readily conclude that a reasonable jury could find that the force used against Mr. Krueger at the beginning of the encounter – grabbing him by the hair, pulling him from his vehicle, and slamming his head into the asphalt – was disproportionate, and therefore, excessive.

b. Deployment of taser and punching

After the Deputies slammed Mr. Krueger to the ground, he began to struggle and kick the Deputies. In response, they deployed their tasers against Mr. Krueger in drive-stun mode up to eight times. Additionally, the Deputies hit Mr. Krueger, including in the jaw. Viewing the facts in the light most favorable to Mr. Krueger, the

Appendix A

force deployed was constitutionally excessive after the point the Deputies gained physical control over him.

As to the first *Graham* factor – severity of the crime at issue – while Mr. Krueger was initially pulled over for minor traffic violations, because he fought back and kicked the Deputies, they reasonably suspected Mr. Krueger of assault and battery against a deputy sheriff, in violation of 21 Okla. Stat. tit. 21, § 649(B), a felony. “[O]ur binding precedent indicates the first *Graham* factor weighs against the plaintiff when the crime at issue is a felony.” *Vette*, 989 F.3d at 1170. Accordingly, this first factor weighs against Mr. Krueger. But as we have recognized, even when this factor weighs against a plaintiff, where the “remaining factors weigh []strongly against” a defendant, he “cannot prevail under the totality of the circumstances.” *Id.*

The second and third *Graham* factors – whether the suspect poses an immediate threat to the safety of officers or others, and whether the suspect is actively resisting arrest – weighed in the Deputies’ favor at the beginning of the encounter. Mr. Krueger was actively struggling and resisting arrest on a highway, posing an immediate threat to the officers, to Mr. Krueger himself, and to passing traffic. But as the encounter wore on – as captured by Deputy Phillips’s body camera – Mr. Krueger ceased to fight back, lay exhausted on the ground, and begged for help. Nonetheless, Deputies Phillips and Orr physically held Mr. Krueger down and tased him at least one additional time in drive-stun mode. This means the jury could find that Mr. Krueger was effectively subdued – held down by the Deputies, physically exhausted, and no

Appendix A

longer fighting back – when the Deputies used force. At this point, the second and third *Graham* factors weighed in favor of Mr. Krueger, because he was subdued by the two Deputies and was no longer actively resisting.

Indeed, in response to the Deputies' commands to show his hands while they held Mr. Krueger down, pinning his hands under his body, Mr. Krueger asked repeatedly, "What do you want me to do?" App. Vol. II at 395 (Phillips Body Camera Footage) at 0:15-30. This suggests Mr. Krueger sought to comply with the Deputies' orders but was physically prevented from complying by the Deputies' holds. We have recognized that when a suspect is unable to comply with police commands, his lack of compliance is not resistance that could make force reasonable under the *Graham* factors. *See Roosevelt-Hennix*, 717 F.3d at 758-59 (holding suspect who was unable to move legs in compliance with officer orders was not resisting under *Graham*). Therefore, a reasonable jury could find that once Mr. Krueger was physically subdued, even though he was not complying with the commands to produce his hands, he was no longer resisting for purposes of *Graham* because he was physically unable to move his hands out from under his torso while the Deputies put their weight on top of him.

To be sure, before Mr. Krueger was effectively subdued, using a taser to effectuate the arrest was not unreasonable under our case law. *See, e.g., Hinton v. City of Elwood*, 997 F.2d 774, 777 (10th Cir. 1993) (holding it was not excessive for officers to use a stun gun on a suspect after wrestling him to the ground when the suspect was

Appendix A

actively resisting arrest by kicking and biting the officers). But whether additional taser strikes were reasonable turns on whether they occurred before or after Mr. Krueger was effectively subdued and whether the officers had time to become aware of that submission. Based on the conflicting evidence presented by Defendants and the video, a reasonable jury could find that Mr. Krueger was subdued during the later strikes and enough time had passed for the officers to know it.

Under those facts, the continued use of the taser once Mr. Krueger was effectively subdued was unreasonable and therefore excessive.¹⁷

2. Clearly Established**a. Removal from car and slam into pavement**

It was clearly established by July 2019 that the Deputies used excessive force when they pulled Mr. Krueger out of his car by his hair and slammed him into the asphalt while he was suspected only of misdemeanor offenses.¹⁸

17. Similarly, a finding that any continued punching or strikes to Mr. Krueger's jaw occurred after he was subdued would be excessive, because the *Graham* factors weighed against the Deputies at that point in the encounter.

18. The district court concluded that even without "specific case law where an officer or officers slammed a person's head into the ground," this is the "rare 'obvious case,' where the unlawfulness of the officer's conduct is sufficiently clear even though existing precedent does not address similar circumstances." App. Vol. VIII

Appendix A

First, the facts of *Davis v. Clifford*, 825 F.3d 1131 (10th Cir. 2016), are sufficiently on point to put the Deputies on notice the force was excessive. In *Davis*, officers initiated a traffic stop because a run of the plaintiff’s license plate showed a warrant for driving on a license suspended for failing to provide proof of insurance. *Id.* at 1133-34. After the plaintiff pulled into a parking lot, multiple officers surrounded her with their police vehicles. *Id.* at 1134. Two officers approached her car and began to hit it with batons, causing the plaintiff, in fear, to lock her doors and roll up the window. *Id.* The two officers told the plaintiff to step out of the car. Through a crack in the window, the plaintiff asked why she had been pulled over and offered to show her license, insurance, and registration. *Id.* One of the officers said, “you know why” and commanded her again to exit the vehicle. *Id.* She said she would get out if the officers promised not to hurt her. *Id.* Instead, one of the officers “shattered the driver’s side window with his baton,” and then two officers together “grabbed [the plaintiff] by her hair and arms, pulled her through the shattered window, pinned her face-down on the broken glass outside the car, and handcuffed her.” *Id.*

The plaintiff sued the officers, alleging, *inter alia*, they used excessive force in arresting her. *Id.* We held the facts adduced at summary judgment, viewed in the

at 2113 (quoting *District of Columbia v. Wesby*, 583 U.S. 48, 64 (2018)). While we agree the degree of force a jury could find was egregious, because there is specific case law putting the Deputies on notice that pulling Mr. Krueger from his car by the hair and slamming him to the ground can constitute excessive force, we do not rely on the “rare ‘obvious case’” exception.

Appendix A

light most favorable to the plaintiff, established a clearly established use of excessive force. *Id.* at 1135-37. We explained that all three *Graham* factors weighed in the plaintiff's favor. *Id.* at 1135-36. Specifically, the first factor – the severity of the plaintiff's crime – weighed "against the use of anything more than minimal force because the charge underlying her arrest . . . [was] a misdemeanor." *Id.* at 1135. The second factor – immediate threat to officer safety – also weighed in the plaintiff's favor, because there was "no evidence that [the plaintiff] had access to a weapon or that she threatened harm to herself or others," but rather that she "merely sought reassurance that she would not be hurt." *Id.* The third factor – whether the plaintiff actively resisted arrest or attempted to evade arrest – slightly weighed in her favor. *Id.* at 1136. Even though she "rolled up her window, left her keys in the ignition, and refused to exit the vehicle when ordered," she had no means to drive away based on how the other officers had parked and there was no evidence she attempted to flee. *Id.* That she "did not immediately obey the officers' orders" was not enough for this factor to weigh against her considering the totality of the circumstances. *Id.*

Here too, Mr. Krueger was pulled over for a minor traffic offense. When he did not immediately cooperate with the Deputies, a reasonable jury could find they reacted with disproportionate force. Both the plaintiff in *Davis* and Mr. Krueger were pulled from their vehicles by their hair and thrown to the ground. In fact, the force used here was even more egregious, because Mr. Krueger was pulled by his hair with enough force to dislodge a chunk of it – and at some point, was slammed into the

Appendix A

pavement with enough force to open a gash in his head that bled extensively.

True enough, *Davis* and the instant case are not beat-for-beat identical: the plaintiff in *Davis* pulled over into a parking lot rather than stopping in the middle of a highway. Her car had a handicapped sticker, speaking to her potential physical frailty, whereas the Deputies believed Mr. Krueger was intoxicated based on his behavior at the gas station. But our case law does not require “a scavenger hunt for cases with precisely the same facts” to clearly establish a constitutional violation. *Perea*, 817 F.3d at 1204 (quotation marks omitted). Here, the similarities – pulling over a nonviolent misdemeanant, the misdemeanant’s failure to immediately comply with officer instructions, the quick decision to pull the misdemeanant out of the car and slam the misdemeanant to the ground, the lack of evidence of a weapon or danger to the officers – cannot be ignored and are sufficient to clearly establish the constitutional violation. *See id.* (“[T]he use of disproportionate force to arrest an individual who is not suspected of committing a serious crime and who poses no threat to others constitutes excessive force.”).¹⁹

19. The Deputies’ case law is not to the contrary, because they point to cases in which a suspect mounted physical resistance to an arrest and officers used proportionate force in response – in all cases, force less egregious than that used here. *See Helvie v. Jenkins*, 66 F.4th 1227, 1241-42 (10th Cir. 2023) (holding lone officer did not use excessive force when pulling noncooperative motorist suspected of using marijuana from car, dragging suspect to back of car, and dropping a knee into the suspect’s chest during handcuffing when arrest took place late at night, there

*Appendix A***b. Tasering and punching**

It is clearly established under our case law that continuing to strike felony suspects with tasers or beat them after the point it is obvious they are subdued and no longer an immediate threat to officers or others constitutes excessive force.

“[C]ontinued use of force after an individual has been subdued is a violation of the Fourth Amendment,”

were other passengers in the car and a firearm visible in the side door); *Mecham v. Frazier*, 500 F.3d 1200, 1204-05 (10th Cir. 2007) (holding officer did not use excessive force when he pepper sprayed and removed motorist from car, and later put her on the ground, after she refused to exit her vehicle for over fifteen minutes); *Edwards v. City of Muskogee*, 841 F. App’x 79, 83-85 (10th Cir. 2021) (unpublished) (felony suspect driving under influence of PCP resisted arrest, making “considerable” force of a takedown resulting in a broken nose reasonable); *Youbyoung Park v. Gaitan*, 680 F. App’x 724, 739-40 (10th Cir. 2017) (unpublished) (suspect “fought back forcefully” making a knee strike and taking suspect to the ground proportionate); *Simpson v. Kansas*, 593 F. App’x 790, 792-93, 797 (10th Cir. 2014) (unpublished) (trooper did not use excessive force against motorist who ripped up traffic citation and refused multiple commands to exit vehicle when he “pulled her from the car, placed one hand on the back of her neck . . . walked her to the back of the car, and firmly guided her to the ground”). But here, in sharp contrast, a reasonable jury could find that Mr. Krueger did not physically resist arrest, but instead sought to locate his driver’s license and insurance as the Deputies – within seconds of arriving on scene – pulled him from his car, slammed him to the ground, and cracked his head open. These facts stand in sharp contrast to other cases in which we have found the force necessary to effectuate an arrest reasonable.

Appendix A

including using tasers set to stun mode. *Id.* at 1205. In *Perea*, we held officers used excessive force when they pushed a misdemeanor suspect off his bicycle, tasered him once in “probe” mode (used to cause immobility), and then “tasered [the decedent] nine additional times” in “stun” mode (used to cause pain). *Id.* at 1201. We concluded that because the decedent posed only a minimal threat to the officers’ safety, using the taser ten times was not “reasonable and proportionate.” *Id.* at 1203. Rather, “a reasonable jury could find that [the officers] continued to use the taser . . . even after the point where it could be considered necessary or even debatably reasonable.” *Id.* As we explained:

Although use of some force against a resisting arrestee may be justified, continued and increased use of force against a subdued detainee is not. . . . [Here], the situation was not static over the course of the ten taserings . . . at some point . . . [the decedent] fell and the officers pushed him to the ground with his arms under his body. One officer was on the ‘upper part of his body’ while the second officer was on his legs. Even if [the decedent] initially posed a threat to the officers that justified tasering him, the justification disappeared when [the decedent] was under the officers’ control.

Id. at 1203-04 (internal quotation marks omitted).

Here, as in *Perea*, the jury could find the Deputies used continued force against a subdued suspect in the form

Appendix A

of tasers set to stun mode. At the point when Mr. Krueger was “under the officers’ control,” “the justification [to use force] disappeared.” *Id.* at 1204. It was clearly established beyond any reasonable debate by *Perea* that it “is not reasonable for an officer to repeatedly use a taser against a subdued arrestee . . . and who poses no threat to the officers or others.” *Id.* Similarly, in *Booker*, we held an officer used excessive force when he tased a suspect “for three seconds longer than recommended when [the suspect] *was already handcuffed on the ground and subdued by multiple deputies.*” 745 F.3d at 424.

True enough, in *Perea*, the decedent was suspected only of a misdemeanor, while Mr. Krueger was reasonably suspected of the felony of assault and battery against a deputy by the time he was tasered. But we have held repeatedly that continuing to use force against a felony suspect after that suspect is subdued and no longer poses a threat to officers constitutes excessive force. *See, e.g., Vette*, 989 F.3d at 1170, 1172 (holding that striking felony suspect in face with dog chain and releasing police dog to attack him was unconstitutional where suspect was “already apprehended”); *McCoy*, 887 F.3d at 1049-51 (striking armed suspect ten times and placing him in carotid restraint where suspect was already handcuffed and zip-tied was excessive force); *Fancher v. Barrientos*, 723 F.3d 1191, 1196-97, 1201 (10th Cir. 2013) (holding a deputy used excessive force against suspect who physically attacked deputy and sought to take his gun when deputy shot suspect after suspect was injured and had no way to flee).

Appendix A

Because the Deputies were on notice that the precise use of force was excessive – continued deployments of a taser in stun mode against a subdued suspect – and were also on notice that any continued use of force against a felony suspect, including strikes and punches, is excessive where that suspect is restrained, they had ample notice that continuing to use the taser against Mr. Krueger after the point they were aware he was subdued was unconstitutional.

3. Conclusion

A reasonable jury could find that Deputy Orr and Deputy Phillips used excessive force against Mr. Krueger when they pulled him out of his car by his hair, slammed his head on the ground, and continued to punch and tase him in stun mode after the point they became aware he was subdued. Because this force was clearly established as excessive by our case law, the district court did not err in denying qualified immunity to the Deputies.

B. Excessive Force – Deputy Lott, Lieutenant Crockett, Officer Craig, and Officer McFarland

The district court held that Deputy Lott, Lieutenant Crockett, Officer Craig, and Officer McFarland could all be found liable for excessive force by subjecting Mr. Krueger to a prolonged prone restraint while he was handcuffed. We first discuss whether the officers' use of the prone restraint constituted a constitutional violation, and second, whether that violation is clearly established under our precedent.

*Appendix A***1. Constitutional Violation**

We have long recognized that the prolonged use of a prone restraint on a subdued suspect constitutes excessive force. In *Weigel v. Broad*, 544 F.3d 1143 (10th Cir. 2008), we held that officers did not act “reasonably” under *Graham* when they placed “pressure . . . on [the decedent’s] back as he lay on his stomach . . . once [he] was handcuffed and his legs were bound . . . for three minutes.” *Id.* at 1152-53. Similarly, in *Booker*, we found a constitutional violation when an officer “placed an estimated 142.5 pounds – more than [the decedent’s] overall weight – on [the decedent’s] back while he was handcuffed on his stomach.” 745 F.3d at 424; *see also* *Waters v. Coleman*, 632 F. App’x 431, 441 (10th Cir. 2015) (unpublished) (applying *Weigel* and holding that officer who “continued to restrain [the decedent’s] legs, while he was in a prone position, for several minutes after he was handcuffed” used excessive force).

Defendants argue that these precedents do not apply because the district court found that Mr. Krueger was struggling for the entire encounter. But as discussed above, a cumbersome review of the record shows that based on the facts presented at summary judgment, a reasonable jury could conclude that Mr. Krueger was not meaningfully moving, resisting, or struggling throughout the prone restraint.

Moreover, our cases holding that prolonged prone restraints constitute excessive force focus on the ability of the suspect to *effectively* resist, not whether that suspect is struggling or resisting at all. For example, in *Weigel*,

Appendix A

our analysis rested on the fact that “there [wa]s evidence that [the decedent] was subjected to such pressure for a significant period after it was clear that the pressure was unnecessary to restrain him,” because he “was handcuffed and his legs were bound.” 544 F.3d at 1152. This was so even though he was exhibiting “bizarre behavior” and mounting a “vigorous struggle.” *Id.* In fact, the decedent’s “bizarre behavior[] and vigorous struggle” is what “made him a strong candidate for positional asphyxiation,” which contributed to our conclusion that a reasonable officer would have known that “the pressure placed on [the decedent’s] upper back as he lay on his stomach created a significant risk of asphyxiation and death.” *Id.*

Similarly, in *Lynch v. Board of County Commissioners of Muskogee County, Oklahoma*, 786 F. App’x 774 (10th Cir. 2019), applying *Weigel*, we held officers used excessive force on a decedent even though he continued to “actively resist[] arrest” by “continu[ing] to struggle and hurl insults at the officers” while he was subject to a prolonged prone restraint. *Id.* at 780, 782. We explained that “he did not ‘pose[] an immediate threat to the safety of the officers or others’ because his arms and legs were shackled,” and his resistance no longer weighed heavily because “it was no longer possible for him to flee” due to the restraints. *Id.* at 782 (quoting *Graham*, 490 U.S. at 396).

And recently, in *Teetz ex rel. Lofton v. Stepien*, 142 F.4th 705 (10th Cir. 2025), we held that the defendants used excessive force when they “put [the decedent] in leg restraints and [held] him down in the prone position for a prolonged period of time.” *Id.* at 723. We further observed

Appendix A

that “the use of prone restraint – even where a suspect is ‘resisting’ to some degree – becomes unreasonable where officers become aware the suspect is experiencing life-threatening harm but nonetheless continue to apply the restraint.” *Id.* at 728 (quoting *Lombardo v. City of St. Louis*, 594 U.S. 464, 470 (2021) (Alito, J., dissenting)). We further noted that “as we have recognized since *Weigel*, this can be true even when a suspect fights back at the beginning of an encounter, or struggles after restraints are placed,” as long as the suspect is effectively restrained while the force is continuously applied. *Id.* (citing *Weigel*, 544 F.3d at 1149, 1153).²⁰

Here, the first *Graham* factor – the severity of the crime – weighs in the Defendants’ favor because they reasonably suspected Mr. Krueger of a felony for striking the officers. But the other two *Graham* factors – immediate threat to officer safety and resisting arrest – weigh heavily in the Estate’s favor. *See Vette*, 989 F.3d at 1170 (explaining that even where first *Graham* factor weighs in law enforcement’s favor, where “remaining

20. To be sure, enough time must pass for officers to be able to reassess a situation and determine a suspect has been restrained or subdued. *Compare Est. of Smart v. City of Wichita*, 951 F.3d 1161, 1175 (10th Cir. 2020) (holding “a reasonable jury could conclude [the officer] shot [the plaintiff] after it became clear [the decedent] no longer posed a threat” (emphasis added)) with *Est. of Valverde v. Dodge*, 967 F.3d 1049, 1062-63 (10th Cir. 2020) (holding “no jury could doubt that [the officer] made his decision to fire before he could have realized that [the decedent] was surrendering”). Whether sufficient time has passed for officers to reassess a situation is a fact-intensive inquiry that must take into account what a reasonable officer on the scene would perceive.

Appendix A

factors weigh . . . strongly against significant use of force” a defendant “cannot prevail under the totality of the circumstances”).

As discussed, a reasonable jury could find that Mr. Krueger was handcuffed, prone, and subject to a prone restraint that lasted for approximately four minutes. During this time, Officer McFarland rested both his knees on Mr. Krueger’s back, Officer Craig put his weight on Mr. Krueger’s right shoulder, and at various points Lieutenant Crockett applied weight to his left buttock and thigh, and Deputy Lott put weight on his shoulder. The officers additionally secured leg shackles and a hobble-tie. Even to the extent that Mr. Krueger continued to struggle and kick – and again, a reasonable jury could conclude that he was not meaningfully struggling for most of the encounter – once he was handcuffed, prone, and he had the weight of an officer on him, he no longer “pose[d] an immediate threat to the safety of the officers or others,” and any resistance to arrest was outweighed by the fact that he could not “attempt[] to evade arrest by flight.” *Graham*, 490 U.S. at 396.²¹ Under the totality of the circumstances,

21. As we have recognized and this case illustrates, a prone restraint can constitute deadly force. *See Weigel v. Broad*, 544 F.3d 1143, 1154-55 (10th Cir. 2008) (discussing prone restraints as a form of deadly force); *Teetz*, 142 F.4th at 725-29 (reiterating that prone restraints constitute deadly force). When deadly force is used, we also use the *Estate of Larsen v. Murr*, 511 F.3d 1255 (10th Cir. 2008), subfactors to weigh the immediacy of the threat to law enforcement. *See, e.g., Est. of Valverde*, 967 F.3d at 1061-62 (explaining *Larsen* subfactors can be applied to determine the immediacy of threat facing officers in deadly force cases). Applying

Appendix A

a reasonable jury could find that the use of the prone restraint was not reasonable and therefore constituted excessive force. *See Weigel*, 544 F.3d at 1152-53 (holding use of prone restraint was unreasonable under *Graham* once it became unnecessary to restrain decedent and officers determined the danger had passed).²²

2. Clearly Established

Weigel and *Booker* clearly establish that officers use excessive force when they apply a prolonged prone restraint and put weight on a suspect's back when that suspect is handcuffed, is subject to a leg restraint, and is effectively subdued. *See Weigel*, 544 F.3d at 1152-53; *Booker*, 745 F.3d at 424. Mr. Krueger's case fits comfortably within these parameters: he was handcuffed; subjected to a prone

the facts a reasonable jury could find to those subfactors, at this point in the encounter, Mr. Krueger was compliant because he was prone and unmoving, he was not making any hostile motions toward the officers, and he was not manifesting any hostile intentions. *See Larsen*, 511 F.3d at 1260. Thus, the *Larsen* subfactors also weigh against the continued use of the prone restraint because Mr. Krueger no longer posed "*a threat of serious physical harm*" to himself or the officers that could justify deadly force. *Id.*

22. As we recognized in *Teetz*, "the limited use of a prone restraint to obtain control of a suspect can be a proper police procedure." 142 F.4th at 726. Thus, where officers use a prone restraint on a suspect only to the point the suspect is restrained and then remove the weight, the force used would not be excessive under our precedents. But where, as here, a reasonable jury could find officers *continued* to use a prone restraint on a suspect who was handcuffed and with his legs restrained, a jury could conclude the continued use of the prone restraint was excessive.

Appendix A

restraint for at least four minutes; multiple officers put weight on his back, legs, and shoulders; and his legs were shackled and eventually hobble-tied to his wrists. We have no difficulty concluding that the constitutional violation here – if proved – is clearly established by our case law. We reject Defendants' arguments to the contrary, taking each Defendant's argument in turn.

First, each of the Defendants advances some version of the argument that because the district court found that the *Graham* factors weighed in their favor at the beginning of the encounter, they did not use excessive force. But as discussed, the most important question in an excessive force analysis is not whether force was justified at the beginning of the encounter, but rather, if it remained reasonable under the totality of the circumstances. *See Barnes*, 145 S. Ct. at 1358; *Smart*, 951 F.3d at 1176 (explaining force becomes excessive if an officer has “enough time . . . to recognize and react to the fact that [the suspect] no longer posed a threat” but uses force anyway (internal quotation marks omitted)).

The district court here failed to properly conduct the *Graham* analysis when it analyzed the use of force only at the beginning of the encounter. As discussed above, our cumbersome review of the record indicates that a reasonable jury could find that Mr. Krueger did not resist throughout the encounter. Analysis of the *Graham* factors in light of that fact – considering the totality of the circumstances and the full length of the use of the force – leads to the conclusion that the use of force was excessive. Accordingly, the district court's conclusion that

Appendix A

the *Graham* factors weighed in Defendants' favor at the beginning of the encounter is of no moment.²³

Second, the City Defendants argue that Mr. Krueger posed an immediate threat and was actively resisting the entire encounter, making *Weigel* inapplicable. *See* City Defs.' Br. at 22-31. Relying heavily on *Giannetti v. City of Stillwater*, 216 F. App'x 756 (10th Cir. 2007) (unpublished), the City Defendants urge that their use of force was reasonable, again assuming that Mr. Krueger struggled the entire encounter. We find this argument unpersuasive. In *Giannetti*, the suspect "continued to struggle and kick the officers, at one point sending one officer against a locker" while in a prone restraint. *Id.* at 765 (emphasis added). Because of the suspect's constant and "escalating" opposition, we held the use of force was reasonable. *Id.* at 766. But here, we have determined that the facts – viewed in the light most favorable to the Estate – could show that Mr. Krueger was not meaningfully struggling during much of the prone restraint, and that Mr. Krueger was effectively subdued. Accordingly, we find *Giannetti* inapplicable.²⁴

23. Relatedly, some of the Defendants also seem to urge that the district court's *Graham* analysis was a factual finding that cannot be revisited on interlocutory appeal. We disagree; the application of the *Graham* factors to the facts of the case is a quintessential legal question we must resolve on interlocutory review. *See, e.g., Valverde*, 967 F.3d at 1060-61 (explaining the *Graham* factors are legal principles which inform our consideration of the reasonableness of a use of force).

24. As we recently pointed out in *Teetz*, our statement in *Giannetti v. City of Stillwater*, 216 F. App'x 756 (10th Cir. 2007)

Appendix A

Similarly, the City Defendants' argument that the law was not clearly established assumes that they were using "controlling force on a resisting felony suspect." City Defs.' Br. at 31. But as discussed, the facts viewed in the light most favorable to Mr. Krueger are that he did not struggle the entire time and the Defendants gained control over him. This echoes *Weigel*, in which the decedent fought "vigorously" with law enforcement at the beginning of the encounter and even tried to take their weapons, but we still held the prone restraint used on him was excessive once he was under the control of the officers. *See Weigel*, 544 F.3d at 1148, 1152-53.

Third, the County Defendants argue that Deputy Lott did not use excessive force because "case law does not clearly establish that [Deputy Lott's] contact with Mr. Krueger's right shoulder could be excessive force." Cnty. Defs.' Br. at 39. While conceding that Deputy Lott put his foot on Mr. Krueger's shoulder during the group prone restraint, the County Defendants assert that Deputy Lott did not "put[] substantial or significant pressure

(unpublished), that "[r]estraining a person in a prone position is not, *in and of itself*, excessive force when the person restrained is resisting arrest" has been "clarified by later published decisions." 142 F.4th at 730 n.7. For example, in *Weigel*, we held that "applying pressure to [a suspect's] upper back, *once he was handcuffed and his legs restrained*, was constitutionally unreasonable due to the significant risk of positional asphyxiation associated with such actions." 544 F.3d at 1155 (emphasis added). And in *Booker*, we reiterated that "putting substantial or significant pressure on a suspect's back while that suspect is in a face-down prone position after being subdued and/or incapacitated constitutes excessive force." 745 F.3d at 424 (internal quotation marks omitted).

Appendix A

on a suspect’s back while that suspect is in a face-down prone position after being subdued.” *Id.* at 41 (quoting *Champion v. Outlook Nashville, Inc.*, 380 F.3d 893, 903 (6th Cir. 2004)). The County Defendants, like the City Defendants, also argue that Mr. Krueger was resistant the entire time. *Id.* at 41-43. But as discussed above, the facts at summary judgment support a finding that Deputy Lott put his foot on Mr. Krueger’s shoulder while he was prone, handcuffed, effectively restrained, and no longer meaningfully resisting. Moreover, while Deputy Lott asserts he did not put significant weight on Mr. Krueger’s shoulder, the district court found that the video could support the Estate’s assertion that Deputy Lott did put significant weight on Mr. Krueger for up to a minute. His participation in the prone restraint is enough to subject him to liability. *See Weigel*, 544 F.3d at 1152-53.

Indeed, our precedent clearly establishes that an officer need not be the one directly putting weight on a suspect’s back for his involvement to be clearly established as excessive. In *Weigel*, we held two highway troopers could be liable for excessive force in applying a prone restraint: one who “applied pressure to [the decedent’s] upper body, including his neck and *shoulders*,” and another who “straddled [the decedent’s] upper thighs and buttocks and held [the decedent’s] arms in place,” and then eventually left the scene while the other remained on the decedent’s “upper torso.” 544 F.3d at 1148-49 (emphasis added).

Importantly, in *Weigel*, we analyzed both officers’ culpability for the prone restraint *together*, even though

Appendix A

one trooper “was in his car when [the decedent] went into cardiac arrest.” *Id.* at 1153 n.4.²⁵ Similarly, in *Booker*, we held five officers who “actively participated in a coordinated use of force” on the decedent could be liable, explaining that “[i]f excessive force occurred, all deputies contributed to it.” 745 F.3d at 422. We explained that each defendant who actively participated in excessive force as part of a “group effort” was liable for any underlying finding of excessive force. *Id.* Thus, *Weigel* and *Booker* put Deputy Lott on notice that his participation – specifically, putting weight on a suspect’s shoulders as part of a prone restraint – renders him subject to liability for excessive force, even if he was not the officer putting the most weight on Mr. Krueger’s back.

Fourth, Lieutenant Crockett similarly argues that because she engaged in only “minor and brief use of force in restraining [Mr. Krueger’s] legs,” she did not use excessive force. Crockett Br. at 23. We disagree. As discussed, in *Weigel*, a trooper could be liable for excessive force when he participated in a prone restraint by “straddl[ing] [the decedent’s] upper thighs and buttocks and [holding the decedent’s] arms in place,” even though he then left to go “to his vehicle to warm his hands” while one trooper and one bystander continued to apply weight to the decedent’s back and legs. 544 F.3d at 1148-49. We held that both troopers should have known they “subjected [the decedent] to force that they knew was unnecessary

25. We further recognized that the officer who left the scene could also be liable under a failure to intervene theory. *Weigel*, 544 F.3d at 1153 n.4. *Booker* also acknowledged this possibility in the alternative. 745 F.3d at 422-23. We discuss the failure to intervene claim separately, *see infra* Section IV.C.

Appendix A

to restrain him,” constituting excessive force, and we held that each trooper could be liable even though one trooper “was in his car when [the decedent] went into cardiac arrest.” *Id.* at 1152-53 & n.4.²⁶

Here too, Lieutenant Crockett put weight on Mr. Krueger’s thigh and buttock while assisting with the placement of a leg restraint while another officer put weight on Mr. Krueger’s back. Then, she left to go to her car even while other officers continued to apply weight to the prone Mr. Krueger. She was in her car when Mr. Krueger’s breathing slowed and the EMTs were called. These facts are so strikingly similar to *Weigel* it is hard to imagine a case that could have put Lieutenant Crockett on clearer notice that her participation in the prone restraint made her subject to an excessive force claim, even though she was not the officer applying weight to Mr. Krueger’s back, and she left the scene to go to her car before the EMTs were alerted that Mr. Krueger may have stopped breathing.²⁷

In short, our case law clearly establishes that Defendants’ group participation in the prolonged prone

26. We discuss *infra* Section IV.C the related possibility that Lieutenant Crockett is liable for failing to intervene in the use of force.

27. Although not determinative of the clearly established analysis because it is unpublished, *Waters v. Coleman*, 632 F. App’x 431 (10th Cir. 2015) (unpublished), is supportive. There, we applied *Weigel* and held that an officer who restrained a suspect’s legs “while he was in a prone position . . . after he was handcuffed” was not entitled to qualified immunity. *Id.* at 441.

Appendix A

restraint of Mr. Krueger could constitute excessive force where he was handcuffed, his legs were shackled, he stopped meaningfully resisting, Defendants were no longer in immediate danger, and Mr. Krueger had no realistic possibility of flight. Because the district court found there were material factual disputes as to the amount of weight each Defendant put on Mr. Krueger, a jury will have to decide whether each Defendant used excessive force. But at summary judgment, especially where each Defendant could also be liable for failing to intervene, *see infra* Section IV.C, the excessive force claims survives the defendants' qualified immunity defense.

C. Failure to Intervene – All Defendants

The Defendants argue that the district court erred by construing the operative complaint to contain a failure to intervene claim, or that even if properly construed as part of the complaint, the Defendants are entitled to qualified immunity on the claim. For the reasons explained below, we conclude the district court properly construed the complaint as containing a failure to intervene claim and that the facts a jury could find are sufficient to demonstrate a clearly established failure to intervene on the part of each Defendant.

1. Whether the Operative Complaint Contains a Failure to Intervene Claim

We will construe a complaint as containing a failure to intervene claim where a plaintiff's allegations are sufficiently clear to put a defendant on notice they could be

Appendix A

liable for failing to intervene in a constitutional violation, even if the claim is not separately pleaded in the complaint. *Fogarty*, 523 F.3d at 1164-65. In *Fogarty*, the plaintiff brought § 1983 claims against six city police department defendants for excessive force and supervisory liability but did not separately bring claims for failure to intervene. *Id.* at 1153, 1164. In determining that one of the officers could be indirectly liable for excessive force, we explained that “[u]nder this circuit’s clearly established law, if [the officer] were indeed present at [the plaintiff ’s] arrest with an opportunity to prevent the excessive use of force, he would have had a duty to intervene.” *Id.* at 1163. As to that officer’s argument that summary judgment should have been granted because the plaintiff had not alleged a separate failure to intervene claim, we explained that the claim did not need to be pleaded separately as long as the plaintiff “ma[d]e clear the grounds on which the plaintiff is entitled to relief.” *Id.* at 1164 (quotation marks omitted). We held the plaintiff cleared this bar because “[h]e clearly alleged” that “all defendants . . . used *or* permitted physical force in the course of the arrest.” *Id.* at 1165. The “allegations were therefore sufficiently clear to put defendants on notice that the alleged constitutional violations were predicated, in part, on their alleged failure to intervene.” *Id.*

By contrast, in a later, nonprecedential decision, we held that allegations in a complaint were not sufficient to put defendants on notice they could be liable under a failure to intervene theory. *See Lynch*, 786 F. App’x at 783. There, the plaintiff argued that a defendant who had not participated in the alleged excessive force could

Appendix A

be held liable under a failure to intervene theory, but the “complaint [wa]s focused entirely on the level of force used and nowhere indicate[d] or allege[d] that [the defendant] (or any of the other officers) should have intervened.” *Id.*

Here, the operative complaint describes the force inflicted by Deputy Phillips, Deputy Orr, Officer Craig, and Officer McFarland, and then alleges that “[a]t no time did Deputies Orr or Phillips or Officers Craig or McFarland (*and other named defendants* above, though the exact ones are unknown at this time) *stop their use of excessive force* on Mr. Krueger after he asked for help and said he couldn’t breathe, nor did they or [the] EMTs . . . (*and other named defendants* above, though the exact ones are unknown at this time) render medical aid or care to *or assist* Mr. Krueger with his cries for help.” App. Vol. I at 277 (emphases added). The district court acknowledged it was not “abundantly clear” from these allegations that the Estate intended to bring a separate failure to intervene claim, but held that under *Fogarty*, these allegations sufficiently put Defendants on notice that the Estate sought to hold them liable on a failure to intervene theory in the alternative to excessive force. App. Vol. VIII at 2107. Just as the complaint in *Fogarty* alleged that the defendants “used or *permitted* physical force,” 523 F.3d at 1165 (emphasis added), the complaint here alleged that all Defendants failed to “stop [Deputy Orr’s, Deputy Phillips’s, Officer McFarland’s or Officer Craig’s] use of excessive force,” App. Vol. I at 277.

And even if those allegations were not sufficient, the previous paragraph in the complaint – targeted to

Appendix A

defendants not party to this appeal – specifically noted that “[a]ll state actors have a duty to intervene and stop the use of excessive force.” *Id.* at 276. The paragraph also cites case law on failure to intervene liability. *See id.* at 276-77. By citing that precedent and then, in the following paragraph, specifically alleging that all Defendants failed to assist Mr. Krueger, the Estate sufficiently put the Defendants on notice that the complaint sought liability both for excessive force and for failing to intervene to stop excessive force. *See Fogarty*, 523 F.3d at 1164-65. Moreover, the City Defendants and Deputy Lott apparently understood the Estate was pursuing such a claim because they argued at summary judgment that they are not liable for failing to intervene. Thus, they were not prejudiced by the district court construing the complaint as containing a failure to intervene claim.

In contrast, Lieutenant Crockett argues she is prejudiced by construing the operative complaint as containing a failure to intervene claim because she did not address failure to intervene in her opening summary judgment brief. But early in the litigation and well before the summary judgment motions, the district court issued an order denying in part a motion to dismiss in which it construed the complaint as containing a failure to intervene claim. This was sufficiently early in the litigation to put all Defendants on notice of the claim.

In light of this procedural history and the language in the operative complaint, Defendants were sufficiently on notice of, and the district court correctly construed the complaint as containing, a failure to intervene claim.

*Appendix A***2. Merits of Failure to Intervene Claim**

Having concluded the district court properly construed the operative complaint as containing a failure to intervene claim, we turn to the merits of Defendants' arguments.

We have explained that "even if a single deputy's use of force was not excessive, a law enforcement official who fails to intervene to prevent another law enforcement official's use of excessive force may be liable under § 1983." *Booker*, 745 F.3d at 422 (internal quotation marks omitted). This is true even where the officer does not "actually participate in the use of excessive force," so long as the officer is "present at the scene and . . . fails to take reasonable steps to protect the victim of another officer's use of excessive force." *Mascorro v. Billings*, 656 F.3d 1198, 1204 n.5 (10th Cir. 2011). Thus, in *Fogarty*, we affirmed the denial of qualified immunity as to a failure to intervene claim where the defendant was present during an allegedly unconstitutional arrest. 523 F.3d at 1164. And in *Booker*, where the "[p]laintiffs alleged and the video confirmed that all of the [d]efendants were present and observed the entire use of force over a two-to-three minute period . . . a reasonable jury could find any given defendant here liable for failing to intervene." 745 F.3d at 423-24.

Here, a reasonable jury could find Defendants liable for failing to intervene to stop the use of excessive force against Mr. Krueger.

As to Deputies Phillips and Orr, it is unclear from the record which deputy slammed Mr. Krueger's head

Appendix A

into the ground, and whether and how many times each deputy tased Mr. Krueger in drive-stun mode after he was subdued. Each Deputy therefore could be found liable for failing to intervene in the other's act of violence, depending on who the factfinder ultimately determines performed the head slam and taser strikes. Further, having found on our de novo review of the record that Deputies Orr and Phillips both observed the other Defendants' use of the prone restraint on Mr. Krueger, they can also be found liable for not intervening to stop the other Defendants from using excessive force on Mr. Krueger.

As to Officers Nevitt and Blair, Deputy Lott, and Lieutenant Crockett, they can each be found liable for failing to intervene when Officers McFarland and Craig were putting weight on Mr. Krueger's back and shoulders. The district court found there was no factual dispute that Officers Nevitt and Blair were present and witnessed Officers McFarland and Craig on top of Mr. Krueger. And the district court also found that Deputy Lott and Lieutenant Crockett were present because they were involved in the prone restraint. On those facts, under *Booker*, the Defendants were sufficiently "present" and "observ[ing]" the prolonged prone restraint to be liable for failing to intervene in the use of it. 745 F.3d at 423.²⁸ Additionally, Officers Craig and McFarland are subject to liability for failing to intervene with the other's use of force, as they were both aware of the other's role in the prone restraint.

28. That Lieutenant Crockett walked away after putting on the leg restraints is irrelevant; the salient fact is that she was on the scene, witnessed Officers McFarland and Craig putting weight on the restrained Mr. Krueger's back, and did not intervene.

Appendix A

Finally, it is clearly established under our case law that officers are liable for failing to intervene in the use of excessive force – including specifically excessive taser use and prolonged prone restraints – when they are present on the scene, observe the force taking place, and do not stop it. *Booker*, 745 F.3d at 422-23; *see also Weigel*, 544 F.3d at 1153 n.4 (holding officer also liable under failure to intervene when he left two other officers while they continued a prone restraint on the decedent). Defendants do not dispute this premise is clearly established, nor that they were present during the alleged excessive force. Rather, Defendants’ arguments that their failure to intervene was not clearly established all rest on the factual premise that Mr. Krueger was not subdued and was fighting back when they observed the use of force. But having concluded that the facts at summary judgment, viewed in the light most favorable to the Estate, could support a finding that Mr. Krueger was effectively subdued, *Booker* and *Weigel* apply and clearly establish that Defendants failed to intervene in an unconstitutional use of excessive force.

To be sure, law enforcement officers cannot be held liable under a failure to intervene theory for constitutional violations they are not aware of, particularly if they arrive to a scene after pertinent events have taken place. *See Jones v. Norton*, 809 F.3d 564, 576 (10th Cir. 2015) (“In order to be liable for failure to intervene, the officers must have observe[d] or ha[d] reason to know of a constitutional violation and have had a realistic opportunity to intervene.” (internal quotation marks omitted)). Under this principle, there was not enough evidence in this record to impute

Appendix A

to the late-arriving officers' knowledge of Mr. Krueger's behavior suggesting possible intoxication at the gas station, or his conduct before removal from the vehicle. But where each Defendant either directly participated in the use of force against Mr. Krueger after he was on the ground, or – in the case of Officers Blair and Nevitt – testified they directly observed the prone restraint but did nothing to stop it, our precedent unquestionably provides that their failure to intervene in an unconstitutional use of force subjects them to possible liability for the excessive force.

The district court correctly determined that the Defendants are not entitled to qualified immunity on the Estate's failure to intervene claim.

PART V: CONCLUSION

Although our jurisdiction on interlocutory appeal of the denial of qualified immunity is typically limited to "abstract issues of law," we will review the facts "if a district court fails to specify which factual disputes precluded a grant of summary judgment for qualified immunity," *Booker*, 745 F.3d at 409-10 (quotation marks omitted), if "the version of events the district court holds a reasonable jury could credit is blatantly contradicted by the record," or if the district court "commits *legal* error en route to a *factual* determination," *Teetz*, 142 F.4th at 712 (internal quotation marks omitted). We agree with the parties that the district court's orders denying summary judgment committed a combination of these deficiencies, requiring us to review *de novo* the facts as to Deputies

Appendix A

Phillips and Orr, and to review de novo the level of Mr. Krueger's resistance during the prone restraint enacted by Officers McFarland and Craig, Deputy Lott, and Lieutenant Crockett.

Having conducted a de novo review of these material facts, we agree with the district court's legal conclusions that each Defendant is subject to liability either for using excessive force, for failing to intervene, or both.

First, we agree that Deputies Orr and Phillips are not entitled to qualified immunity as to the excessive force claims. A reasonable jury could conclude that the Deputies forcibly removed Mr. Krueger, a misdemeanant, from his car mere seconds after they arrived on scene and without giving him a chance to comply with commands. The jury could further find that the Deputies held Mr. Krueger at gun point, removed him by his hair, and then slammed him to the ground with enough force to dislodge a chunk of his hair and leave a gash in his head. A reasonable jury could also find that the Deputies next used their tasers on Mr. Krueger in drive-stun mode after he ceased resisting. Because the force the jury could find the Deputies used was clearly established as excessive by our precedents, Deputies Phillips and Orr are not entitled to qualified immunity.

Second, a reasonable jury could conclude that Mr. Krueger was subjected to a prolonged prone restraint, while handcuffed, and that the prone restraint continued after he ceased effectively resisting. The use of a prolonged prone restraint under these circumstances is clearly

Appendix A

established as excessive force under our precedents. Accordingly, the district court correctly concluded that the officers who participated in the prolonged prone restraint – Deputy Lott, Lieutenant Crockett, Officer Craig, and Officer McFarland – are likewise not entitled to qualified immunity on the excessive force claim.

Third, the district court correctly construed the operative complaint as containing a failure to intervene claim. The district court also correctly held that each Defendant could be held liable for failure to intervene. Deputies Orr and Phillips are each subject to liability for failing to stop the other's use of excessive force during the first part of the encounter. Deputy Lott, Lieutenant Crockett, and Officers Craig, McFarland, Nevitt, and Blair could each be liable for failing to stop the other officers' participation in the prolonged prone restraint. Because failing to intervene in these uses of force is clearly established as a constitutional violation, none of the Defendants are entitled to qualified immunity.

Accordingly, we AFFIRM the district court's order denying Defendants' motions for summary judgment as to the excessive force and failure to intervene claims.

APPENDIX B — ORDER OF THE
UNITED STATES DISTRICT COURT FOR
THE EASTERN DISTRICT OF OKLAHOMA,
FILED MARCH 26, 2024

IN THE UNITED STATES DISTRICT COURT FOR
THE EASTERN DISTRICT OF OKLAHOMA

Case No. CIV-21-044-Raw

JOHN KRUEGER, INDIVIDUALLY AND AS
CO-ADMINISTRATOR OF THE ESTATE OF
JEFFERY KRUEGER, AND PAMELA KRUEGER,
INDIVIDUALLY AND AS CO-ADMINISTRATOR
OF THE ESTATE OF JEFFERY KRUEGER,

Plaintiffs,

v.

SHERIFF CHRIS ELLIOT, IN HIS OFFICIAL
CAPACITY AS SHERIFF OF WAGONER
COUNTY, WAGONER EMERGENCY SERVICES,
INC., A/K/A WAGONER EMS, KALEB
PHILLIPS, INDIVIDUALLY, NICHOLAS
ORR, INDIVIDUALLY, JEFF PATTERSON,
INDIVIDUALLY, ALAN SMITH, INDIVIDUALLY,
DREW CRAIG, INDIVIDUALLY, TYLER
MCFARLAND, INDIVIDUALLY, CLARENCE
COLLINS, INDIVIDUALLY, COREY NEVITT,
INDIVIDUALLY, TRAVIS POTTS, INDIVIDUALLY,
BEN BLAIR, INDIVIDUALLY, MATTHEW LOTT,
INDIVIDUALLY, AND ELIZABETH CROCKETT,
INDIVIDUALLY,

Defendants.

Appendix B

Filed March 26, 2024

ORDER¹

Ronald A. White, *District Judge*.

This action arises from the death of Jeffrey Krueger on July 1, 2019. Plaintiffs allege that he was beaten, tased, cuffed, and ultimately suffered positional asphyxiation resulting in his death. Plaintiffs bring this action against:

- the Sheriff of Wagoner County, Chris Elliott, in his official capacity (hereinafter “Sheriff Elliott”);
- Wagoner County Lieutenant Elizabeth Crockett, Deputy Sheriff Kaleb Phillips, Deputy Sheriff Nicholas Orr, and Deputy Sheriff Matthew Lott, each in their individual capacities (hereinafter collectively referred to as “County Defendants”);²
- City of Wagoner Police Officers Ben Blair, Clarence Collins, Drew Craig, Tyler McFarland, Corey Nevitt, and Travis Potts, each in their individual capacities

1. When the court cites to the record, it uses the pagination and attachment numbers assigned by CM/ECF.

2. Plaintiffs also sued Major Dustin Dorr and Deputy Sheriff Colby North, but they have since been dismissed with prejudice by joint stipulation. Docket No. 316.

Appendix B

(hereinafter collectively referred to as “City Defendants”); and

- Emergency Medical Technicians Jeff Patterson and Alan Smith, each in their individual capacities (hereinafter collectively referred to as “EMT Defendants”).³

Plaintiffs bring the following claims pursuant to 42 U.S.C. § 1983:

1. illegal arrest in violation of the Fourth Amendment against Sheriff Elliot, the County Defendants, and the City Defendants;
2. excessive force in violation of the Fourth Amendment against all Defendants;
3. inadequate training and supervision in violation of the Fourth Amendment against Sheriff Elliott;

Plaintiffs bring the following state law claims:

4. medical negligence and wrongful death against Wagoner EMS and the EMT Defendants;

3. Plaintiffs also sued Wagoner Emergency Services, Inc., a/k/a Wagoner EMS. As Wagoner EMS failed to plead or otherwise defend as directed, a Clerk’s Entry of Default has been entered. Docket No. 64.

Appendix B

5. negligence and wrongful death under the Oklahoma Governmental Tort Claims Act (hereinafter “GTCA”) against Sheriff Elliott; and
6. assault and battery against all Defendants.

Now before the court are the motions for summary judgment filed by Sheriff Elliott and the County Defendants:

- Sheriff Elliott’s [Docket No. 301], Plaintiffs’ response [Docket No. 329], and Sheriff Elliott’s reply [Docket No. 343];
- Lieutenant Crockett’s [Docket No. 302], Plaintiffs’ response [Docket No. 324], and Lieutenant Crockett’s reply [Docket No. 340];
- Deputy Phillips’ [Docket No. 306], Plaintiffs’ response [Docket No. 323], and Deputy Phillips’ reply [Docket No. 351];
- Deputy Orr’s [Docket No. 307]; Plaintiffs’ response [Docket No. 323], and Deputy Orr’s reply [Docket No. 351]; and
- Deputy Lott’s [Docket No. 308], Plaintiffs’ response [Docket No. 325], and Deputy Lott’s reply [Docket No. 350].

Appendix B

With leave of court, Plaintiffs also filed a “base brief of general law for responses in opposition” to all of the seven pending motions for summary judgment [Docket No. 321]. Sheriff Elliott’s and the County Defendants’ exhibits are filed at Docket Nos. 303-1 through 303-27; Docket Nos. 309-1 through 309-11; Docket Nos. 351-1 through 351-2; and Docket No. 350-1. Plaintiffs’ exhibits are filed at Docket Nos. 327-1 through 327-30 and Docket No. 329-1. Each party submitted the 17:56 minute video footage from Deputy Phillips’ Body Cam (hereinafter referred to as “Phillips Body Cam”),⁴ as well as the 5:39 minute video footage from another body cam (hereinafter referred to as “AXON Body Cam”).⁵ Additionally, Plaintiffs and the County Defendants submitted the 7:25 minute QT Video, and the County Defendants submitted the 1:07:56 minute video footage from Deputy Lott’s Dash Cam (hereinafter referred to as “Lott Dash Cam”). The court will address the other pending motions for summary judgment in separate orders.⁶

As stated above, Plaintiffs assert three claims against the County Defendants, including their 42 U.S.C. § 1983 claims for illegal arrest and for excessive force in violation

4. As the Phillips Body Cam video includes a timestamp, the court references it by the timestamp.

5. The AXON Body Cam video is referenced differently by the parties, including being connected with multiple officers. It is most commonly referenced as being connected with Bob Haley and labeled an “AXON Video.”

6. Also pending are motions by the City Defendants [Docket No. 297] and by the EMT Defendants [Docket No. 295].

Appendix B

of Mr. Krueger's Fourth Amendment rights and their state law claims for assault and battery. The County Defendants argue that they are entitled to qualified immunity with regard to the § 1983 claims and that the assault and battery claim is barred by the statute of limitations and 51 OKLA. STAT. § 163(C).

Plaintiffs also assert § 1983 claims against Sheriff Elliott in his official capacity based on the Wagoner County Sheriff's Office's (hereinafter "WCSO") policies, customs and procedures, as well as for inadequate training and supervision. Plaintiffs bring state law claims for negligence and wrongful death and for assault and battery against Sheriff Elliott.

I. Standard of Review

The court will grant summary judgment "if the movant shows that there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a). The court's function is not "to weigh the evidence and determine the truth of the matter but to determine whether there is a genuine issue for trial." *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 249 (1986).

"Summary judgment is appropriate 'if the pleadings, depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to summary judgment as a matter of law.'" *Burke v. Utah Transit Auth. & Local 382*,

Appendix B

462 F.3d 1253, 1257-58 (10th Cir. 2006) (citation omitted). Conversely, if “the record does not unequivocally point in one direction and allows for a genuine dispute concerning the facts, [a]ll disputed facts must be resolved in favor of the party resisting summary judgment.” *Estate of Taylor v. Salt Lake City*, 16 F.4th 744, 757 (10th Cir. 2021).

In applying the summary judgment standard, the court views the evidence and draws “reasonable inferences therefrom in the light most favorable to the nonmoving party.” *Id.* at 1258 (citation omitted). At this stage, however, a plaintiff may not rely on mere allegations, but must have set forth, by affidavit or other evidence, specific facts in support of the allegations. *Id.* “Conclusory allegations that are unsubstantiated do not create an issue of fact and are insufficient to oppose summary judgment.” *Harvey Barnett, Inc. v. Shidler*, 338 F.3d 1125, 1136 (10th Cir. 2003) (citation omitted). Additionally, “the general proposition that we accept plaintiff’s version of the facts in the qualified-immunity summary-judgment setting ‘is not true to the extent that there is *clear contrary* video evidence of the incident at issue.’” *Estate of Taylor*, 16 F.4th at 757 (citing *Thomas v. Durastanti*, 607 F.3d 655, 659 (10th Cir. 2010) and adding emphasis).

While at the summary judgment stage evidence need not be submitted “in a form that would be admissible at trial,” “the content or substance of the evidence must be admissible.” *Argo v. Blue Cross and Blue Shield of Kan., Inc.*, 452 F.3d 1193, 1199 (10th Cir. 2006) (citing *Celotex Corp. v. Catrett*, 477 U.S. 317, 324 (1986) and *Thomas v. Int’l Bus. Machs.*, 48 F.3d 478, 485 (10th Cir. 1995)). For

Appendix B

example, the court disregards “inadmissible hearsay statements *contained* in affidavits, as those statements could not be presented at trial in any form.” *Argo v. Id.* (emphasis in original). Furthermore, “affidavits must be based upon personal knowledge and set forth facts that would be admissible in evidence; conclusory and self-serving affidavits are not sufficient.” *Hall v. Bellmon*, 935 F.2d 1106, 1111 (10th Cir. 1991). “Testimony which is grounded on speculation does not suffice to create a genuine issue of material fact to withstand summary judgment.” *Bones v. Honeywell Int'l, Inc.*, 366 F.3d 869, 876 (10th Cir. 2004).

Qualified Immunity

The affirmative defense of qualified immunity “gives government officials breathing room to make reasonable but mistaken judgments about open legal questions.” *Ashcroft v. al-Kidd*, 563 U.S. 731, 743 (2011). “When properly applied, it protects ‘all but the plainly incompetent or those who knowingly violate the law.’” *Id.* (citing *Malley v. Briggs*, 475 U.S. 335, 341 (1986)).

When a defendant raises a qualified immunity defense in response to a motion to dismiss or a motion for summary judgment,⁷ the burden shifts to the plaintiff and the court employs a two-part test. *Morris v. Noe*, 672 F.3d 1185, 1191 (10th Cir. 2012). A plaintiff must show: “(1) that the

7. “The legally relevant factors for a qualified immunity decision will be different at the summary judgment stage – no longer can the plaintiffs rest on facts as alleged in the pleadings.” *Stoncipher v. Valles*, 759 F.3d 1134, 1148, n.9 (10th Cir. 2014).

Appendix B

defendant's actions violated a federal constitutional or statutory right, and, if so, (2) that the right was clearly established at the time of the defendant's unlawful conduct." *Knopf v. Williams*, 884 F.3d 939, 944 (10th Cir. 2018) (citing *Estate of Booker v. Gomez*, 745 F.3d 405, 411 (10th Cir. 2014)). "This is a heavy burden. If the plaintiff fails to satisfy either part of the inquiry, the court must grant qualified immunity." *Id.* (citation omitted). The court has discretion to decide which of the two prongs to address first in light of the circumstances of the case. *Brown v. Montoya*, 662 F.3d 1152, 1164 (10th Cir. 2011).

In a case with multiple defendants, a defendant's entitlement to qualified immunity turns "on an *individual assessment* of each defendant's conduct and culpability." *Pahls v. Thomas*, 718 F.3d 1210, 1233 (10th Cir. 2013) (emphasis added). "Plaintiffs must do more than show that their rights 'were violated' or that 'defendants,' as a collective and undifferentiated whole, were responsible for those violations." *Id.* at 1228 (citations omitted). Plaintiffs "must identify specific actions taken by particular defendants, or specific policies over which particular defendants possessed supervisory responsibility, that violated their clearly established rights." *Id.*

"A plaintiff may show clearly established law by pointing to either a Supreme Court or Tenth Circuit decision, or the weight of authority from other courts, existing at the time of the alleged violation." *Knopf*, 774 F.3d at 944 (citation omitted). A law is not clearly established unless existing precedent has "placed the statutory or constitutional question beyond debate." *Id.*

Appendix B

(citation omitted). This is an objective test. *Brown*, 662 F.3d at 1164.

The Supreme Court has “repeatedly told courts . . . not to define clearly established law at a high level of generality.” *Mullenix v. Luna*, 136 S.Ct. 305, 308 (2015) (citing *Ashcroft*, 563 U.S. at 742). See also *Knopf*, 884 F.3d at 944 (citing *Ashcroft*, 563 U.S. at 742). Rather, “the clearly established law must be ‘particularized’ to the facts of the case.” *Knopf*, 884 F.3d at 944 (citation omitted). “A prior case need not have identical facts. Rather, the pertinent question is whether it would have been clear to a reasonable officer that his or her conduct was unlawful in the situation.” *Patel v. Hall*, 849 F.3d 970, 980 (10th Cir. 2017) (citations and internal brackets and quotation marks omitted). See also *Perry v. Durborow*, 892 F.3d 1116, 1126 (10th Cir. 2018).

II. Undisputed Material Facts⁸

Deputies Orr and Phillips

On the evening of July 1, 2019, Deputy Orr was on duty in his clearly marked patrol vehicle. Docket No. 306,

8. The court notes that “material facts set forth in the statement of material facts of the movant may be deemed admitted for the purpose of summary judgment unless specifically controverted by the nonmovant using the procedures set forth in this rule.” Local Civil Rule 56.1(e). This includes instances where a nonmovant indicates that a fact is disputed, but then argues a separate point without disputing the fact or providing any citation to evidence that controverts the fact.

Appendix B

at 12-13⁹; Docket No. 323, at 8; and Docket No. 351, at 6-7. At approximately 9:45 p.m., he was gassing up his vehicle at the QuikTrip on the intersection of Hwy 69 and Hwy 51 in Wagoner, Oklahoma, when the decedent, Mr. Krueger, pulled in and stopped at the gas pump behind him. *Id.* Mr. Krueger exited his vehicle and began to clean his windshield. *Id.* Immediately prior to Mr. Krueger pulling up, Deputy Orr heard squealing tires. *Id.*

As Deputy Orr was standing at the gas pumps, he noticed Mr. Krueger appeared to be talking to himself and holding his hand as if he were holding a cellphone. *Id.* Deputy Orr also noticed that Mr. Krueger had nothing in his hand, and he did not see an earpiece in his ears. *Id.* The behavior seemed odd to Deputy Orr, and he wondered if Mr. Krueger had been the one squealing his tires. *Id.* Deputy Orr then went inside the QuikTrip. *Id.* Mr. Krueger continued to waive his arms around and appeared to be talking to himself. *Id.* He then got back into his vehicle and drove north. *Id.* Deputy Orr believed Mr. Krueger had exhibited signs of possible intoxication. *Id.*

When Deputy Orr came out of the QuikTrip, he used his cellphone to call Deputy Phillips who he knew was also on patrol in the area. Docket No. 306, at 13; Docket No. 323, at 8, and Docket No. 351, at 7. Deputy Orr told Deputy Phillips that he observed a man in a dark colored vehicle engaging in odd behavior that might be a possible DUI and asked him to follow and observe the vehicle to

9. The statements of undisputed material fact are identical or nearly so in Deputy Orr's and Deputy Phillips' motions. The court cites to Deputy Phillips' at Docket No. 306.

Appendix B

see if there was a reason to pull the driver over for further investigation. *Id.*¹⁰

Shortly thereafter, Deputy Phillips informed Deputy Orr that he was following Mr. Krueger's vehicle and that Mr. Krueger was traveling at a high rate of speed and was failing to maintain his lane of travel. Docket No. 306, at 14; Docket No. 323, at 9, and Docket No. 351, at 8. Deputy Orr followed behind Deputy Phillips and saw Deputy Phillips activate his emergency lights. *Id.* Mr. Krueger immediately pulled his vehicle into the center turn lane of the highway and stopped abruptly. *Id.* Deputy Phillips pulled his vehicle into the center turn lane behind Mr. Krueger. Deputy Orr also pulled into the center turn lane. *Id.*

When Mr. Krueger stopped, he swung open his driver's side door. *Id.* Deputy Phillips saw movement by Mr. Krueger inside the vehicle. *Id.* Deputy Phillips exited his patrol vehicle, drew his service weapon, and started calling out commands to Mr. Krueger to show his hands. *Id.*; Docket No. 309-10, at 10 and 14-15; Docket No. 309-5, at 5; Docket No. 309-4, at 8 and 24. Deputy Orr pulled up, exited his patrol vehicle, and approached as well. Docket No. 306, at 14; Docket No. 323, at 10, and Docket No. 351, at 8-9.

Mr. Krueger's door remained swung open, and he continued to search around the interior of the vehicle

10. Plaintiffs argue that Deputy Orr had no probable cause. Such arguments are more appropriate later in the briefings. Plaintiffs do not provide any actual dispute to the facts as listed by Defendants.

Appendix B

despite the Deputies' commands. Docket No. 306, at 14-15; Docket No. 323, at 10-11, and Docket No. 351, at 9. Mr. Krueger was reaching in between the seats and into the console, and there were times the Deputies could not see Mr. Krueger's hands. *Id.* Both Deputies were in full uniform of the WCSO. *Id.* Both Deputies had deployed full flashing emergency lights. *Id.* Because Mr. Krueger was ignoring their commands and continuing to search around the interior of the car for an unknown item, the Deputies wanted to remove him from the car. *Id.* Deputy Phillips then started giving commands to Mr. Krueger to "get out of the car." *Id.*; Docket No. 309-4, at 8 and 10; Docket No. 309-5, at 5 and 10.

Deputy Phillips then came closer to try to remove Mr. Krueger from the car. Docket No. 306, at 14-15; Docket No. 323, at 10-11; Docket No. 351, at 9. Deputy Phillips reached in to unfasten Mr. Krueger's seatbelt. *Id.* When Deputy Phillips tried to remove Mr. Krueger from the car, Mr. Krueger grabbed his leg and his left arm. *Id.*; Docket No. 309-10, at 19, 25-26, and 36-37; Docket No. 309-5, at 6 and 10.¹¹

The Deputies then both grabbed onto Mr. Krueger's arms and tried to pull him out of the car while yelling to him "give us your hands" and "get on the ground." Docket

11. Deputy Phillips also testified that Mr. Krueger grabbed his gun. Plaintiffs "absolutely" deny this, arguing that Phillips' first version referenced only his arm. Plaintiffs' citations are to Phillips' deposition and his OSBI interview, and they do not support Plaintiffs' argument of differing accounts by Phillips. Docket No. 323, at 11.

Appendix B

No. 306, at 15-16; Docket No. 323, at 11-12; Docket No. 351, at 10. Mr. Krueger was fighting, resisting, and trying to pull back into the vehicle. *Id.* Deputy Orr then grabbed Mr. Krueger's hair and tried to pull him out of the car. *Id.* The Deputies were able to get Mr. Krueger out of the car and onto the ground, but they were in the middle of the highway at night, so it was urgent that they get him handcuffed and restrained so that they could get out of the middle of the highway. *Id.*

Once Mr. Krueger was on the ground, the Deputies repeatedly tried to grab his hands behind his back to handcuff him. Docket No. 306, at 16; Docket No. 323, at 12; Docket No. 351, at 10. The Deputies shouted orders for him to show his hands and to give his hands to the officers, but he did not comply. *Id.* Mr. Krueger pulled his hands away and tucked them underneath his torso. *Id.* In an effort to get him to comply, Deputy Orr used a taser, but it did not subdue Mr. Krueger. He continued to struggle, fight, and pull his hands away from the Deputies. *Id.* The Deputies continued their efforts to pull out his arms, and he continued to fight. *Id.*

The Phillips Body Cam video begins after the Deputies had been struggling with Mr. Krueger for several minutes. Docket No. 306, at 16-17; Docket No. 323, at 12-13; Docket No. 351, at 10; Phillips Body Cam. The Deputies repeatedly gave commands for Mr. Krueger to turn over and to give them his hands, but Mr. Krueger continued to struggle, fight, and kick the Deputies. Docket No. 306, at 17; Docket No. 323, at 12; Docket No. 351, at 10; Phillips Body Cam, at 21:56:44 – 22:02:10. After being kicked repeatedly,

Appendix B

Deputy Phillips tasered Mr. Krueger. *Id.* Mr. Krueger then grabbed onto the taser. *Id.* The Deputies then struck Mr. Krueger and ultimately retrieved the taser. *Id.*

When the EMT Defendants arrived, they helped get Mr. Krueger's right hand behind his back and get him cuffed. Docket No. 306, at 18; Docket No. 323, at 13; Docket No. 351, at 11; Phillips Body Cam, at 22:01:05-10. Soon after Mr. Krueger's arms were cuffed together, other officers who had arrived took over. Docket No. 306, at 18; Docket No. 323, at 13; Docket No. 351, at 11; Phillips Body Cam, at 22:01:10-50. Deputies Orr and Phillips stood up, walked away, and had no further physical contact with Mr. Krueger. *Id.* Mr. Krueger can be heard saying "get off me" repeatedly just before Deputies Orr and Phillips walked away. Phillips Body Cam, at 22:01:35-39.

After Deputies Orr and Phillips walked away, they were talking to other officers and not looking back to see what was happening with Mr. Krueger. Docket No. 306, at 18; Docket No. 323, at 13; Docket No. 351, at 11. Deputies Orr and Phillips did not observe what happened with Mr. Krueger until they heard an officer call out that he was not breathing. *Id.* At that time, they walked back over to the scene and saw the EMT Defendants get the stretcher out, load Mr. Krueger onto it and into the ambulance, and drive away. *Id.* Mr. Krueger was 36 years old, 6'3, weighed 156 pounds, and was bipolar. Docket No. 323, at 14.

Deputy Lott

At approximately 10:00 p.m. Deputy Lott was on patrol in the Wagoner area and heard the radio call from

Appendix B

Deputy Orr saying that he had a person coming out of a car. Docket No. 308, at 7; Docket No. 325, at 8. Deputy Lott advised dispatch that he was on the way. *Id.* While driving to the location, he heard a second call from Deputy Orr saying with urgency something to the effect of “got one fighting.” *Id.* Deputy Lott activated his lights and siren and headed towards the scene. *Id.* When he activated his lights and siren, Deputy Lott’s dashcam video was also activated. *Id.* A train stalled Deputy Lott’s progress to the scene. Docket No. 308, at 8; Docket No. 325, at 8. While on his way, Deputy Lott heard another call from Deputy Orr saying, “I need help.” *Id.*

Once the train passed, Deputy Lott was able to proceed to the scene. Docket No. 308, at 8; Docket No. 325, at 8-9. As he was pulling up, he heard Deputy Orr advise on the radio that he had “one in custody.” Deputy Lott parked blocking oncoming traffic so that people on the ground would not get hit. *Id.* He then exited his vehicle and saw Deputies Orr and Phillips as well as two EMTs present with Mr. Krueger who was handcuffed and laying face down on the pavement. *Id.*

Deputy Lott arrived on the scene at the same time as other officers from the WCSO and the City Police Department. *Id.* As Deputy Lott approached, Mr. Krueger was still kicking, his eyes were open, and he appeared to be fully conscious and breathing. *Id.* While other officers were on the ground with Mr. Krueger, Deputy Lott placed his foot at the top of Mr. Krueger’s right shoulder for approximately one minute.

Appendix B

Docket No. 308, at 8-9; Docket No. 325, at 8-9; AXON Body Cam, at 2:02 – 3:11.¹²

While Mr. Krueger was still moving and kicking his legs, other officers asked if there was a way to “hobble” him – to connect the leg restraints to the handcuffs with a chain. Docket No. 308, at 9; Docket No. 325, at 9. Deputy Lott retrieved a chain from his vehicle and gave it to the officers. *Id.* Deputy Lott did not participate in connecting the chain to the leg shackles or handcuffs. *Id.* Deputy Lott saw that Mr. Krueger’s handcuffs and leg shackles were then connected by 12-14 inches of chain. *Id.* Deputy Lott observed officers with their knees on Mr. Krueger. *Id.*

Deputy Lott was not present with Mr. Krueger at all times, as he walked over to talk to Deputies Orr and Phillips. *Id.* Deputy Lott was with Deputies Orr and Phillips when he heard someone ask if Mr. Krueger was still breathing. Docket No. 308, at 10; Docket No. 325, at 9. Until that time, he believed that Mr. Krueger was still breathing and not experiencing any medical emergency. After the EMT Defendants loaded Mr. Krueger into the ambulance, Deputy Lott drove the ambulance to the hospital. Docket No. 308, at 10; Docket No. 325, at 10.

12. Deputy Lott states that he did not place any weight on Mr. Krueger’s shoulder, but that cannot be determined from the video. Plaintiff argues that it is unlikely he balanced on his other foot for an entire minute without placing any weight on the one on Mr. Krueger’s shoulder.

*Appendix B***Lieutenant Crockett**

Lieutenant Crockett arrived on the scene after Deputies Orr and Phillips had disengaged from Mr. Krueger. Docket No. 302, at 11; Docket No. 324, at 12. Lieutenant Crockett initially checked on the condition of Deputies Orr and Phillips, but after observing Mr. Krueger kick an officer, she assisted by kneeling down on Mr. Krueger's left buttock and left upper thigh and assisted placing the leg irons on him. *Id.* Lieutenant Crockett kneeled down on Mr. Krueger's left buttock and left upper thigh for approximately forty-five seconds to a minute total, until he had been placed in leg irons. Docket No. 302, at 11-12; Docket No. 324, 12-13, AXON Body Cam, at 2:15 – 3:16. She then left the area and went to her vehicle and had no further contact with Mr. Krueger. *Id.*

Sheriff Elliott

At the time of the subject incident, the WCSO had a Use of Force Policy which required that deputies use only force that is objectively reasonable, necessary, and proportionate to effectively bring a person or an incident under control, while protecting the deputy or others from imminent harm. Docket No. 301, at 11-12; Docket No. 329, at 12; Docket No. 343, at 1-2. In determining whether a use of force was objectively reasonable, the policy required deputies to pay careful attention to the facts and circumstances of each particular case, including the severity of the crime at issue, whether the subject poses an immediate threat to the safety of the deputies or others, and whether the suspect is actively resisting arrest or attempting to evade arrest by flight. *Id.*

Appendix B

The WCSO's Office Use of Force Policy required deputies to use an amount of force that is reasonably necessary to effect a lawful arrest; prevent the escape of a person from custody following arrest; apprehend a person who has escaped from lawful arrest; or protect himself or others from the infliction of serious bodily harm. Docket No. 301, at 12; Docket No. 329, at 12; Docket No. 343, at 1-2. The policy forbids the use of unnecessary or excessive force, and forbids the use of force to punish or retaliate; in the absence of a legitimate need for the use of force; in a manner inconsistent with WCSO policies, training or guidelines; and against a person incapable of resisting arrest. *Id.*

At the time of the subject incident, the WCSO had a policy regarding the use of Tasers. The policy provides that Tasers may only be used when objectively reasonable and necessary to control a suspect that is actively resisting arrest and/or where the use of an empty hand control technique would be ineffective or not reasonable under the circumstances, and that such devices may only be used by deputies that have been trained in their use. The policy further sets forth a number of other factors which must be considered by deputies when using such devices, including assessment of successive applications of the device where the initial application proves ineffective. *Id.*

At the time of the subject incident, the WCSO had a policy regarding dealing with emotionally disturbed persons. The policy provides that deputies may take persons into protective custody whom they reasonably believe require emergency mental health treatment, and

Appendix B

describes in detail the bases for such a determination. The policy further requires deputies to have an entry level training course regarding the interaction of persons suspected of suffering from mental illness and to complete a refresher-training course at least every three years, which may be fulfilled by CLEET's annually required two hours of continuing law enforcement training relating to recognizing and managing a person appearing to require mental health treatment of services. Docket No. 301, at 13; Docket No. 329, at 13-14; Docket No. 343, at 1-2.

At the time of the subject incident, the WCSO had Rules and Regulations which required all employees to maintain a current and complete copy of the WCSO's policies, procedures, rules, and regulations, and requiring them to know and obey those directives. Docket No. 301, at 13; Docket No. 329, at 14; Docket No. 343, at 1-2. The Rules and Regulations also required all employees to be knowledgeable of and abide by the laws of the State of Oklahoma. *Id.*

At the time of the incidence, Deputies Orr and Phillips had received copies of the WCSO's Policy and Procedure manual. *Id.*

Deputies Orr and Phillips received Taser training on March 28, 2019. *Id.*

At the time of the subject incident, Deputy Orr was a CLEET certified law enforcement officer with over 500 hours of CLEET training. Docket No. 301, at 13-14; Docket No. 329, at 14; Docket No. 343, at 1-2. Deputy Orr's

Appendix B

training included training on conducting stops, arrests, searches and seizures, how to conduct interactions with individuals who are confirmed or suspected to suffer from mental illness, general officer safety in regard to traffic stops, defensive tactics and maneuvers, and the prohibition on the use of excessive force. *Id.* Deputy Orr was trained to, if allowed by the circumstances, ask the subject to comply, tell the subject to comply, then make the subject comply with a lawful order. *Id.* Deputy Orr was trained that after an altercation, he should get the subject into the recovery position as soon as possible. *Id.*

At the time of the subject incident, Deputy Phillips was a CLEET certified law enforcement officer with over 500 hours of CLEET training. Docket No. 301, at 14; Docket No. 329, at 14; Docket No. 343, at 1-2. Deputy Phillips' training included training on the circumstances under which he could pull his service weapon and point it at someone, and training on conducting stops, arrests, searches and seizures, how to conduct interactions with individuals who are confirmed or suspected to suffer from mental illness, general officer safety in regard to traffic stops, and defensive tactics maneuvers. *Id.*

At the time of the subject incident, Lieutenant Crockett was a CLEET certified law enforcement officer. *Id.* Lieutenant Crockett's training included training on traffic stops, arrests, use of force, excessive force, the duty to intervene, positional asphyxia, and the use of hobble restraints. *Id.* Her training on positional asphyxia included instruction on the need to make sure the arrestee's breathing is not compromised and to place

Appendix B

them in a recovery position as soon as they were under control. *Id.*

At the time of the subject incident, Deputy Lott was a CLEET certified law enforcement officer. Docket No. 301, at 15; Docket No. 329, at 14; Docket No. 343, at 1-2. Deputy Lott's training included training on conducting stops, arrests, searches and seizures, how to conduct interactions with individuals who are confirmed or suspected to suffer from mental illness, general officer safety in regard to traffic stops, and defensive tactics maneuvers. *Id.*

III. Federal Claims

As stated above, Plaintiffs brought claims for relief against the County Defendants pursuant to § 1983 for illegal arrest and for excessive force in violation of Mr. Krueger's Fourth Amendment rights. Additionally, while it is not abundantly clear in the Fourth Amended Complaint, Plaintiffs arguably also brought claims against the County Defendants for failure to intervene to stop other law enforcement officers from using excessive force against Mr. Krueger. As the County Defendants have each asserted the defense of qualified immunity, the burden shifts to Plaintiffs to show: (1) that each of the County Defendant's *individual actions* violated a federal constitutional or statutory right, and (2) that the right was clearly established at the time of the unlawful conduct. Additionally, Plaintiffs brought claims against Sheriff Elliott in his official capacity based on WCSO's policies, customs, and procedures, as well as for inadequate training and supervision.

*Appendix B***A. Illegal Arrest****(i) Constitutional Violation Prong**

A warrantless arrest violates the Fourth Amendment unless the arrest was supported by probable cause. *Fogarty v. Gallegos*, 523 F.3d 1147, 1156 (10th Cir. 2008) (citation omitted). In determining whether probable cause existed, the court considers whether the “facts and circumstances within the officers’ knowledge, and of which they have reasonably trustworthy information, are sufficient in themselves to warrant a man of reasonable caution in the belief that an offense has been or is being committed.” *Id.* (citation omitted). This is an independent and objective test. *Id.* “Thus an officer’s own subjective reason for the arrest is irrelevant, and it does not matter whether the arrestee was later charged with a crime.” *Id.* (citations omitted).

Additionally, an officer’s reliance on another officer’s conclusions must be “objectively reasonable.” *Felders ex rel. Smedley v. Malcom*, 755 F.3d 870, 882 (10th Cir. 2014). An officer who acts “in reliance on what proves to be the flawed conclusions of a fellow police officer may nonetheless be entitled to qualified immunity as long as the officer’s reliance was objectively reasonable.” *Id.* (citations and internal quotations omitted).

Deputies Phillips and Orr

The facts and circumstances within Deputies Phillips’ and Orr’s knowledge were that after Deputy Orr saw Mr.

Appendix B

Krueger talking to himself at the QuikTrip,¹³ Deputy Phillips then saw him driving at a high rate of speed and failing to maintain his lane of travel. Deputy Phillips had probable cause to stop him for traffic violations and to investigate a possible DUI.

When Mr. Krueger then stopped in the center lane of a busy highway, flung open his door, and began to search around in his vehicle, the Deputies were objectively reasonable in taking precautions for the safety of themselves, Mr. Krueger, and others on the highway. Such precautions include drawing their service weapons. Despite Plaintiffs' counsel's incredulity at the possibility that the Deputies believed Mr. Krueger may have been searching for a gun, common knowledge is in the Deputies' favor. In fact, in the context of Fourth Amendment excessive force claims, the Tenth Circuit has stated that "[a] reasonable officer *need not await the 'glint of steel'* before taking self-protective action; by then, it is 'often . . . too late to take safety precautions.'" *Estate of Larsen ex rel. Sturdivan v. Murr*, 511 F.3d 1255, 1260 (10th Cir. 2008) (emphasis added) (citation omitted).

When Mr. Krueger then ignored the Deputies' commands to show his hands and continued to dig around in his vehicle, the Deputies had further objective reasons

13. Plaintiffs make much ado of the fact that Mr. Krueger's odd behavior at the QuikTrip was not probable cause. Plaintiffs cite no authority and the court knows of none that would prevent law enforcement from following a person after that person was acting strangely in public to determine if further investigation was necessary.

Appendix B

to believe that he was committing or about to commit a very serious offense. When they tried to remove him from the vehicle and Mr. Krueger grabbed Deputy Phillips, they had probable cause to arrest him. Deputies Phillips' and Orr's motions are granted as to this claim.

Deputy Lott and Lieutenant Crockett

During their struggle with Mr. Krueger, Deputy Orr radioed for help stating, "one fighting." Moreover, when Deputy Lott arrived on the scene Mr. Krueger was still kicking. While Lieutenant Crockett and Deputy Lott may not have known the reason(s) Mr. Krueger was initially stopped, the facts and circumstances within their knowledge of his fighting and resisting Deputies Phillips and Orr were sufficient in themselves to warrant a man of reasonable caution in the belief that an offense had been committed. Lieutenant Crockett's and Deputy Lott's motions, therefore, are also granted as to this claim.

(ii) Clearly Established Prong

As Plaintiffs failed to show that Lieutenant Crockett or any of the Deputies Phillips, Orr, or Lott violated Mr. Krueger's Fourth Amendment right to be free of unlawful arrest, the court need not address this prong. Nevertheless, the court notes that Plaintiffs have not cited cases showing that it would have been clear to a reasonable officer that any of the conduct above was in violation of Mr. Krueger's Fourth Amendment right to be free of unlawful arrest in the situation.

*Appendix B***B. Excessive Force****(i) Constitutional Violation Prong**

An excessive force claim can be brought under the Fourth, Fifth, Eighth, or Fourteenth Amendment, “and each carries with it a very different legal test.” *Estate of Booker*, 745 F.3d at 418-19. “When an excessive force claim arises in the context of an arrest or investigatory stop of a free citizen, it is most properly characterized as one invoking the protections of the Fourth Amendment.” *Packard v. Budaj*, 86 F.4th 859, 865 (10th Cir. 2023) (citation and internal quotation marks omitted). “[A]n excessive force claim brought under the Fourth Amendment depends on the objective reasonableness of the officer’s actions. . . .” *Estate of Booker*, 745 F.3d at 419.

The test of reasonableness under the Fourth Amendment “requires careful attention to the facts and circumstances of each particular case.” *Graham v. Connor*, 490 U.S. 386, 396 (1989). The court must consider (1) the severity of the crime at issue, (2) whether the suspect posed an immediate threat to the safety of the officers or others, and (3) whether he was actively resisting arrest or attempting to evade arrest by flight. *Id.*

“The ‘reasonableness’ of a particular use of force must be judged from the perspective of a reasonable officer on the scene, rather than with the 20/20 vision of hindsight.” *Id.* at 396. “The calculus of reasonableness must embody allowance for the fact that police officers are often forced to make split-second judgments – in circumstances that

Appendix B

are tense, uncertain, and rapidly evolving – about the amount of force that is necessary in a particular situation.” *Id.* at 396-97. The court must weigh the “totality of the circumstances.” *Packard*, 86 F.4th at 866 (citing *Tennessee v. Garner*, 471 U.S. 1, 7 (1985)). Ultimately, the question is whether the officers’ actions were “*objectively reasonable*” in light of the facts and circumstances confronting them, without regard to their underlying intent or motivation.” *Id.* at 397 (emphasis added).

Deputies Phillips and Orr

Regarding the amount of force used by Deputies Phillips and Orr in detaining Mr. Krueger, there are disputed issues of material fact. There was a considerable amount of blood on the ground outside Mr. Krueger’s car, and photos of Mr. Krueger at the hospital show his head covered in blood. Docket Nos. 327-13 and 327-14. An autopsy photo shows a large gash in Mr. Krueger’s head. Docket No. 327-22. Plaintiffs contend that when Deputies Phillips and Orr removed Mr. Krueger from his car, they slammed his head to the ground. Additionally, while it is undisputed that both Deputies Phillips and Orr struck Mr. Krueger and applied a taser, the number of strikes and taser applications is disputed.

To be clear, once Mr. Krueger grabbed Deputy Phillips and arguably before then, each of the *Graham* factors – (1) the severity of the crime at issue, (2) whether the suspect posed an immediate threat to the safety of the officers or others, and (3) whether he was actively resisting arrest – weighed in the Deputies’ favor. Nevertheless,

Appendix B

while it was objectively reasonable for Deputies Phillips and Orr to remove Mr. Krueger from his car, it would not be objectively reasonable in light of the facts and circumstances confronting them, without regard to their underlying intent or motivation, for them to slam his head to the ground. Moreover, Plaintiffs have shown enough evidence to create issues of material fact as to whether the amount of force used thereafter was objectively reasonable under the circumstances. Plaintiffs have presented sufficient disputed evidence to meet their burden to show that Deputies Phillips and Orr used excessive force and thus to survive the summary judgment motion.

Deputy Lott and Lieutenant Crockett

Deputy Lott and Lieutenant Crockett arrived later. While Mr. Krueger was face down and handcuffed, Deputy Lott placed his foot over or on Mr. Krueger's right shoulder for over a minute. AXON Body Cam, at 2:02 – 3:11. Deputy Lott also retrieved and delivered to other officers the chain used to hobble Mr. Krueger. While he was face down and handcuffed, Lieutenant Crockett placed her knees on Mr. Krueger's left buttock and upper thigh for at least forty-five seconds and assisted placing leg irons on him. AXON Body Cam, at 2:15 – 3:16.

Again, the *Graham* factors weigh in their favor. As to the first, both Deputy Lott and Lieutenant Crockett received Deputy Orr's radio request stating, "one fighting." When Deputy Lott arrived on the scene, Mr. Krueger was still kicking. As to the second factor, Mr. Krueger's resistance of officers in the middle of the highway posed

Appendix B

an immediate threat to the safety of himself, the deputies and officers, as well as others on the highway. As to the third factor, Mr. Krueger was actively resisting arrest.

Nevertheless, under the totality of the circumstances, Plaintiffs have shown enough to create genuine issues of material fact as to whether Deputy Lott's and Lieutenant Crockett's use of force was objectively reasonable in the light of the facts and circumstances confronting them, without regard to their underlying intent or motivation. Mr. Krueger was face-down in a prone position and restrained by handcuffs when Lieutenant Crockett along with other officers placed her weight on him for at least forty-five seconds. He was face-down in a prone position and restrained by handcuffs when Deputy Lott placed his foot on him for at least a minute, and whether he put his weight on him is a question of fact for a jury. Plaintiffs have presented sufficient disputed evidence to meet their burden to show that Deputy Lott and Lieutenant Crockett used excessive force and thus to survive the summary judgment motion.

(ii) Clearly Established Prong

Because “[u]se of excessive force is an area of the law in which the result depends very much on the facts of each case, . . . police officers are entitled to qualified immunity unless existing precedent squarely governs the specific facts at issue.” *Choate v. Huff*, 773 Fed.Appx. 484, 489 (10th Cir. 2019) (citing *Kisela v. Hughes*, 138 S.Ct. 1148, 1153 (2018)).

*Appendix B***Deputies Phillips and Orr**

Plaintiffs do not cite specific case law where an officer or officers slammed a person's head into the ground, but cite *District of Columbia v. Wesby*, 583 U.S. 48 (2018) in arguing that "there can be the rare 'obvious case,' where the unlawfulness of the officer's conduct is sufficiently clear even though existing precedent does not address similar circumstances." *Id.* at 64 (citation omitted). The court agrees that if the jury were to find the facts in Plaintiffs' favor, slamming his head to the ground would be the rare obvious case. Accordingly, the motions for summary judgment are denied as to the excessive force claims against Deputies Phillips and Orr

Deputy Lott and Lieutenant Crockett

Plaintiffs have cited existing precedent that squarely governs the specific facts at issue with regard to Deputy Lott and Lieutenant Crockett's motions. Docket No. 321. Plaintiffs cited *Weigel v. Broad*, 544 F.3d 1143 (10th Cir. 2008), which involved an altercation between police and an individual on a busy highway. The Circuit held that if "the facts plaintiffs proffered are true and the jury draws the inferences most supportive of plaintiffs' position, then the law was clearly established that applying pressure to Mr. Weigel's upper back, once he was handcuffed and his legs restrained, was unconstitutionally unreasonable due to the significant risk of positional asphyxiation associated with such actions. We said this overtly, if not by strong and deducible inference, in *Cruz*." *Id.* at 1155 (citing *Cruz v. City of Laramie, Wyo.*, 239 F.3d 1183 (10th Cir. 2001)).

Appendix B

The Circuit continued: “Moreover, cases from other circuits have stated it is ‘clearly established that putting substantial or significant pressure on a suspect’s back while that suspect is in a face-down prone position after being subdued and/or incapacitated constitutes excessive force.’” *Id.* (citations omitted).

In *Estate of Booker*, the Tenth Circuit reiterated: “In *Weigel*, we agreed with other circuits that it was ‘clearly established that putting substantial or significant pressure on a suspect’s back while that suspect is in a face-down prone position after being subdued and/or incapacitated constitutes excessive force.’” *Estate of Booker*, 745 F.3d at 424. “Here, Deputy Robinette placed an estimated 142.5 pounds – more than Mr. Booker’s overall weight – on Mr. Booker’s back while he was handcuffed on his stomach. Because of Mr. Booker’s prone, restrained, positions, the placement of weight exceeding Mr. Booker’s total body weight could be construed as substantial or significant.” *Id.* Plaintiffs have presented sufficient disputed evidence to meet their burden to show that Deputy Lott and Lieutenant Crockett placed their weight on Mr. Krueger’s back along with other officers – the total weight of deputies and officers easily exceeding Mr. Krueger’s total body weight – while he was handcuffed and in a prone position on his stomach.

The motions for summary judgment are denied as to the excessive force claims against Deputy Lott and Lieutenant Crockett.

*Appendix B***C. Duty to Intervene to Prevent Excessive Force****(i) Constitutional Violation Prong**

A “law enforcement official has an affirmative duty to intervene to prevent another law enforcement official’s use of excessive force.” *Mick v. Brewer*, 76 F.3d 1127, 1136 (1996). To prevail on such a claim, Plaintiffs must show: “that 1) a government officer violated his constitutional rights, 2) a different government actor (the defendant) observed or had reasons to know about that constitutional violation, and 3) the defendant had a realistic opportunity to intervene, but failed to do so.” *Bledsoe v. Carreno*, 53 F.4th 589, 616 (10th Cir. 2022).

As stated above, Plaintiffs have presented sufficient disputed evidence to meet their burden to show that Deputies Phillips, Orr, and Lott and Lieutenant Crockett used excessive force on Mr. Krueger. Moreover, they were each there to observe other deputies and officers. Thus, to the extent there was a constitutional violation, Plaintiffs have presented sufficient disputed evidence to meet their burden to show that each officer observed it happening, and each officer had a realistic opportunity to intervene, but failed to do so.

(ii) Clearly Established Prong

It is clearly established that law enforcement officers have a duty to intervene to prevent other officer’s use of excessive force. *Brewer*, 76 F.3d at 1136. In *Weigel*, a similar case cited by Plaintiffs and included above, the

Appendix B

Tenth Circuit noted that as it recently recognized, it is clearly established:

that all law enforcement officials have an affirmative duty to intervene to protect the constitutional rights of citizens from infringement by other law enforcement officers in their presence. An officer who fails to intercede is liable for the preventable harm caused by the actions of the other officers where that officer observes or has reason to know . . . that excessive force is being used. . . . In order for liability to attach, there must have been a realistic opportunity to intervene to prevent the harm from occurring. Whether an officer had sufficient time to intercede or was capable of preventing the harm being caused by another officer is an issue of fact for the jury unless, considering all the evidence, a reasonable jury could not possibly conclude otherwise.

Weigel v. Broad, 544 F.3d 1143, 1153, n.4 (10th Cir. 2008) (citing *Vondrak v. City of Las Cruces*, 535 F.3d 1198, 1210 (10th Cir. 2008)).

The motions for summary judgment are denied as to the failure to intervene to prevent excessive force claims against Deputies Phillips, Orr, Lott and Lieutenant Crockett.

*Appendix B***D. Official Capacity Claims against Sheriff Elliott¹⁴**

Within each of the first two § 1983 claims – illegal arrest and excessive force – Plaintiffs include claims against Sheriff Elliott in his official capacity for unconstitutional policies, customs, and procedures. Plaintiffs’ third claim for relief is for inadequate training and supervision against Sheriff Elliott in his official capacity. For Plaintiffs to prevail on these claims, they must first show an underlying constitutional violation. *Fenn v. City of Truth or Consequences*, 983 F3d 1143, 1150 (10th Cir. 2020) (noting that “[a] municipality may not be held liable where there was no underlying constitutional violation by any of its officers.”) (citing *Hinton v. City of Elwood, Kan.*, 997 F.2d 774, 782 (10th Cir. 1993)).

As Plaintiffs have failed to show a violation of Mr. Krueger’s Fourth Amendment rights based on illegal or unlawful arrest, Sheriff Elliott is entitled to summary judgment on these claims as they pertain to the illegal arrest claims. Plaintiffs, however, have shown sufficient evidence to overcome summary judgment as to the excessive force claims against the County Defendants who were WCSO employees.

Sheriff Elliott may not be held liable under a theory of *respondeat superior*, but since *Monell v. Department of Soc. Servs. of the City of New York*, 436 U.S. 658 (1978),

14. There is no evidence that Sheriff Elliott was personally involved in the events giving rise to this litigation.

Appendix B

he may be held liable if the enforcement of the WCSO’s policies or customs by its employees “causes a deprivation of a person’s federally protected rights.” *Dodds v. Richardson*, 614 F.3d 1185, 1202 (10th Cir. 2010) (citation omitted). Section 1983 claims against a municipality are the same as claims against a municipal official acting in his or her official capacity. *Myers v. Oklahoma Cnty. Bd. of Cnty. Comm’rs*, 151 F.3d 1313, 1316 n.2 (10th Cir. 1998).

To prevail on their § 1983 claims against Sheriff Elliott, Plaintiffs must show “1) the existence of a municipal policy or custom, and 2) that there is a direct causal link between the policy or custom and the injury alleged.” *Hinton v. City of Elwood*, 997 F.2d 774, 782 (10th Cir. 1993).

A municipal policy or custom may take the form of (1) “a formal regulation or policy statement”; (2) an informal custom “amoun[ting] to ‘a widespread practice that, although not authorized by written law or express municipal policy, is so permanent and well settled as to constitute a custom or usage with the force of law’”; (3) “the decisions of employees with final policymaking authority”; (4) “the ratification by such final policymakers of the decisions – and the basis for them – of subordinates to whom authority was delegated subject to these policymakers’ review and approval”; or (5) the “failure to adequately train or supervise employees, so long as that failure results from ‘deliberate indifference’ to the injuries that may be caused.”

Appendix B

Bryson v. City of Oklahoma City, 627 F.3d 784, 788 (10th Cir. 2010).

Plaintiffs here allege the first, second, and fifth forms. Plaintiffs have provided no evidence of any formal policy that directly caused a violation. In fact, the WCSO policies listed herein would prevent the violations alleged. Plaintiffs argue the existence of informal WCSO customs but have presented no evidence of such.

To establish liability for inadequate training or supervision on the use of force, Plaintiffs must show deliberate indifference on the part of the WCSO. *Myers*, 151 F.3d at 1318. Plaintiffs must meet a four-part test to show that:

- (1) the officers exceeded constitutional limitations on the use of force; (2) the use of force arose under circumstances that constitute a usual and recurring situation with which police officers must deal; (3) the inadequate training demonstrates a deliberate indifference on the part of the city towards persons with whom the police officers come into contact, and (4) there is a direct causal link between the constitutional deprivation and the inadequate training.

Id. (citation omitted). As is evidenced from the statements of fact above, Deputies Phillips, Orr, and Lott and Lieutenant Crockett were all CLEET certified officers with training on the use of force. Plaintiffs have failed to

Appendix B

show any specific deficiency in the training or supervision of Deputies Phillips, Orr, or Lott, or Lieutenant Crockett that was obvious and closely related to the alleged violations. Plaintiffs have further failed to show deliberate indifference on the part of the WCSO.

While not specifically alleged in the Fourth Amended Complaint, Plaintiffs argue that by awarding them medals of valor, Sheriff Elliott ratified the actions taken by Deputies Phillips and Orr on July 1, 2019. A municipality may be found liable under a ratification theory when “a final decision maker ratifies an employee’s specific unconstitutional actions, as well as the basis for these actions.” *Bryson*, 627 F.3d 784, at 790. Deputies Phillips and Orr were awarded medals of valor based on their actions taken with Mr. Krueger on July 1, 2019. Docket No. 327-27. Sheriff Elliott signed the nomination for Deputy Phillips on the same day – July 1, 2019. *Id.* at 3. If a jury were to find that Deputies Phillips and Orr used excessive force in violation of Mr. Krueger’s Fourth Amendment rights, the jury could also find that Sheriff Elliott ratified the violations with the medals of valor. Sheriff Elliott’s motion, therefore, is denied as to this claim.¹⁵

15. As has the Western District of Oklahoma, the court “acknowledges that there is some disagreement among the circuits regarding the ratification theory and some conflict between the ratification theory and the causation requirement as outlined in *Monell*, 436 U.S. at 691, 694.” *Coffee v. City of Okla. City, Okla.*, No. CIV-08-239-W, 2009 WL 10669175, at *5 n.11 (W.D. Okla. Aug. 4, 2009). In any event, the court will allow this claim to go to a jury.

*Appendix B***IV. State Law Claims****A. Negligence and Wrongful Death**

Under Plaintiffs' fifth claim for relief, they allege that Sheriff Elliott was negligent in the hiring, training, supervision, and retention of the County Defendants. The GTCA provides that the state or political subdivision is not liable for losses resulting from: "Performance of or the failure to exercise or perform any act or service which is in the discretion of the state or political subdivision or its employees." 51 OKLA. STAT. § 155(5). The Oklahoma Court of Civil Appeals has found that "[i]t is . . . settled that a [political subdivision's] hiring, training, and supervision decisions are discretionary and therefore a [political subdivision] may not be liable for damages resulting from those decisions." *Jackson v. Oklahoma City Pub. Sch.*, 333 P.3d 975, 979 (Okla. Ct. Civ. App. 2014) (citation omitted). *Jackson*, however, is not a precedential decision.

In examining 51 OKLA. STAT. § 155(4)-(6), the Oklahoma Supreme Court has noted that "[a]lmost all acts of government employees involve some element of choice and judgment." *Smith v. City of Stillwater*, 328 P.3d 1192, 1198 (Okla. 2014) (citation omitted). The Court held that "the government retains its immunity with respect to formulation of policy, but is subject to liability for routine decisions and daily implementation of the policy or planning level decisions." *Id.* (citation omitted). The questions of whether hiring, training, supervision, and retention fall under the former or the latter have not been decided by the Oklahoma Supreme Court. The Northern

Appendix B

District of Oklahoma, however, has noted that “the clear weight of authority supports finding that hiring, training, supervision, monitoring, and retention are actions that implicate a political entity’s policy and planning functions and therefore fall under the discretionary function exemption of § 155(5).” *Lankamp v. Mayes Emergency Svcs. Trust Auth.*, No. 16-CV-0676-CVE-FHM, 2017 WL 875483, at *4 (N.D. Okla. Mar. 3, 2017) (citing *Johnson v. Indep. Sch. Dist. No. 89 of Okla. Cnty.*, No. CIV-15-680-D, 2016 WL 1270266, at *8 (W.D. Okla. Mar. 31, 2016) (negligent supervision); *Burris v. Okla. ex rel. Okla. Dep’t of Corrections*, No. CIV-13-867-D, 2014 WL 442154, at *9 (W.D. Okla. Feb. 4, 2014) (negligent hiring, training, supervision, and retention); *Seals v. Jones*, No. 12-DV-569-JED-TLW, 2013 WL 5408004, at *4 (N.D. Okla. Sept. 25, 2013) (negligent hiring and retention); Houston, 949 F. Supp. 2d at 1109 (negligent supervision and retention); *Fumi v. Bd. of Conty. Comm’rs of Rogers Cnty.*, No. 10-CV-769-TCK-PJC, 2011 WL 4608296, at *6 (N.D. Okla. Oct. 3, 2011) (negligent training and supervision); *Burns v. Holcombe*, No. 09-CV-152-JHP, 2010 WL 2756954, at *15 (E.D. Okla. July 12, 2010) (negligent hiring, training, and supervision); *Jackson v. Okla. City Pub. Schs.*, 333 P.3d 975, 979 (Okla. Civ. App. 2014) (negligent hiring, training, and supervision)).

In any event, the court need not answer the question as to whether this claim is barred by § 155(5). As held above, Plaintiffs have not shown that Sheriff Elliott was negligent in his training or supervision of the County Defendants. Plaintiffs also have not shown that Sheriff Elliott was negligent in his hiring or retention of the

Appendix B

County Defendants. Plaintiffs argue that Deputy Lott was terminated by the WCSO, but without more, they have not shown that Sheriff Elliott was negligent in his hiring or retention. Accordingly, the Sheriff's motion is granted as to this claim.

B. Assault and Battery**County Defendants**

The events giving rise to this action occurred on July 1, 2019. The original Complaint was filed more than one year, but less than two years later on February 16, 2021. As Defendants argue, the statute of limitations for an action for assault and battery in Oklahoma is one year. 12 OKLA. STAT. § 95(A)(4).

Plaintiffs argue that a two-year statute of limitations applies to an assault and battery claim brought in a § 1983 action. While Plaintiffs are correct that a two-year statute of limitations period applies to § 1983 assault and battery claims, Plaintiffs framed their assault and battery claims as state law claims, not as § 1983 claims. Plaintiffs cite to the Fourth Amendment within their first three claims for relief, but nowhere within their fourth, fifth or sixth claims for relief do they cite to § 1983 or to any federal law. Rather, Plaintiffs specifically allege that the acts of the individual defendants "*constitute the tort of assault and battery under Oklahoma state law and the common law.*" Docket No. 196, at 16 (emphasis added). Plaintiffs allege that the individual defendants' "use of force, at times during the detention, arrest and handcuffing of

Appendix B

Mr. Krueger, were so excessive, malicious, willful and wanton, and a usurpation of the power lawfully vested in them, as to cause the death of Mr. Krueger, for which the Defendants can alternatively be found as *acting outside the scope of their employment and be individually liable.*" *Id.* (emphasis added).

The court notes that Plaintiffs also allege that they "timely filed a notice of tort claim under the Oklahoma Governmental Tort Claims Act with Wagoner County asserting the assault and battery of defendants Phillips and Orr, and this action is timely filed following the denial of that claim."¹⁶ *Id.* at 17. Plaintiffs do not similarly allege that they filed a notice of tort claim with regard to the Deputy Lott and Lieutenant Crockett. In any event, Plaintiffs' allegations of the intentional tort of assault and battery as filed against the individual defendants fall outside the scope of their employment¹⁷ and thus outside

16. Plaintiffs have not attached their notice of tort claim or any denial thereof to any of the five iterations of their Complaint or included such with their exhibits. While Plaintiffs allege in each Complaint that they have met all of the notice requirements of the GTCA, they did not include dates of their notice and/or any denial. Within a response to a motion to dismiss, however, Plaintiffs informed the court that their tort claim notice was submitted on June 24, 2020. Docket No. 116, at 10. Plaintiffs did not explicitly state that they did not receive a written denial, but they included that 90 days after their notice was September 22, 2020 and 180 days after that was March 21, 2021. *Id.*

17. Moreover, to the extent Plaintiffs allege the County Defendants acted within the scope of their employment, pursuant to the GTCA, they would not be proper Defendants. 51 OKLA. STAT. §§ 153(C) and 163(C).

Appendix B

the purview of the GTCA. *See Hall v. Oklahoma Dept. of Human Svcs.*, No. 15-CV-0670-CVE-TLW, 2016 WL 2903266, at *9, n. 1 (N.D. Okla. May 18, 2016) (citations omitted).

Accordingly, Oklahoma's one-year statute of limitations applies to the assault and battery claims against the County Defendants. The motions are granted as to Plaintiffs' sixth claim for relief – assault and battery – against the County Defendants.

Sheriff Elliott

These claims as against Sheriff Elliott are timely under the GTCA to the extent that Plaintiffs submitted their tort claim on June 24, 2020 and did not receive a denial as they have previously stated. Docket No. 116, at 10. As the court found above that the reasonableness of the use of force by the County Defendants is a question of fact for a jury, this claim remains. The Sheriff's motion is denied.

V. Summary

The court rules as follows on Sheriff Elliott's and the County Defendants' motions:

- Sheriff Elliott's motion [Docket No. 301] is hereby GRANTED as to the § 1983 claims associated with unlawful arrest and the § 1983 failure to train or supervise claims. It is GRANTED as to the state law

Appendix B

claim for negligence and wrongful death. It is DENIED as to the § 1983 claims of unconstitutional policies or customs in the form of ratification of the use of excessive force. It is DENIED as to the state law claim for assault and battery.

- Lieutenant Crockett's motion [Docket No. 302] is hereby GRANTED as to the § 1983 unlawful arrest and state law assault and battery claims and DENIED as to the § 1983 excessive force and failure to intervene claims;
- Deputy Phillips' motion [Docket No. 306] is hereby GRANTED as to the § 1983 unlawful arrest and state law assault and battery claims and DENIED as to the § 1983 excessive force and failure to intervene claims;
- Deputy Orr's motion [Docket No. 307] is hereby GRANTED as to the § 1983 unlawful arrest and state law assault and battery claims and DENIED as to the § 1983 excessive force and failure to intervene claims; and
- Deputy Lott's motion [Docket No. 308] is hereby GRANTED as to the § 1983 unlawful arrest and state law assault and battery claims and DENIED as to

127a

Appendix B

the § 1983 excessive force and failure to
intervene claims.

IT IS SO ORDERED this 26th day of March, 2024.

/s/

THE HONORABLE RONALD A. WHITE
UNITED STATES DISTRICT JUDGE
EASTERN DISTRICT OF OKLAHOMA

**APPENDIX C — ORDER OF THE UNITED
STATES DISTRICT COURT FOR THE EASTERN
DISTRICT OF OKLAHOMA, FILED JULY 24, 2024**

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF OKLAHOMA

Case No. CIV-21-044-Raw

JOHN KRUEGER, INDIVIDUALLY
AND AS CO-ADMINISTRATOR OF THE
ESTATE OF JEFFERY KRUEGER, *et al.*,

Plaintiffs,

v.

SHERIFF CHRIS ELLIOTT,
IN HIS OFFICIAL CAPACITY AS
SHERIFF OF WAGONER COUNTY, *et al.*,

Defendants.

Filed July 24, 2024

ORDER

This action arises from the death of Jeffrey Krueger on July 1, 2019. Plaintiffs allege that the individual defendants beat, tased, cuffed, and ultimately caused him to suffer positional asphyxiation resulting in his death. The court previously granted in part and denied in part the motion for summary judgment filed by Lieutenant

Appendix C

Elizabeth Crockett (“Lt. Crockett”). Now before the court are Lt. Crockett’s motion pursuant to Fed. R. Civ. P. 59(e) to reconsider and alter or amend the portion of the court’s Order denying her summary judgment motion as to the 42 U.S.C. § 1983 excessive force and failure to intervene claims [Docket No. 371], Plaintiffs’ response [Docket No. 381], and Lt. Crockett’s reply [Docket 382].

The Tenth Circuit has stated that a motion to reconsider is warranted where there is “(1) an intervening change in the controlling law, (2) new evidence previously unavailable, and (3) the need to correct clear error or prevent manifest injustice.” *Servants of Paraclete v. Does*, 204 F.3d 1005, 1012 (10th Cir. 2000). Under the third prong, relief is appropriate pursuant to Rule 59(e) only where “the court has misapprehended the facts, the party’s position, or the controlling law.” *Barber ex rel. Barber v. Colorado Dept. of Revenue*, 562 F.3d 1222, 1228 (10th Cir. 2009) (quoting *Servants of Paraclete*, 204 F.3d at 1012).

A motion for reconsideration “is not appropriate to revisit issues already addressed or advance arguments that could have been raised in prior briefing.” *Servants of Paraclete*, 204 F.3d at 1012. A Rule 59(e) motion “is designed to permit relief in extraordinary circumstances and not to offer a second bite at the proverbial apple.” *United States v. Springer*, No. 08-CV-278-TCK-PJC, 2020 WL 983084, at *1 (N.D. Okla. Jan. 28, 2020) (quoting *Syntroleum Corp. v. Fletcher Int’l, Ltd.*, No. 08-CV-384-JHP-FHM, 2009 WL 761322, at * 1 (N.D. Okla. Mar. 19, 2009)).

Appendix C

Lt. Crockett does not assert that there has been an intervening change in the controlling law or that there is new evidence previously unavailable. Instead, she argues that there is a need to correct clear error or prevent manifest injustice.

First, as to the failure to intervene claim, she reasserts her argument that Plaintiffs did not include the claim in their Fourth Amended Complaint. She argues that denial of summary judgment on this issue, therefore, is erroneous and constitutes a manifest injustice. The court addressed this argument in its order when it stated, “while it is not abundantly clear in the Fourth Amended Complaint, Plaintiffs arguably also brought claims against the County Defendants for failure to intervene to stop other law enforcement officers from using excessive force against Mr. Krueger.” Docket No. 363, at 17. The court then found that Lt. Crockett was not entitled to qualified immunity on the claim and denied summary judgment. The motion to reconsider this argument is not appropriate. As the court noted in the oft-cited *Above the Belt, Inc. v. Mel Bohannan Roofing, Inc.*, 99 F.R.D. 99, 101 (E.D. Va. 1983), while Lt. Crockett may have stated her argument better in her motion to reconsider, she is improperly using the motion to reconsider to ask the court to rethink what it has already thought through – rightly or wrongly. Her motion is denied as to the failure to intervene claim.

Second, Lt. Crockett argues that the court erred in finding that she was not entitled to qualified immunity on the excessive force claim because she did not place any significant amount of pressure on Mr. Krueger’s *upper* back for any period of time. The court stated in its Order:

Appendix C

In *Estate of Booker*, the Tenth Circuit reiterated:

“In *Weigel*, we agreed with other circuits that it was ‘clearly established that putting substantial or significant pressure on a suspect’s back while that suspect is in a face-down prone position after being subdued an/or incapacitated constitutes excessive force.

Docket No. 363, at 23-24 (citing *Estate of Booker*, 745 F.3d 405, 424 (10th Cir. 2014)). Lt. Crockett refers to this portion of the court’s Order, adding the word “upper.” Docket No. 371, at 6-7. The court has double checked, and the word “upper” is not in the *Estate of Booker* citation.

As the court previously found, Lt. Crockett knelt on Mr. Krueger’s left buttock and left upper thigh for approximately forty-five seconds to a minute while he was handcuffed and in a prone position on his stomach, until he had been placed in leg irons. She did this while other officers placed considerable weight, easily exceeding Mr. Krueger’s body weight, on Mr. Krueger’s upper back. To argue that the weight she placed on his buttock and upper thigh would not add to the pressure on his back and contribute to the alleged positional asphyxiation resulting in his death is, at best, disingenuous.

Moreover, despite Lt. Crockett’s argument to the contrary, this is an individual assessment of her alleged contribution to Mr. Krueger’s injuries. Lt. Crockett jointly participated, albeit briefly, in the placement of pressure on Mr. Krueger’s back while he was in a prone position on his stomach. Again, Lt. Crockett is improperly using

Appendix C

a motion to reconsider to ask the court to rethink what it has already thought through. Her motion is denied as to the excessive force claim.

Lt. Crockett's motion to reconsider and alter or amend the portion of its Order denying her summary judgment motion as to the 42 U.S.C. § 1983 excessive force and failure to intervene claims [Docket No. 371] is hereby DENIED.

IT IS SO ORDERED this 24th day of July, 2024.

/s/
The Honorable Ronald A. White
United States District Judge
Eastern District Of Oklahoma