

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2024

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 13101-13111)

1. Person Reporting (last name, first, middle initial) Sotomayor, Sonia	2. Court or Organization Supreme Court of the United States	3. Date of Report 5/12/2025
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice	5a. Report Type (check appropriate type) <div><input type="checkbox"/> Nomination Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final</div>	6. Reporting Period 01/01/2024 to 12/31/2024
	5b. <input type="checkbox"/> Amended Report	
7. Chambers or Office Address 1 First Street, NE Washington, DC 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 345 Trustees, Executors, Administrators, and Custodians; § 350 Power of Attorney; § 355 Outside Positions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Governing Director	iCivics
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 340 Agreements and Arrangements.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 320 Income; § 360 Spouses and Dependent Children.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 09/10/24	Penguin Random House LLC (book royalties)	\$34,920.00
2. 9/26/2024	Penguin Random House LLC (Advance)	\$60,000.00
3. 11/5/2024	Penguin Random House LLC (book royalties)	\$36,774.14
4. 11/20/2024	Penguin Random House LLC (book royalties)	\$2,250.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

DATE	SOURCE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)

☐ NONE (No reportable reimbursements.)

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Santa María La Antigua Catholic University Santa María La Antigua Catholic University	1/23/2024 – 1/28/2024	Panama City, Panama	Participated in Q&A events with law students and Panama Judges	Transportation, Lodging and Meals
2.	University of California, Berkeley School Law	1/29/2024 – 1/30/2024	Berkeley, California	Participated in Q&A event with Dean Chemerinsky and law school students	Transportation, Lodging and Meals
3.	University of Hawaii, William S. Richardson School of Law	1/31/2024 – 2/1/2024	Honolulu, Hawaii	Attended events celebrating Law School 50th Anniversary. Met with students and Judges	Transportation, Lodging and Meals

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Name of Person Reporting	Date of Report
Sotomayor, Sonia	5/12/2025

4.	Harvard University Radcliffe Institute for Advanced Studies	5/23/2024 – 5/24/2024	Cambridge, MA	Annual Celebration. Participated in Q&A with students and accepted Radcliffe Medal	Transportation, Lodging and Meals
5.	University of Zurich	7/9/2024 – 7/15/2024	Zurich, Switzerland	Participated in moderated Q&A event with University Students. Visited US Embassy Bern	Transportation, Lodging and Meals
6.	New York University Law School	7/15/2024 – 7/21/2024	Vienna, Austria	Participated in New York University Law Leadership Conference	Transportation, Lodging and Meals
7.	Yale University Law School	10/18/2024 – 10/20/2024	New Haven, Connecticut	Meeting with students. Participated in “Women on the Bench” Conference	Transportation, Lodging and Meals
8.	St. Catherine University	10/21/2024 – 10/23/2024	St. Paul, Minnesota	Participated in the investiture of Judge Elizabeth Bentley on Minnesota Circuit Court	Transportation, Lodging and Meals

V. GIFTS. *(Includes those to spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 330 Gifts and Reimbursements; § 360 Spouses and Dependent Children.)*

☐

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	The Coterie Theatre, Kansas City, MO	Visit to Kansas City, MO to review a workshop performance of Just Ask!	\$1,437.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 335 Liabilities; § 360 Spouses and Dependent Children.)*

☐

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	National Mortgage, LLC	Mortgage on Rental Property #1, New York, New York (Pt. VII, item 9)	N
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

☐

 NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	
1. Citibank, N.A. Accounts	A	Interest	N	T					
2. Morgan Stanley Bank, NA	A	Interest	J	T					
3. Morgan Stanley Bank, NA (IRA)	A	Interest	J	T					
4. Morgan Stanley Domestic Holdings, Inc. (IRA) (H)									
5. -Blackrock GLB Allocation FD Class A (IRA)	B	Dividend	M	T					
6.					Buy (add'l)	01/17/24	K		
7. -Pimco Unconstrained BDA (IRA)	A	Dividend	K	T					
8.					Buy (add'l)	01/17/24	K		
9. Rental Property #1. New York, NY	D	Rent	P1	Q					
10. Rental Property #2. Broward County, FL	D	Rent	M	V					
11. Principal Financial Group, Inc., Common Stock	A	Dividend			Sold	08/15/24	J		
12. Morgan Stanley Domestic Holdings, Inc. (H)									
13. -Ishares Core MSCI EAFE ETF	B	Dividend	K	T					
14. -Ishares Core MSCI Emerging	A	Dividend	K	T					
15.					Buy (add'l)	04/05/24	J		
16.					Buy (add'l)	04/19/24	J		
17. -Ishares Russell 1000 GRW ETF	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see Guide to Judiciary Policy, Volume 2D, Ch. 3, § 310 Reporting Thresholds for Assets; § 312 Types of Reportable Property; § 315 Interests in Property; § 320 Income; § 325 Purchases, Sales, and Exchanges; § 360 Spouses and Dependent Children; § 365 Trusts, Estates, and Investment Funds.)

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 NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	
18. -Ishares Russell 1000 Value ETF	A	Dividend	K	T					
19.					Buy (add'l)	04/05/24	J		
20.					Buy (add'l)	04/19/24	J		
21.					Buy (add'l)	10/11/24	J		
22.					Buy (add'l)	11/15/24	J		
23. -Ishares Russell 2000 Growth ETF	A	Dividend			Sold	04/19/24	J		
24. -Ishares Russell 2000 Value ETF	A	Dividend			Sold	04/19/24	J	B	
25. -Ishares Russell MIDCAP V ETF	A	Dividend	J	T					
26. -SPDR NUVEEN BBG BAR SHRT TERM	A	Dividend	L	T					
27. -SPDR NUVEEN BBG BARCLAYS MUN B	D	Dividend	M	T					
28.					Buy (add'l)	12/16/24	M		
29. -Ishares 20t YEAR TREASURY BOND ETF	A	Dividend			Sold	11/14/24	K		
30. -JP MORGAN ULTRA SHORT INCOME (JPST)	A	Dividend	K	T					
31. -Ishares - Core S&P 500 ETF	A	Dividend	J	T					
32.					Buy (add'l)	11/15/24	J		
33. -Ishares Russell Mid cap G ETF	A	Dividend	J	T	Buy (add'l)	10/11/24	J		
34.					Buy (add'l)	11/15/24	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

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W =Estimated

D =\$5,001 - \$15,000

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P2 =\$5,000,001 - \$25,000,000

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)
35.					Buy (add'l)	11/15/24	J	
36. -Ishares Nat Mun BN ETF	A	Dividend			Buy	11/13/24	M	
37.					Sold	12/16/24	M	A
38. -Ishares S Term Mun BD ETF	A	Dividend			Buy	11/13/24	K	
39.					Sold	11/15/24	K	
40.								
41. -Vanguard Long Term Government Bond	A	Dividend			Buy	06/18/24	K	
42.					Sold	11/15/24	K	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III All amounts listed are net of literary agent's commission. All dates provided are dates of checks forwarded by literary agent.

Part III - A - Items 1, 3, and 4 - Publisher royalties for Turning Pages and Just Ask!. Penguin Random House has advised me that it made no disbursements in 2024 to promote the sale of books of mine it has published.

Part V. Gifts:

No other gifts I received in 2024 have a valuation in excess of the established threshold except for a three volume set of Treatises written by Professor Sheldon Mohmod, which I donated to the Court's collection.

I had purchased a ticket for a concert in July of 2024. At the request of management of the concert venue, I exchanged my ticket for a ticket with a face value below the gift threshold. The new seating provided greater security than the original seating.

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Name of Person Reporting

Sotomayor, Sonia

Date of Report

5/12/2025

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 13141 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sonia Sotomayor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 13106)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544